

DEPARTMENT OF CORRECTIONS
FY2023 BAA PROPOSALS

Dated: January 11, 2023

Summary:

Total FY23 Budget = \$173,727,911

Total GF = \$163,758,586

FY23 BAA - \$5,359,031 GF Increase across all DOC appropriations. \$215,615 FF Increase in Correctional Services.

FY2023 BAA Ups & Downs Detail

3480004000 – Correctional Services, \$4,581,861 (GF) Increase, \$215,615 (FF) Increase

- 1) Justice Reinvestment transfer to 3480007000 – (\$777,170) (GF net-neutral)
 - a. These are surplus funds from the FY21 Out of State appropriation transferred to Correctional Services in the FY22 BAA and carried forward at year end. Approval for use of funds was not identified until the end of FY22 (Act 185 Sec C. 104) as such, these funds were not obligated last fiscal year. Per Act 154, Section E.335.1, any remaining funding was to be reported and carried forward to FY22 for Justice Reinvestment. These funds were approved by F&M and carried forward from FY22 and will need to be moved to the new Justice Reinvestment II appropriation created at FY22 year-end close.

- 2) DOC Stability and Sustainability Incentives & Shift Increased Differentials – \$2,701,927 (GF)
 - a. This includes Retention Bonuses, Shift and Standby Bonuses, New Hire/Academy Progression Bonuses, Recruitment incentive bonuses, Training Credit, and Premium OT pay for non-premium OT earners. Each item detailed below. These costs are estimated to be approximately \$6,844,597. There are anticipated unbudgeted vacancy savings of \$3,781,770.76 accounting for recruitment and retention from these combined efforts, as well as anticipated OT savings of \$360,900.45 as we roll out 12-hour shift constructs at facilities.
 - Retention Bonus (2 payments each - \$1,000 to all DOC Classified Staff, October 2022 and April 2023) - \$1,738,000
 - New Hire and Academy Progression Bonuses (\$1,000 at hire, \$500 at Academy completion, \$750 at end of original 6-month probation, and \$1,000 after 1 year of service) - \$241,830
 - Recruitment Referral Bonuses (\$100 at Academy completion, \$150 at end of original 6-month probation, \$250 after 1-year of DOC service) - \$10,748

- Shift Bonus (\$3/hour for facility shifts worked) - \$4,453,283.33
- Standby Bonus (\$1.50/hour for facility transport and hospital standby) - \$150,256
- Training Hour Credit (80 hours for Corrections Bargaining Unit employees), this results in eligibility for accelerated step program - \$38,168.70
- Premium OT for straight time OT earners working in facility security positions (calculated based on current employee rate of pay) - \$127,814
- New bargained shift differential increases (\$2.00 second shift, \$2.5 third shift) - \$1,112,176

3) FY22 Carryforward from other sources \$2,091,920

- a. \$1,416,341 – Re-appropriated AHS General Fund from on-time funds carried forward to supplement DOC Pay Act Needs.
- b. \$675,579 – Received from DCF-General Assistance for DOC Pay Act (AHS net neutral)

4) Transfer of Position #720244 to Agency of Human Services, Central Office – (\$133,017) (GF)

- a. This position has been transferred to AHS CO for use as an AHS Director of Housing Policy and Planning. This amount reflects total salary and benefits at PG 30, Step 1. (Former DOC Position number 790487)

5) Health Services Contract Overage, VitalCore Health Strategies #40006 - \$680,000 (GF)

- a. This includes final overages in offsite and pharmacy costs for FY22 (\$340,000) and the same amount projected for FY23 overages. The contractor is responsible for overages 3% over budget in these line items and the department is responsible for amounts in excess of 3%. The Contractor must demonstrate with documentation that it used industry-standard best practices to control these costs, (Attachment B, Page 59, 8.6.1).

6) Prison Rape Elimination Act (PREA) Grant Award - \$215,615 (FF)

- a. Award number 15PBJA-21-GG-02788; Spending Authority for Year 1 of grant activities. CFDA 16.735.

7) ADS Service Level Agreement Cost Increase - \$18,201 (GF)

3480006000 – Correctional Services – OOS Beds (\$1,982,247) (GF net-neutral)

1) Justice Reinvestment transfer to 3480007000 – (\$1,982,247)

- a. These are the surplus funds from the FY22 Out of State appropriation. As a result of the reduced caseload, these funds were not needed last fiscal year. Per Act 154, Section E.335.1, any remaining funding was to be reported and carried forward for Justice Reinvestment. These funds are approved FY22 carryforward

and are currently in the 3480006000 appropriation and will need to be moved to 3480007000.

- b. Caseload – DOC is currently budgeted for 160 beds out of state. This contract is in its final term extension and expires 9/30/2023. DOC has posted a Request for Proposals on January 10th.

3480007000 – Justice Reinvestment II - \$2,759,417 (GF net-neutral)

- 1) Transfer from Correctional Services 3480004000 - \$777,170 (GF net-neutral)
 - a. These are surplus funds from FY21 OOS Bed Appropriation moved to Correctional Services during FY22 BAA.
 - i. \$100,000 – CJC Strategic Planning consultant (Flint Springs Associates)*
 - ii. \$60,140 - Offender Management System (OMS) Enhancements*
 - iii. \$317,030 – Funding for Community Justice Centers (CJC)
 - iv. \$300,000 – Granted to VT Network Against Domestic & Sexual Violence

*sections iii. and iv. to be established under personal services, as these are contract payments.

- 2) Transfer from Out of State Beds 3480006000 - \$1,982,247 (GF net-neutral)
 - a. These are surplus funds from bed use savings over FY22 and carried forward under the OOS Bed Appropriation. A total of \$640,000 of these funds has been approved for use in FY23 by the Joint Justice Oversight Committee.

	GF	SF	State Health Care Res	IdptT	Internal Service	FF	Medicaid GCF	Invmnt GCF	Total
Sec. B.335	Approp #3480001000 - Corrections - Administration								
	As Passed FY23 BAA								
	3,609,025								3,609,025
	Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)								
	0	0	0	0	0	0	0	0	0
	FY23 BAA After Other Changes								
	3,609,025	0	0	0	0	0	0	0	3,609,025
	Total After FY23 BAA Other Changes								
	FY23 BAA After Other Changes								
	Personal Services:								
									0
	Operating Expenses:								
									0
	Grants:								
									0
	FY23 BAA Subtotal of Increases/Decreases								
	0	0	0	0	0	0	0	0	0
	FY23 BAA Gov Recommended								
	3,609,025	0	0	0	0	0	0	0	3,609,025
	FY23 BAA Legislative Changes								
	FY23 BAA Subtotal of Legislative Changes								
	0	0	0	0	0	0	0	0	0
	FY23 BAA As Passed - Dept ID 3480001000								
	3,609,025	0	0	0	0	0	0	0	3,609,025
Sec. B.336	Approp #3480002000 - Corrections - Parole Board								
	As Passed FY23 BAA								
	445,175								445,175
	Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)								
	0	0	0	0	0	0	0	0	0
	FY23 BAA After Other Changes								
	445,175	0	0	0	0	0	0	0	445,175
	Total After FY23 BAA Other Changes								
	FY23 BAA After Other Changes								
	Personal Services:								
									0
	Operating Expenses:								
									0
	Grants:								
									0
	FY23 BAA Subtotal of Increases/Decreases								
	0	0	0	0	0	0	0	0	0
	FY23 BAA Gov Recommended								
	445,175	0	0	0	0	0	0	0	445,175
	FY23 BAA Legislative Changes								
	FY23 BAA Subtotal of Legislative Changes								
	0	0	0	0	0	0	0	0	0
	FY23 BAA As Passed - Dept ID 3480002000								
	445,175	0	0	0	0	0	0	0	445,175
Sec. B.337	Approp #3480003000 - Corrections - Correctional Educ								
	As Passed FY23 BAA								
	3,600,789			148,784					3,749,573
	Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)								
	0	0	0	0	0	0	0	0	0
	FY23 BAA After Other Changes								
	3,600,789	0	0	148,784	0	0	0	0	3,749,573
	Total After FY23 BAA Other Changes								
	FY23 BAA After Other Changes								
	Personal Services:								
									0
	Operating Expenses:								
									0
	Grants:								
									0
	FY23 BAA Subtotal of Increases/Decreases								
	0	0	0	0	0	0	0	0	0
	FY23 BAA Gov Recommended								
	3,600,789	0	0	148,784	0	0	0	0	3,749,573
	FY23 BAA Legislative Changes								
	FY23 BAA Subtotal of Legislative Changes								
	0	0	0	0	0	0	0	0	0
	FY23 BAA As Passed - Dept ID 3480003000								
	3,600,789	0	0	148,784	0	0	0	0	3,749,573

FY23 BAA Department Request - DOC

		GF	SF	State Health Care Res	IdptT	Internal Service	FF	Medicaid GCF	Invmnt GCF	Total	
Sec. B.338	Approp #3480004000 - Correctional Services										
	As Passed FY23 BAA	144,682,340	935,963		396,315		460,376	297,094	2,449,161	149,221,249	
	Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)										
	FY23 BAA After Other Changes	0	0	0	0	0	0	0	0	0	
	Total After FY23 BAA Other Changes	144,682,340	935,963	0	396,315	0	460,376	297,094	2,449,161	149,221,249	
	FY23 BAA After Other Changes										
	Personal Services:										
	Justice Reinvestment (JR) II Carryforward - Offender Management System (OMS) enhancements - move to JR II appropriation (DOC net-neutral)	(60,140)									(60,140)
	JR II Carryforward - Community Justice Centers (CJC) Strategic Planning Consultant - move to JR II appropriation (DOC net-neutral)	(100,000)									(100,000)
	JR II Carryforward - VT Network Grant - move to JR II appropriation (DOC net-neutral)	(300,000)									(300,000)
JR II Carryforward - CJC Grants - move to JR II appropriation (DOC net-neutral)	(317,030)									(317,030)	
Stability & Sustainability Collective Bargaining Agreement (CBA) side-letter with Vermont State Employee's Association (VSEA)	6,844,597									6,844,597	
Unbudgeted vacancy savings	(3,781,770)									(3,781,770)	
Expected Overtime savings from 12-hour shift model	(360,900)									(360,900)	
Re-appropriated General Fund carried forward to supplement DOC's Pay Act needs	1,416,341									1,416,341	
FY22 carryforward from DCF-General Assistance for DOC Pay Act (AHS net-neutral)	675,579									675,579	
Transfer of Position #790487 to AHS Central Office (AHS net-neutral)	(133,017)									(133,017)	
Health Services Contract Adjustment	680,000									680,000	
Operating Expenses:										0	
Prison Rape Elimination Act (PREA) Grant Year 1 Expenditures						215,615				215,615	
ADS Service Level Agreement	18,201									18,201	
Grants:										0	
										0	
FY23 BAA Subtotal of Increases/Decreases	4,581,861	0	0	0	0	215,615	0	0	0	4,797,476	
FY23 BAA Gov Recommended	149,264,201	935,963	0	396,315	0	675,991	297,094	2,449,161		154,018,725	
FY23 BAA Legislative Changes										0	
FY23 BAA Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0	0	
FY23 BAA As Passed - Dept ID 3480004000	149,264,201	935,963	0	396,315	0	675,991	297,094	2,449,161		154,018,725	
Sec. B.338	Approp #3480007000 - Corrections - Justice Reinvestment II										
	As Passed FY23 BAA	7,290,879					13,147		2,564,541	9,868,567	
	Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)										
	FY23 BAA After Other Changes	0	0	0	0	0	0	0	0	0	
	Total After FY23 BAA Other Changes	7,290,879	0	0	0	0	13,147	0	2,564,541	9,868,567	
	FY23 BAA After Other Changes										
	Grants:									0	
	Personal Services:									0	
	FY22 Carryforward for JR II from Correctional Services appropriation - CJC Strategic Planning Consultant (DOC net-neutral)	100,000									100,000
	FY22 Carryforward for JR II from Correctional Services appropriation - OMS Enhancements (DOC net-neutral)	60,140									60,140
Operating Expenses:									0		
									0		
Grants:									0		
FY22 Carryforward for JR II from Correctional Services appropriation - CJC Grants (DOC net-neutral)	317,030									317,030	
FY22 Carryforward for JR II from Correctional Services appropriation - VT Network Grant (DOC net-neutral)	300,000									300,000	
\$1,982,246.88 from Out-of-state (OOS) appropriation savings, carry forward from FY22 (DOC net-neutral)	1,982,247									1,982,247	
FY23 BAA Subtotal of Increases/Decreases	2,759,417	0	0	0	0	0	0	0	0	2,759,417	
FY23 BAA Gov Recommended	10,050,296	0	0	0	0	13,147	0	2,564,541		12,627,984	
FY23 BAA Legislative Changes										0	
FY23 BAA Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0	0	
FY23 BAA As Passed - Dept ID 348000XXXX	10,050,296	0	0	0	0	13,147	0	2,564,541		12,627,984	

	GF	SF	State Health Care Res	IdptT	Internal Service	FF	Medicaid GCF	Invmnt GCF	Total
Sec. B.339									
Approp #3480006000 - Correctional Services - out-of-state beds									
As Passed FY23 BAA	4,130,378								4,130,378
Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)									
FY23 BAA After Other Changes	0	0	0	0	0	0	0	0	0
Total After FY23 BAA Other Changes	4,130,378	0	0	0	0	0	0	0	4,130,378
FY23 BAA After Other Changes									
Personal Services:									
FY22 Carryforward from OOS Contract savings - move to JRll appropriation (DOC net-neutral)	(1,982,247)								(1,982,247)
FY23 BAA Subtotal of Increases/Decreases	(1,982,247)	0	0	0	0	0	0	0	(1,982,247)
FY23 BAA Gov Recommended	2,148,131	0	0	0	0	0	0	0	2,148,131
FY23 BAA Legislative Changes									
FY23 BAA Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY23 BAA As Passed - Dept ID 3480006000	2,148,131	0	0	0	0	0	0	0	2,148,131
Sec. B.340									
Approp #3480005000 - Corr Facilities - Recreation									
As Passed FY23 BAA		1,004,874							1,004,874
Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)									
FY23 BAA After Other Changes	0	0	0	0	0	0	0	0	0
Total After FY23 BAA Other Changes	0	1,004,874	0	0	0	0	0	0	1,004,874
FY23 BAA After Other Changes									
Personal Services:									0
Operating Expenses:									0
Grants:									0
FY23 BAA Subtotal of Increases/Decreases	0	0	0	0	0	0	0	0	0
FY23 BAA Gov Recommended	0	1,004,874	0	0	0	0	0	0	1,004,874
FY23 BAA Legislative Changes									
FY23 BAA Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY23 BAA As Passed - Dept ID 3480005000	0	1,004,874	0	0	0	0	0	0	1,004,874
Sec. B.341									
Approp #3675001000 - Corr. - Vermont Offender Work Program									
As Passed FY23 BAA					1,699,065				1,699,065
Other Changes: (Please insert changes to your base appropriation that occurred after the passage of the FY23 BAA budget)									
FY23 BAA After Other Changes	0	0	0	0	0	0	0	0	0
Total After FY23 BAA Other Changes	0	0	0	0	1,699,065	0	0	0	1,699,065
FY23 BAA After Other Changes									
Personal Services:									0
Operating Expenses:									0
Grants:									0
FY23 BAA Subtotal of Increases/Decreases	0	0	0	0	0	0	0	0	0
FY23 BAA Gov Recommended	0	0	0	0	1,699,065	0	0	0	1,699,065
FY23 BAA Legislative Changes									
FY23 BAA Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY23 BAA As Passed - Dept ID 3675001000	0	0	0	0	1,699,065	0	0	0	1,699,065
DOC FY23 BAA Governor Recommend	163,758,586	1,940,837	0	545,099	1,699,065	473,523	297,094	5,013,702	173,727,906
DOC FY23 BAA Reductions and Other Changes	0	0	0	0	0	0	0	0	0
DOC FY23 BAA GovRec Total After Reductions and Other Changes	163,758,586	1,940,837	0	545,099	1,699,065	473,523	297,094	5,013,702	173,727,906
DOC FY23 BAA Total Increases/Decreases	5,359,031	0	0	0	0	215,615	0	0	5,574,646
DOC FY23 BAA Governor Recommend Addendum	169,117,617	1,940,837	0	545,099	1,699,065	689,138	297,094	5,013,702	179,302,552
DOC FY23 BAA Total Legislative Changes	0	0	0	0	0	0	0	0	0
DOC FY23 BAA Total As Passed	169,117,617	1,940,837	0	545,099	1,699,065	689,138	297,094	5,013,702	179,302,552