Administrative Procedures Final Proposed Filing - Coversheet

FINAL PROPOSED RULE # 24-P27

# Final Proposed Filing - Coversheet

#### Instructions:

In accordance with Title 3 Chapter 25 of the Vermont Statutes Annotated and the "Rule on Rulemaking" adopted by the Office of the Secretary of State, this filing will be considered complete upon filing and acceptance of these forms with the Office of the Secretary of State, and the Legislative Committee on Administrative Rules.

All forms shall be submitted at the Office of the Secretary of State, no later than 3:30 pm on the last scheduled day of the work week.

The data provided in text areas of these forms will be used to generate a notice of rulemaking in the portal of "Proposed Rule Postings" online, and the newspapers of record if the rule is marked for publication. Publication of notices will be charged back to the promulgating agency.

## PLEASE REMOVE ANY COVERSHEET OR FORM NOT REQUIRED WITH THE CURRENT FILING BEFORE DELIVERY!

**Certification Statement:** As the adopting Authority of this rule (see 3 V.S.A. § 801 (b) (11) for a definition), I approve the contents of this filing entitled:

#### Technical Service Provider Certification Rule

Nicole Dubuque /s/	, on	9/23/2024
(signature)		(date)
Printed Name and Title:		

Nicole Dubuque, Chief Operations Officer Vermont Agency of Agriculture, Food and Markets

RECEIVED BY: \_\_\_\_\_

- □ Coversheet
- □ Adopting Page
- Economic Impact Analysis
- □ Environmental Impact Analysis
- □ Strategy for Maximizing Public Input
- □ Scientific Information Statement (if applicable)
- □ Incorporated by Reference Statement (if applicable)
- □ Clean text of the rule (Amended text without annotation)
- □ Annotated text (Clearly marking changes from previous rule)
- □ ICAR Minutes
- □ Copy of Comments
- Responsiveness Summary

### 1. TITLE OF RULE FILING: Technical Service Provider Certification Rule

- 2. PROPOSED NUMBER ASSIGNED BY THE SECRETARY OF STATE 24P027
- 3. ADOPTING AGENCY: Vermont Agency of Agriculture, Food and Markets

### 4. PRIMARY CONTACT PERSON:

(A PERSON WHO IS ABLE TO ANSWER QUESTIONS ABOUT THE CONTENT OF THE RULE).

Name: Laura DiPietro

Agency: Vermont Agency of Agriculture, Food and Markets Mailing Address: 116 State St. Montpelier, VT 05620

Telephone: 802–595–1990 Fax:

E-Mail: laura.dipietro@vermont.gov

Web URL (WHERE THE RULE WILL BE POSTED): https://agriculture.vermont.gov/TSPRule

### 5. SECONDARY CONTACT PERSON:

(A SPECIFIC PERSON FROM WHOM COPIES OF FILINGS MAY BE REQUESTED OR WHO MAY ANSWER QUESTIONS ABOUT FORMS SUBMITTED FOR FILING IF DIFFERENT FROM THE PRIMARY CONTACT PERSON).

Name: Nate Sands

Agency: Vermont Agency of Agriculture, Food and Markets

Mailing Address: 116 State St. Montpelier, VT 05620

Telephone: 802–224–6850 Fax:

E-Mail: nathaniel.sands@vermont.gov

### 6. RECORDS EXEMPTION INCLUDED WITHIN RULE:

(DOES THE RULE CONTAIN ANY PROVISION DESIGNATING INFORMATION AS CONFIDENTIAL; LIMITING ITS PUBLIC RELEASE; OR OTHERWISE, EXEMPTING IT FROM INSPECTION AND COPYING?) No

IF YES, CITE THE STATUTORY AUTHORITY FOR THE EXEMPTION:

### PLEASE SUMMARIZE THE REASON FOR THE EXEMPTION:

### 7. LEGAL AUTHORITY / ENABLING LEGISLATION:

(THE SPECIFIC STATUTORY OR LEGAL CITATION FROM SESSION LAW INDICATING WHO THE ADOPTING ENTITY IS AND THUS WHO THE SIGNATORY SHOULD BE. THIS SHOULD BE A SPECIFIC CITATION NOT A CHAPTER CITATION).

6 V.S.A. § 4989

8. EXPLANATION OF HOW THE RULE IS WITHIN THE AUTHORITY OF THE AGENCY:

State statute (6 V.S.A. § 4989) requires the Secretary to adopt rules to regulate technical service providers.

- 9. THE FILING HAS CHANGED SINCE THE FILING OF THE PROPOSED RULE.
- 10. THE AGENCY HAS INCLUDED WITH THIS FILING A LETTER EXPLAINING IN DETAIL WHAT CHANGES WERE MADE, CITING CHAPTER AND SECTION WHERE APPLICABLE.
- 11. SUBSTANTIAL ARGUMENTS AND CONSIDERATIONS WERE NOT RAISED FOR OR AGAINST THE ORIGINAL PROPOSAL.
- 12. THE AGENCY HAS NOT INCLUDED COPIES OF ALL WRITTEN SUBMISSIONS AND SYNOPSES OF ORAL COMMENTS RECEIVED.
- 13. THE AGENCY HAS NOT INCLUDED A LETTER EXPLAINING IN DETAIL THE REASONS FOR THE AGENCY'S DECISION TO REJECT OR ADOPT THEM.
- 14. CONCISE SUMMARY (150 words or Less):

Technical Service Providers (TSPs) provide nutrient management and water quality permit consulting to Vermont farmers for water quality regulations. This rule is intended to ensure farmers receive professional technical services from TSPs that meet a minimum standard of quality assurance related to agricultural water quality nutrient management planning and permitting/certification regulations. The proposed rule will ensure TSPs are held accountable for understanding the rules, regulations, and requirements related to nutrient management planning and permitting/certification services they provide. The rule will also enable enforcement on TSPs if the services they provide are out of compliance with water quality regulations. Currently, farms and the state lack recourse outside of enforcement on farms for work

products provided by TSP's that are non-compliant.

### 15. EXPLANATION OF WHY THE RULE IS NECESSARY:

TSPs perform regulatory submissions to the State on the behalf of farmers as a consulting service for a fee. This rule will set a minimum professional standard to ensure these consultants demonstrate their ability to understand the rules, regulations and requirements related to the nutrient management and permitting/certification services they provide.

#### 16. EXPLANATION OF HOW THE RULE IS NOT ARBITRARY:

The rule implements the method by which the Secretary creates a professional standard for nutrient management planning and permitting work products, and provides a basis for establishing a certification process for the Technical Service Providers.

17. LIST OF PEOPLE, ENTERPRISES AND GOVERNMENT ENTITIES AFFECTED BY THIS RULE:

Technical Service Providers of the State will be affected by these rules. There are seven to twelve active TSPs in Vermont.

Vermont Farmers seeking voluntary services from TSPs.

#### 18. BRIEF SUMMARY OF ECONOMIC IMPACT (150 words or Less):

Technical Service Providers provide an optional service that assist farmers with compliance activities for agricultural water quality regulations for a fee. The TSP service model has been in Vermont for at least 20 years. This will be the first time the accountability for these services is regulated. Therefore, there could be an increase in the fees charged to farms if TSPs are found non-compliant. However, because the Agency has been working with TSPs and reviewing their work, it is not anticipated that a significant financial impact to the cost of services will occur.

19. A HEARING WAS HELD.

#### 20. HEARING INFORMATION

(The first hearing shall be no sooner than 30 days following the posting of notices online).

IF THIS FORM IS INSUFFICIENT TO LIST THE INFORMATION FOR EACH HEARING, PLEASE ATTACH A SEPARATE SHEET TO COMPLETE THE HEARING INFORMATION.

Date: 8/29/2024

Time: 01:00 PM

Street Address: 116 State Street, Montpelier VT

Zip Code: 05620

URL for Virtual: https://teams.microsoft.com/l/meetupjoin/19%3ameeting\_YjVkZDQxYWYtMDQwNC00MTJjLWE3YjctZjc4M WY4MTFhN2Vm%40thread.v2/0?context=%7b%22Tid%22%3a%2220b 4933b-baad-433c-9c02-70edcc7559c6%22%2c%22Oid%22%3a%220fc302e7-39f6-4f65b5de-5837a7bf967d%22%7d

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	URL for Virtual:	
21.	DEADLINE FOR CO	MMENT (NO EARLIER THAN 7 DAYS FOLLOWING LAST HEARING):
	9-9-2024	
		E PROVIDE AT LEAST 3 KEYWORDS OR PHRASES TO AID IN THE E RULE NOTICE ONLINE).
Τe	echnical Service	Providers
Ňι	ıtrient Manageme	nt Plan
ΤS	SP	
Ac	griculture	

Water Quality



Agency of Agriculture Food & Markets 116 State Street Montpelier, VT 05620 www.Agriculture.Vermont.gov

# Memorandum

To: Kristin Clouser, Secretary, Agency of Administration

From: Anson Tebbetts, Secretary, Agency of Agriculture, Food & Markets

Date: December 5, 2023

Re: Request for Bulletin 3.3 Waiver

As has been past practice, the Agency of Agriculture, Food & Markets requests a waiver of Agency of Administration Bulletin 3.3 to allow Nicole Dubuque, Director of Administrative Services IV, a classified employee, to serve in an Appointing Authority role.

With the reduction to only one Deputy Secretary, Nicole Dubuque is needed in this capacity to assist the Agency. The purpose of Bulletin 3.3, assuring personal accountability of officials, is well maintained with the Agency's internal executive team of Tebbetts, Eastman & Dubuque.

Thank you for your time and consideration of this request.



DocuSigned by:

anson Tibbetts —C202F4AA11D8436... DocuSign Envelope ID: 54D4F9A0-4B80-4C1B-B99D-2AB35A757D2D



# **₩I\$ION**

Summary: Authority for signing the documents listed below rests solely with the exempt Agency Secretary; Department Commissioner; Elected Official; Exempt Department Head; and heads of divisions, boards, committees and commissions not reporting to an agency secretary or department commissioner (collectively "Appointing Authority"). This authority may be delegated to the exempt Agency/ Department Deputy Secretary or Deputy Commissioner ("exempt designee") by executing and submitting this form to the Agency of Administration, Secretary's Office through VISION Security. Non-exempt directors and managers may not be assigned as designees except in emergency situations or entities without sufficient exempt staff to maintain operations. For waiver consideration, please attach your request for approval by the Secretary of Administration in memo format when submitting this form to <u>FIN.VISIONSecurity@vermont.gov</u>.

Agency/Dept. Name: Agriculture, Food & Markets Appointing Authority Name (print): Anson Tebbetts

For Calendar Year (YYYY): 2024

Appointing Authority Title: Secretary

### I choose not to delegate authority at this time; <u>OR</u>

By signing below, I certify that I am the exempt Appointing Authority for the Agency/Department listed above, and hereby delegate authority to the following individual(s) for the documents listed below:

Business Unit(s) (BU#)	Employee 5-digit #	Designee Title (print)	Designee Name (print)	Designee Signature
02200	31367	Deputy Secretary	Alyson Eastman	Docusigned by: Alyson Eastman
02200	28388	Director of Administrative Services IV	Nicole Dubuque	Deusigned by: Ncole Dubuque

If above designee is an emergency non-exempt appointment, during what period is this designation valid?

To:

Documents Requiring Appointing Authority Signature:

1. State Land and Building Assets: Deeds and easements, purchase and sales agreements, options, and leases;

2. Grant Applications: Applications for and acceptance of grants from federal or other external sources;

From:

- 3. **Budget/Appropriation Forms:** Revised estimates of anticipated receipts; Request to expend excess receipts; Transfer of funds between appropriations pursuant to 32 VSA 706 (a) and (b); Request to establish or change an imprest (petty cash) fund;
- 4. Contracts and Grants to Others: All contract approval requests and certification; contracts and grant awards to all individuals and organizations, public and private; all amendments to those contracts or awards; and all sole source and waiver requests; in accordance with Administrative Bulletins 3.5, *Procurement & Contracting Procedures* and 5, *Policy for Grant Issuance & Monitoring*;
- 5. Finance & Management (FIN) and VISION Forms: VISION System Operator Access Request for Signature Authority; all FIN and yearend reporting forms so noted as requiring signature by department head, agency head, organization head, or appointing authority;
- 6. **Travel & Expense:** Any items so noted as "by Department Head only or by Appointing Authority" in Administrative Bulletin 3.4, *Employee Travel & Expense Policy*;
- 7. Human Resources (DHR) and VTHR Forms: All Department of Human Resources and VTHR forms so noted as requiring signature by department head, agency head, organization head, or appointing authority;
- 8. Internal Control: For SAIC purposes only the TOP Appointing Authority can "Certify" the annual form. Delegation is not permitted.
- 9. **Statutorily Required:** Any documents for which statute requires the department head, agency head, organization head, or appointing authority as signatory.

12/6/2023

Appointing Authority Signature

NOTE: This form must be submitted annually between January 1<sup>st</sup> and January 15° <u>and</u> as necessary, within 30 days, due to staff change of Appointing Authorities or their designees. Please email form to: <u>FIN.VISIONSecurity@vermont.gov</u>

FOR INTERNAL USE OFFICE ONLY (VISION Security will work with the Secretary of Administration for approval)

---- DocuSigned by:

Secretary of Administration or Designee Signature

Date

Date



State of Vermont Water Quality Division 116 State Street Montpelier, VT 05620 Agriculture.Vermont.gov

#### Agency of Agriculture, Food and Markets

[phone] 802-828-2431 [fax] 802-828-2361

September 23, 2024

Legislative Committee on Administrative Rules C/O Legislative Counsel 115 State Street Montpelier, VT 05633-5701

The proposed Technical Service Provider rule has undergone changes since it's proposed filing that are included in the final proposed filing.

The changes are as follows:

- Acronyms were made consistent throughout the document for conciseness.
- Various edits were made to improve readability.
- Information considered duplicative, such as descriptions within the rule that were already included in a definition, were removed.
- Section 3.4 and 3.5 were combined to provide clarity and to better align with what is written in Statute under 6 V.S.A. § 4989.
- Section 4 was updated to read that "the Agency will—consistent with legal requirements provide TSPs with *reasonable notice* before implementing the new requirements." This section previously indicated that TSPs would be given at minimum one year notice on new requirements, which may not be within the Agency's authority considering legislative authority to change laws.
- Section 6 was updated to include the potential for TSPs who violate the Rule to be ineligible for recertification for a period of time.

Sincerely,

Anson Tebbetts, Secretary Vermont Agency of Agriculture, Food and Markets



# Adopting Page

### Instructions:

This form must accompany each filing made during the rulemaking process:

Note: To satisfy the requirement for an annotated text, an agency must submit the entire rule in annotated form with proposed and final proposed filings. Filing an annotated paragraph or page of a larger rule is not sufficient. Annotation must clearly show the changes to the rule.

When possible, the agency shall file the annotated text, using the appropriate page or pages from the Code of Vermont Rules as a basis for the annotated version. New rules need not be accompanied by an annotated text.

### 1. TITLE OF RULE FILING: Technical Service Provider Certification Rule

- 2. ADOPTING AGENCY: Vermont Agency of Agriculture, Food and Markets
- 3. TYPE OF FILING (*Please choose the type of filing from the dropdown menu based on the definitions provided below*):
  - **AMENDMENT** Any change to an already existing rule, even if it is a complete rewrite of the rule, it is considered an amendment if the rule is replaced with other text.
  - **NEW RULE** A rule that did not previously exist even under a different name.
  - **REPEAL** The removal of a rule in its entirety, without replacing it with other text.

This filing is **A NEW RULE** 

4. LAST ADOPTED (*PLEASE PROVIDE THE SOS LOG#, TITLE AND EFFECTIVE DATE OF THE LAST ADOPTION FOR THE EXISTING RULE*):



[phone] 802-828-3322

Kristin L. Clouser, Secretary

State of Vermont Agency of Administration 109 State Street Montpelier, VT 05609-0201 www.aoa.vermont.gov

#### INTERAGENCY COMMITTEE ON ADMINISTRATIVE RULES (ICAR) MINUTES

Meeting Date/Location:July 8, 2024, virtually via Microsoft TeamsMembers Present:Chair Sean Brown, Jared Adler, Jennifer Mojo, Michael Obuchowski, and Nicole<br/>DubuqueMembers Absent:John Kessler and Diane ShermanMinutes By:Melissa Mazza-Paquette

- 2:00 p.m. meeting called to order, welcome and introductions.
- Review and approval of <u>minutes</u> from the May 13, 2024 meeting.
- No additions/deletions to agenda. Agenda approved as drafted.
- Note: An emergency rule titled 'General Assistance Emergency Housing Assistance Emergency Rules', provided by the Agency of Human Services, Department for Children and Families, was supported by ICAR Chair Brown on June 25, 2024.
- No public comments made.
- Presentation of Proposed Rules on pages 2-6 to follow.
  - 1. Marriage Ceremony For Incarcerated Individuals, Department of Corrections, page 2
  - 2. Best Management Practices Rule, Agency of Agriculture, Food and Markets, page 3
  - 3. Technical Service Provider Certification Rule, Agency of Agriculture, Food and Markets, page 4
  - 4. Prior Authorization, Agency of Human Services, page 5
  - 5. Vermont Saves Program Rule, Office of the State Treasurer, page 6
- No other business.
- Next scheduled meeting is August 12, 2024 at 2:00 p.m.
- 2:47 p.m. meeting adjourned.



#### Proposed Rule: Technical Service Provider Certification Rule, Agency of Agriculture, Food and Markets

#### Presented By: Laura DiPietro and Nina Gage

Motion made to accept the rule as presented by Sean Brown, seconded by Mike Obuchowski, and passed unanimously except for Nicole Dubuque who abstained, with no recommendations.



# Economic Impact Analysis

#### **Instructions:**

In completing the economic impact analysis, an agency analyzes and evaluates the anticipated costs and benefits to be expected from adoption of the rule; estimates the costs and benefits for each category of people enterprises and government entities affected by the rule; compares alternatives to adopting the rule; and explains their analysis concluding that rulemaking is the most appropriate method of achieving the regulatory purpose. If no impacts are anticipated, please specify "No impact anticipated" in the field.

Rules affecting or regulating schools or school districts must include cost implications to local school districts and taxpayers in the impact statement, a clear statement of associated costs, and consideration of alternatives to the rule to reduce or ameliorate costs to local school districts while still achieving the objectives of the rule (see 3 V.S.A. § 832b for details).

Rules affecting small businesses (excluding impacts incidental to the purchase and payment of goods and services by the State or an agency thereof), must include ways that a business can reduce the cost or burden of compliance or an explanation of why the agency determines that such evaluation isn't appropriate, and an evaluation of creative, innovative or flexible methods of compliance that would not significantly impair the effectiveness of the rule or increase the risk to the health, safety, or welfare of the public or those affected by the rule.

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### 1. TITLE OF RULE FILING:

#### Technical Service Provider Certification Rule

2. ADOPTING AGENCY:

Vermont Agency of Agriculture, Food and Markets

3. CATEGORY OF AFFECTED PARTIES:

LIST CATEGORIES OF PEOPLE, ENTERPRISES, AND GOVERNMENTAL ENTITIES POTENTIALLY AFFECTED BY THE ADOPTION OF THIS RULE AND THE ESTIMATED COSTS AND BENEFITS ANTICIPATED:

There are no anticipated significant economic impacts on parties targeted by the adoption of this revised rule as this service is already in place, the only change is a free State certification for professional practice will now be required to operate as a TSP in Vermont.

4. IMPACT ON SCHOOLS:

INDICATE ANY IMPACT THAT THE RULE WILL HAVE ON PUBLIC EDUCATION, PUBLIC SCHOOLS, LOCAL SCHOOL DISTRICTS AND/OR TAXPAYERS CLEARLY STATING ANY ASSOCIATED COSTS:

There are no anticipated economic impacts on educational institutions or taxpayers through the adoption of this revised rule.

5. ALTERNATIVES: CONSIDERATION OF ALTERNATIVES TO THE RULE TO REDUCE OR AMELIORATE COSTS TO LOCAL SCHOOL DISTRICTS WHILE STILL ACHIEVING THE OBJECTIVE OF THE RULE.

There are no anticipated economic impacts to educational institutions through the adoption of this revised rule.

6. IMPACT ON SMALL BUSINESSES:

INDICATE ANY IMPACT THAT THE RULE WILL HAVE ON SMALL BUSINESSES (EXCLUDING IMPACTS INCIDENTAL TO THE PURCHASE AND PAYMENT OF GOODS AND SERVICES BY THE STATE OR AN AGENCY THEREOF):

There are no anticipated economic impacts on small businesses through the adoption of this revised rule, other than TSP companies that are not compliant with the requirements that are already in place for water quality regulations they provide services for. The maximum administrative penalty issued by the Secretary for violations of the TSP rule shall not exceed \$5,000.00 for each violation, and the maximum amount of any administrative penalty assessed for separate and distinct violations of this chapter shall not exceed \$50,000.00. However, the Agency enforcement process requires written notice with no penalty as a first action.

7. SMALL BUSINESS COMPLIANCE: EXPLAIN WAYS A BUSINESS CAN REDUCE THE COST/BURDEN OF COMPLIANCE OR AN EXPLANATION OF WHY THE AGENCY DETERMINES THAT SUCH EVALUATION ISN'T APPROPRIATE.

This rule will shift the burden of agricultural water quality compliance from farmers to TSPs, thereby relieving a burden on the farmer that is currently being enforced on them as a result of the State not having regulatory authority over TSPs.

8. COMPARISON:

Revised January 10, 2023

COMPARE THE IMPACT OF THE RULE WITH THE ECONOMIC IMPACT OF OTHER ALTERNATIVES TO THE RULE, INCLUDING NO RULE ON THE SUBJECT OR A RULE HAVING SEPARATE REQUIREMENTS FOR SMALL BUSINESS:

There are no anticipated separate requirements on small businesses through the adoption of this revised rule.

9. SUFFICIENCY: DESCRIBE HOW THE ANALYSIS WAS CONDUCTED, IDENTIFYING RELEVANT INTERNAL AND/OR EXTERNAL SOURCES OF INFORMATION USED. This economic impact analysis provides the Agency's best assessment of the economic impact of this revised rule based on the information available.

# Environmental Impact Analysis

#### **Instructions:**

In completing the environmental impact analysis, an agency analyzes and evaluates the anticipated environmental impacts (positive or negative) to be expected from adoption of the rule; compares alternatives to adopting the rule; explains the sufficiency of the environmental impact analysis. If no impacts are anticipated, please specify "No impact anticipated" in the field.

Examples of Environmental Impacts include but are not limited to:

- Impacts on the emission of greenhouse gases
- Impacts on the discharge of pollutants to water
- Impacts on the arability of land
- Impacts on the climate
- Impacts on the flow of water
- Impacts on recreation
- Or other environmental impacts

### 1. TITLE OF RULE FILING:

### Technical Service Provider Certification Rule

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2. ADOPTING AGENCY:

Vermont Agency of Agriculture, Food and Markets

3. GREENHOUSE GAS: EXPLAIN HOW THE RULE IMPACTS THE EMISSION OF GREENHOUSE GASES (E.G. TRANSPORTATION OF PEOPLE OR GOODS; BUILDING INFRASTRUCTURE; LAND USE AND DEVELOPMENT, WASTE GENERATION, ETC.): The proposed revised rule will outline the process of certification for Technical Service Providers, who prepare water quality nutrient management plans and permit requirements for farms regulated by the Agency. These activities affect the resulting management of land use and agricultural wastes which indirectly affect greenhouse gas emissions. Improved nutrient management on farms can result in healthier soils with higher soil organic matter which causes an increase in sequestered carbon and reduces greenhouse gas impacts. 4. WATER: EXPLAIN HOW THE RULE IMPACTS WATER (E.G. DISCHARGE / ELIMINATION OF POLLUTION INTO VERMONT WATERS, THE FLOW OF WATER IN THE STATE, WATER QUALITY ETC.):

The proposed revised rule will outline the process of certification for Technical Service Providers, who prepare nutrient management plans and water quality permit requirements for farms regulated by the Agency. These activities affect water quality through the management of land use practices and nutrient inputs on farms. Improved nutrient and land management reduces nutrient runoff and leaching from agricultural land into surface and ground waters of the State of Vermont.

5. LAND: EXPLAIN HOW THE RULE IMPACTS LAND (E.G. IMPACTS ON FORESTRY, AGRICULTURE ETC.):

The proposed revised rule will outline the process of certification for Technical Service Providers, who prepare nutrient management plans and water quality permit requirements for farms regulated by the Agency. These activities affect agricultural land use practices for farmers in the State of Vermont. The nutrient management plans outline the amount, source, placement and timing of nutrients on the landscape, along with erosion.

- 6. RECREATION: *EXPLAIN HOW THE RULE IMPACTS RECREATION IN THE STATE:* There are no anticipated effects on recreation
- 7. CLIMATE: EXPLAIN HOW THE RULE IMPACTS THE CLIMATE IN THE STATE: There are no anticipated effects on climate, other than increased carbon sequestration as described above.
- 8. OTHER: EXPLAIN HOW THE RULE IMPACT OTHER ASPECTS OF VERMONT'S ENVIRONMENT: No other impact is anticipated.
- 9. SUFFICIENCY: DESCRIBE HOW THE ANALYSIS WAS CONDUCTED, IDENTIFYING RELEVANT INTERNAL AND/OR EXTERNAL SOURCES OF INFORMATION USED. This environmental impact analysis provides the Agency's best assessment of the environmental impact of this revised rule based on the information available.

# Public Input Maximization Plan

#### **Instructions:**

Agencies are encouraged to hold hearings as part of their strategy to maximize the involvement of the public in the development of rules. Please complete the form below by describing the agency's strategy for maximizing public input (what it did do, or will do to maximize the involvement of the public).

This form must accompany each filing made during the rulemaking process:

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- 1. TITLE OF RULE FILING:

#### Technical Service Provider Certification Rule

2. ADOPTING AGENCY:

Vermont Agency of Agriculture, Food and Markets

3. PLEASE DESCRIBE THE AGENCY'S STRATEGY TO MAXIMIZE PUBLIC INVOLVEMENT IN THE DEVELOPMENT OF THE PROPOSED RULE, LISTING THE STEPS THAT HAVE BEEN OR WILL BE TAKEN TO COMPLY WITH THAT STRATEGY:

The Agency performed a stakeholder process in 2018 that led to the change in legislation to direct the Agency to regulate TSPs. This stakeholder process, the Nutrient Management Commission, included all TSPs and following that, TSPs testified at the legislature that this professional responsibility was something they support.

4. BEYOND GENERAL ADVERTISEMENTS, PLEASE LIST THE PEOPLE AND ORGANIZATIONS THAT HAVE BEEN OR WILL BE INVOLVED IN THE DEVELOPMENT OF THE PROPOSED RULE:

Department of Environmental Conservation-Watershed Management Division, Watershed Investment Division and Water Supply Division

Jonathan Chamberlin/Donner Carr-Bourdeau Brothers

Tom Eaton/Darren Usinowicz-Ag Consulting Services

Matt Kittredge-Farm Consulting Services

Paul Stanley-Independent consultant

Revised January 10, 2023

Public Input

Tom Beaudry-Independent consultant Heather Darby/Jeff Sanders-UVM Extension Andrew Carpenter-Northern Tilth Vermont NRCS

,

# Scientific Information Statement

### THIS FORM IS ONLY REQUIRED IF THE RULE RELIES ON SCIENTIFIC INFORMATION FOR ITS VALIDITY. PLEASE REMOVE THIS FORM PRIOR TO DELIVERY IF IT DOES <u>NOT</u> APPLY TO THIS RULE FILING:

### **Instructions:**

In completing the Scientific Information Statement, an agency shall provide a summary of the scientific information including reference to any scientific studies upon which the proposed rule is based, for the purpose of validity.

- 1. TITLE OF RULE FILING: Technical Service Provider Certification Rule
- 2. ADOPTING AGENCY:

Vermont Agency of Agriculture, Food and Markets

3. BRIEF EXPLANATION OF SCIENTIFIC INFORMATION:

The United States Department of Agriculture (USDA) creates standards for nutrient management (known as the Natural Resource Conservation Service Conservation Practice(CPS) code 590). This standard has been modeled by the Environmental Protection Agency to be sufficient, if implemented, to address agricultural water quality non-point source pollution abatement needs for Lake Champlain, which was then extrapolated by Vermont Department of Environmental Conservation to work for Lake Memphremagog. These TSP consultants perform the work of developing these plans to USDA CPS 590 standards, along with the performance based management practices outlined in the water quality regulations. The TSPs also use the nutrient management information to submit additional regulatory permitting/certification requirements to the Agency.

4. CITATION OF SOURCE DOCUMENTATION OF SCIENTIFIC INFORMATION:

Revised January 10, 2023

Stone Environmental (2020). Advisory Report for Updating Vermont's Nutrient Management Standards.

U.S. Department of Agriculture, Natural Resources Conservation Service. Field Office Technical Guide -Conservation Practice Standard Nutrient Management (Code 590).

https://efotg.sc.egov.usda.gov/api/CPSFile/33662/590\_VT \_CPS\_Nutrient\_Management 2021. Accessed [19 June 2024].

5. INSTRUCTIONS ON HOW TO OBTAIN COPIES OF THE SOURCE DOCUMENTS OF THE SCIENTIFIC INFORMATION FROM THE AGENCY OR OTHER PUBLISHING ENTITY:

Submit a request for the Advisory Report for Updating Vermont's Nutrient Management Standards to Laura DiPietro, (802) 595-1990; laura.dipietro@vermont.gov.

Conservation Practice Standard Nutrient Management (Code 590) - Use URL Above.

# Incorporation by Reference

### THIS FORM IS ONLY REQUIRED WHEN INCORPORATING MATERIALS BY REFERENCE. PLEASE REMOVE PRIOR TO DELIVERY IF IT DOES <u>NOT</u> APPLY TO THIS RULE FILING:

### **Instructions**:

In completing the incorporation by reference statement, an agency describes any materials that are incorporated into the rule by reference and how to obtain copies.

This form is only required when a rule incorporates materials by referencing another source without reproducing the text within the rule itself (e.g., federal or national standards, or regulations).

Incorporated materials will be maintained and available for inspection by the Agency.

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### 1. TITLE OF RULE FILING:

### Technical Service Provider Certification Rule

2. ADOPTING AGENCY:

Vermont Agency of Agriculture, Food and Markets

3. DESCRIPTION (DESCRIBE THE MATERIALS INCORPORATED BY REFERENCE):

USDA NRCS Technical Practice Code 590

Required Agricultural Practice Rules

Medium and Small Farm Operation Rules for Issuance of General and Individual Permits

Large Farm Operation Program Rules

4. FORMAL CITATION OF MATERIALS INCORPORATED BY REFERENCE: - U.S. Department of Agriculture, Natural Resources Conservation Service. Field Office Technical Guide -Conservation Practice Standard Nutrient Management (Code 590).

https://efotg.sc.egov.usda.gov/api/CPSFile/33662/590\_VT \_CPS\_Nutrient\_Management\_2021. Accessed [19 June 2024].

5. State of Vermont Agency of Agriculture, Food and Markets, Agricultural Water Quality Regulations

including RAPs, https://agriculture.vermont.gov/waterquality/regulations

6. OBTAINING COPIES: (*EXPLAIN WHERE THE PUBLIC MAY OBTAIN THE MATERIAL(S) IN WRITTEN OR ELECTRONIC FORM, AND AT WHAT COST*):

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#### **Technical Service Provider Certification Rule**

#### Section 1. General

- (1) Purpose: The Technical Service Provider (TSP) Certification Rule establishes procedures and standards to certify consultants in Vermont to prepare and maintain nutrient management plans and related farm operation permitting and certification materials. The TSP certification program is designed to ensure that farms in Vermont use nutrient management plans that meet or exceed the United States Department of Agriculture Natural Resources Conservation Service Conservation Practice Standard for Nutrient Management Code 590 (USDA NRCS 590 Standard), the Required Agricultural Practices (RAPs) Rule, the Medium and Small Farm Operation Rules for Issuance of General and Individual Permits (MFO Rules and Permits), and Large Farm Operations (LFO) Rules and Permits. This Rule is intended to improve water quality by reducing and mitigating cropland erosion, sediment losses, and nutrient losses through professionally certified nutrient management planning, farm permitting and certification services.
- (2) <u>Authority:</u> 6 V.S.A. § 4989 Certification of nutrient management plan technical service providers

#### Section 2. Definitions

- (1) <u>Nutrient Management Plan (NMP)</u> means a written plan which satisfies the requirements of the RAPs, MFO Rules and Permits, LFO Rules and Permits, and the USDA NRCS 590 Standard.
- (2) Secretary means the Secretary of the Agency of Agriculture, Food & Markets.
- (3) <u>Technical Service Provider (TSP)</u> means a person who is compensated for preparing a nutrient management plan or providing related consulting services to a farm they do not own, lease, or manage to satisfy water quality requirements, including the RAPs, MFO Rules and Permits and LFO Rules and Permits.

#### Section 3. Certification

- (1) Each TSP shall be individually certified by the Secretary to be eligible to provide NMP services in the State and shall comply with all requirements of the RAPs, MFO Rules and Permits, LFO Rules and Permits, and the USDA NRCS 590 Standard. Beginning 45 days after the effective date of this Rule, a TSP shall not create an NMP, nor provide related regulatory consulting services for a farm unless first certified by the Secretary.
- (2) To be certified by the Secretary, a TSP shall:
  - (a) be actively certified in Vermont to provide technical services for USDA NRCS 590 Standard and other relevant conservation practices by the USDA NRCS, and
  - (b) request TSP Certification by the Secretary in a format prescribed by the Secretary.
- (3) TSP certification shall be valid for five years from the date of issuance. The certification year will extend from July 1 through June 30.
- (4) Certified TSPs shall complete eight hours of training as approved by the Secretary in each five-year period of certification. Training records shall be preserved and maintained in a manner prescribed by the Secretary. Completing all five-year training requirements satisfies the requirements for recertification. Trainings shall provide information regarding:

- (a) calculating manure and agricultural waste generation;
- (b) taking soil and manure samples;
- (c) identifying and creating maps of all natural resource features;
- (d) use of erosion calculation tools;
- (e) reconciling plans using records;
- (f) use of nutrient index tools; and
- (g) requirements within the RAPs, MFO Rules and Permits, and LFO Rules and Permits.

#### Section 4. Regulatory Requirements

- (1) Certified TSPs shall prepare and furnish NMPs for client farms which satisfy all regulatory requirements found in the RAPs, MFO Rules and Permits, LFO Rules and Permits, the USDA NRCS 590 Standard, and any other related requirements applicable to the farm operation. This includes taking professional responsibility for any data or analysis in the NMP.
- (2) Certified TSPs that prepare annual reports, application forms, create other documentation, or submit information to the Agency as part of the nutrient management plan for permitting or certification programs must ensure that all information and records satisfy the regulatory requirements found in the RAPs, MFO Rules and Permits, LFO Rules and Permits, the USDA NRCS 590 Standard, and other related requirements applicable to the farm operation.
- (3) Certified TSPs shall maintain records for a period of at least five years and provide those records to the Agency upon request. The records shall include field management activities provided by the farm, or farm contractor, including:
  - (a) crop type and nutrient applications,
  - (b) all farm imports and exports of nutrients and/or agricultural waste, and
  - (c) any records provided by the farm to the TSP that were utilized to develop a NMP or to submit application materials or records to the Agency.
- (4) In every NMP, certified TSPs shall certify whether the farm has the required land base and waste storage to adequately meet the RAPs, the USDA NRCS 590 Standard, and either the MFO Rules and Permits or LFO Rules and Permits, as applicable to the farm. A NMP can be appropriately written for a farm with inadequate storage or land base, but the TSP must then require export in the plan.
- (5) Certified TSPs shall prepare NMPs, and related permit and certification materials in a manner and format prescribed by the Secretary.
- (6) When major modifications to rules, procedures, standards, and tools related to TSPs' work occurs, the Agency will—consistent with legal requirements—provide TSPs with reasonable notice before implementing the new requirements. The Agency will take input from TSPs on the timing and extent of the required changes prior to determining when the new requirements must be implemented.
- (7) Certified TSPs are subject to Agency audits to validate the accuracy of NMP and the underlying details. Certified TSPs shall furnish all requested information, including but not limited to calculations for nutrient recommendations, calculations for erosion, fields with patterned tile drainage, calculations and methods for waste generation, inputs into nutrient index tools, and results from laboratory soil and waste analyses.

#### Section 5. Professional Responsibility

Certified TSPs shall certify that each NMP and all related permit or certification documents, annual reports or other related documents developed for a farm meet the requirements of the RAPs, MFO Rules and Permits, LFO Rules and Permits, and the USDA NRCS 590 Standard, as applicable.

#### Section 6. Enforcement

- (1) Violations of this Rule are subject to enforcement by the Secretary and the Attorney General under the provisions of 6 V.S.A. Chapter 1, Chapter 215 §§ 4991 4996, and/or any additional mechanisms available to the State.
- (2) Violations of this Rule can result in enforcement actions, including penalties and/or the revocation or suspension of a TSP's certification to provide NMP services in the State. TSPs who violate the Rule may also be ineligible for recertification for a period of time.

# **The Vermont Statutes Online**

The Vermont Statutes Online does not include the actions of the 2024 session of the General Assembly. We expect them to be updated by November 1st.

NOTE: The Vermont Statutes Online is an unofficial copy of the Vermont Statutes Annotated that is provided as a convenience.

#### **Title 6 : Agriculture**

#### **Chapter 215 : Agricultural Water Quality**

#### Subchapter 009 : Certification of Custom Applicators of Manure or Agricultural Waste

(Cite as: 6 V.S.A. § 4989)

#### § 4989. Certification of nutrient management plan technical service providers

(a) The Secretary of Agriculture, Food and Markets shall adopt by rule a process by which a nutrient management technical service provider shall be certified to operate within the State. The certification process shall require a nutrient management technical service provider to complete eight hours of training over each five-year period regarding:

(1) calculating manure and agricultural waste generation;

(2) taking soil and manure samples;

- (3) identifying and creating maps of all natural resource features;
- (4) use of erosion calculation tools;
- (5) reconciling plans using records;
- (6) use of nutrient index tools; and

(7) requirements within the Required Agricultural Practices, Medium Farm Operation rules and general permit, and Large Farm Operation rules.

(b) Beginning 45 days after the effective date of the rule adopted by the Secretary of Agriculture, Food and Markets under subsection (a) of this section to regulate nutrient management technical service providers, a nutrient management technical service provider shall not create a nutrient management plan for a farm unless certified by the Secretary of Agriculture, Food and Markets. (Added 2017, No. 194 (Adj. Sess.), § 5a, eff. May 30, 2018; amended 2019, No. 64, § 10.)



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# **Search Rules**

### **Deadline For Public Comment**

Deadline: Sep 09, 2024

The deadline for public comment has expired. Contact the agency or primary contact person listed below for assistance.

### **Rule Details**

Rule Number:	24P027
Title:	Technical Service Provider Certification Rule.
Туре:	Standard
Status:	Proposed
Agency:	Agency of Agriculture, Food and Markets
Legal Authority:	6 V.S.A. § 4989
Summary:	Technical Service Providers (TSPs) provide nutrient management and water quality permit consulting to Vermont farmers for water quality regulations. This rule is intended to ensure farmers receive professional technical services from TSPs that meet a minimum standard of quality assurance related to agricultural water quality nutrient management planning and permitting/certification regulations. The proposed rule will ensure TSPs are held accountable for understanding the rules, regulations, and requirements related to nutrient management planning and permitting/certification services they provide. The rule will also enable enforcement on TSPs if the services they provide are out of compliance with water quality regulations. Currently, farms and the state lack recourse outside of enforcement on farms for work products provided by TSP's that are non-compliant.
Persons Affected:	Technical Service Providers of the State will be affected by these rules. There are seven to twelve active TSPs in Vermont. Vermont Farmers seeking services from TSPs.

Economic Impact:	Technical Service Providers provide an optional service that assist farmers with compliance activities for agricultural water quality regulations for a fee. The TSP service model has been in Vermont for at least 20 years. This will be the first time the accountability for these services is regulated. Therefore, there could be an increase in the fees charged to farms if TSPs are found non-compliant. However, because the Agency has been working with TSPs and reviewing their work, it is not anticipated that a significant financial impact to the cost of services will occur.
Posting date:	Jul 17,2024

# **Hearing Information**

Information for Hearing # 1		
Hearing date:	08-29-2024 1:00 PM CADE TO YOUR CALERDAR	
Location:	Vermont Agency of Agriculture Food and Markets	
Address:	116 State Street	
City:	Montpelier	
State:	VT	
Zip:	05620	
Hearing Notes:		
	Information for Hearing # 2	
Hearing date:	08-29-2024 1:00 PM Capit to your calendar	
Location:	Virtually via MS Teams	
Address:	https://teams.microsoft.com/l/meetupjoin/ 193ameeting_YjVkZDQxYWYtMDQwNC00MTJjLWE3YjctZjc4MWY4MTFhN2Vm40thread.v2/0? context7b22Tid223a2220b4933b- baad-433c-9c02-70edcc7559c6222c22Oid223a220fc302e7-39f64f65-b5de-5837a7bf967d227d	
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State:	VT	
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Hearing Notes:	Virtually via MS Teams: https://teams.microsoft.com/l/meetupjoin/ 193ameeting_YjVkZDQxYWYtMDQwNC00MTJjLWE3YjctZjc4MWY4MTFhN2Vm40thread.v2/0? context7b22Tid223a2220b4933b- baad-433c-9c02-70edcc7559c6222c22Oid223a220fc302e7-39f64f65-b5de-5837a7bf967d227d	

# **Contact Information**

### Information for Primary Contact

<b>PRIMARY CONTACT PERSON -</b> A PERSON WHO IS ABLE TO ANSWER QUESTIONS ABOUT THE CONTENT OF THE RULE.		
Level:	Primary	
Name:	Laura DiPietro	
Agency:	Agency of Agriculture, Food and Markets	
Address:	116 State Street	
City:	Montpelier	
State:	VT	
Zip:	05620	
Telephone:	802-595-1990	
Fax:		

Email:	laura.dipietro@vermont.gov
Website Address:	https://agriculture.vermont.gov/TSPRule
	Information for Secondary Contact
MAY BE REQUESTED OR WHO	SON - A SPECIFIC PERSON FROM WHOM COPIES OF FILINGS O MAY ANSWER QUESTIONS ABOUT FORMS SUBMITTED FOR THE PRIMARY CONTACT PERSON.
Level:	Secondary
Name:	Nate Sands
Agency:	Agency of Agriculture, Food and Markets
Address:	116 State Street
City:	Montpelier
State:	VT
Zip:	05620
Telephone:	802-224-6850
Fax:	
Email:	nathaniel.sands@vermont.gov
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# **Keyword Information**

Keywords:

Technical Service Providers Nutrient Management Plan TSP Agriculture Water Quality

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	The Islander (islander@vermontislander.com)	Tel: 802-372-5600 FAX: 802-372-302
	Vermont Lawyer ( <u>hunter.press.vermont@gmail.com</u> )	Attn: Will Hunter
ROM:	APA Coordinator, VSARA Date of Fax:	September 24, 2024

**RE:** The "Proposed State Rules " ad copy to run onJuly 25, 2024PAGES INCLUDING THIS COVER MEMO:2

\*NOTE\* 8-pt font in body. 12-pt font max. for headings - single space body. Please include dashed lines where they appear in ad copy. Otherwise minimize the use of white space. Exceptions require written approval.

If you have questions, or if the printing schedule of your paper is disrupted by holiday etc. please contact VSARA at 802-828-3700, or E-Mail <u>sos.statutoryfilings@vermont.gov</u>, Thanks.

#### PROPOSED STATE RULES

By law, public notice of proposed rules must be given by publication in newspapers of record. The purpose of these notices is to give the public a chance to respond to the proposals. The public notices for administrative rules are now also available online at <a href="https://secure.vermont.gov/SOS/rules/">https://secure.vermont.gov/SOS/rules/</a>. The law requires an agency to hold a public hearing on a proposed rule, if requested to do so in writing by 25 persons or an association having at least 25 members.

To make special arrangements for individuals with disabilities or special needs please call or write the contact person listed below as soon as possible.

To obtain further information concerning any scheduled hearing(s), obtain copies of proposed rule(s) or submit comments regarding proposed rule(s), please call or write the contact person listed below. You may also submit comments in writing to the Legislative Committee on Administrative Rules, State House, Montpelier, Vermont 05602 (802-828-2231).

Technical Service Provider Certification Rule.

Vermont Proposed Rule: 24P027

AGENCY: Vermont Agency of Agriculture, Food and Markets

CONCISE SUMMARY: Technical Service Providers (TSPs) provide nutrient management and water quality permit consulting to Vermont farmers for water quality regulations. This rule is intended to ensure farmers receive professional technical services from TSPs that meet a minimum standard of quality assurance related to agricultural water quality nutrient management planning and permitting/certification regulations. The proposed rule will ensure TSPs are held accountable for understanding the rules, regulations, and requirements related to nutrient management planning and permitting/certification services they provide. The rule will also enable enforcement on TSPs if the services they provide are out of compliance with water quality regulations. Currently, farms and the state lack recourse outside of enforcement on farms for work products provided by TSP's that are non-compliant.

FOR FURTHER INFORMATION, CONTACT: Laura DiPietro, Vermont Agency of Agriculture, Food and Markets, 116 State St. Montpelier, VT 05620 Tel: 802-595-1990 E-mail: <a href="mailto:laura.dipietro@vermont.gov">laura.dipietro@vermont.gov</a> URL: <a href="https://agriculture.vermont.gov/TSPRule">https://agriculture.vermont.gov/TSPRule</a>.

FOR COPIES: Nate Sands, Vermont Agency of Agriculture, Food and Markets, 116 State St. Montpelier, VT 05620 Tel: 802-224-6850 E-Mail: <u>nathaniel.sands@vermont.gov</u>. ------

Best Management Practices Rule.

Vermont Proposed Rule: 24P028

AGENCY: Vermont Agency of Agriculture, Food and Markets

CONCISE SUMMARY: The Best Management Practice Program provides technical assistance and financial assistance to Vermont farmers to support construction of on-farm improvements designed to abate water quality risks from agricultural pollution. This rulemaking corrects findings from a 2018 audit that found the rule misaligned with current statute and practice. Updates reflect current terminology, statutes, process and procedures for project prioritization, operation and maintenance requirements, as well as the petition process that have evolved since the last filing in 1996.

FOR FURTHER INFORMATION, CONTACT: Laura DiPietro, Vermont Agency of Agriculture, Food and Markets, 116 State St. Montpelier, VT 05620 Tel: 802-595-1990 Fax: 802-828-2361 E-mail:

<u>laura.dipietro@vermont.gov</u> URL: <u>https://agriculture.vermont.gov/BMPRule</u>. FOR COPIES: Nina Gage, Vermont Agency of Agriculture, Food and Markets, 116 State St. Montpelier, VT 05620 Tel: 802-622-4098 Fax: 802-828-2361 E-mail: <u>Nina.Gage@Vermont.gov</u>.

Vermont Saves Program Rule.

Vermont Proposed Rule: 24P029

AGENCY: Office of the Treasurer

CONCISE SUMMARY: The Vermont Saves Program Rule proposes to implement 3 V.S.A. Chapter 18, consistent with the legislative intent to establish a State auto-IRA program for "the purpose of increasing financial security for Vermonters by providing access to an IRA for Vermont employees of companies that do not currently offer a retirement savings program." The rule is intended to ensure the Program is designed to meet the Legislature's requirements that the Program facilitate portability of participant benefits through withdrawals, rollovers, and direct transfers and minimize costs by achieving economies of scale and other efficiencies. Among other things, the rule addresses program eligibility requirements and mandates, employer registration and exemption certification, the participant opt out process, portability (including rollovers and distributions), fund withdrawals, contributions, default contribution rates and investments options, payroll deductions, and the auto-escalation process. The rule also ensures the Program is compliant with all applicable State and federal laws and regulations.

FOR FURTHER INFORMATION, CONTACT: Becky Wasserman, Office of the State Treasurer, 109 State Street, Suite 4, Montpelier VT 05609 Tel: 802-498-3466 E-Mail: <u>becky.wasserman@vermont.gov</u> URL: <u>https://www.vermonttreasurer.gov/vt-saves</u>.

FOR COPIES: Justin St. James, Office of the State Treasurer, 109 State Street, Suite 4, Montpelier VT 05609 Tel: 802-828-7190 E-Mail: <u>justin.stjames@vermont.gov</u>.