

Large Landscape Conservation in a Mixed Ownership Region: Opportunities and Barriers for Putting the Pieces Together

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Conflicts of Interest

We do not know of any ethical or financial conflicts of interest in requesting that the manuscript be published.

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1 **Large Landscape Conservation in a Mixed Ownership Region: Opportunities and Barriers**
2 **for Putting the Pieces Together**

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5 Article Info

6
7 *Key words:* Large landscape conservation, conservation design, protected lands, primary interest
8 holders

9
10 *Highlights:*

- 11
12 • We compared protected lands in Vermont, USA with a new large landscape design
13 • One third of highest priority design targets have been met via protected lands
14 • Public agencies stand out as top interest holders in targeted forest and connectivity blocks
15 • Corporate timberland sales have been special opportunities for design gains
16 • Nonprofits play an important role as top interest holders in protected riparian targets

17
18 **Abstract:** The spatial overlap between large landscape conservation designs and existing land
19 protections is not always clear, especially in regions where private ownerships and small parcel
20 sizes are typical. In this case study, we used geospatial analyses to compare a new state-level
21 conservation design, Vermont Conservation Design, with formally protected lands in Vermont,
22 USA. We found that roughly one third of the design's highest priority landscape-level targets
23 have already been met through formal land protections. Public agencies are the primary interest
24 holders for a majority of protected highest priority interior forest block and connectivity block
25 targets. Conversely, private nonprofits play an important role as the dominant interest holders in
26 protected riparian connectivity and highest priority surface water and riparian area targets, which
27 are also the most underrepresented among protected lands in the state. There was notable
28 variation in highest priority design targets met via formal land protections at the county level.
29 Some counties containing large public or former corporate timberland tracts also display
30 relatively high percentages of design targets protected, whereas those dominated by family forest
31 owners generally have a lower percentages of protected targets. Our study suggests that
32 achievement of large landscape conservation designs will occur more readily in landscapes
33 containing large blocks of public or former industry forestlands. Our results also highlight
34 strategies that could focus efforts to fulfill large landscape conservation initiatives in places
35 where mixed private and public land ownership is the norm. Such strategies include continued
36 support for collaboration between public and private partners in conservation; planning for the
37 capacity to respond quickly to large, one-time land sales that are important design targets;
38 increased support for nonprofits in acquiring protections for underrepresented surface waters and
39 riparian connectivity targets; and an increased focus on the protection of low elevation targets in
40 large landscape conservation designs.

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47 1. Introduction

48

49 Roughly 12.5% of the Earth's land base has been set aside in formally protected areas (Joppa and
50 Pfaff, 2009); however, climate change impacts, rapid biodiversity losses, and increasing human
51 demands on the planet's limited resources have generated growing recognition of the need for a
52 new phase of large landscape, spatially and temporally explicit conservation planning efforts that
53 integrate dynamic human and natural systems and consider adaptive responses to global threats
54 (Aycrigg et al., 2016; Baldwin, 2018; Heller and Zavaleta, 2009; Maiorano et al., 2006; National
55 Academies of Sciences, Engineering, and Medicine, 2016; Network for Landscape Conservation,
56 2018; Pressey et al., 2007; Trombulak and Baldwin, 2010). To this end, large landscape
57 conservation (also termed "landscape-scale conservation" or "landscape conservation") has
58 emerged as one response in the conservation community. Many large landscape conservation
59 initiatives seek to link and steward sizable areas of habitat that represent a wide range of
60 biophysical conditions but form an ecologically meaningful unit; some also attempt to protect
61 local communities and livelihoods for the future or plan for long-distance species migrations and
62 climate change impacts. To accomplish this, these visions typically promote collaboration or a
63 shared vision among stakeholders that extend beyond traditional legal and organizational
64 boundaries; some initiatives also lack spatially-explicit borders (McKinney et al., 2010; Network
65 for Landscape Conservation, 2018). Examples of large landscape conservation initiatives include
66 the Yellowstone to Yukon Conservation Initiative (2019), which spans the U.S.-Canadian border
67 and targets an area for habitat connectivity almost as large as California (McKinney et al., 2010);
68 the Landscape Conservation Cooperatives (LCCs), a network of 22 ecoregional groups for North
69 America, spearheaded by the U.S. Department of the Interior in recognition of the need for
70 national leadership in large landscape conservation (National Academies of Sciences,
71 Engineering, and Medicine, 2016); Regional Conservation Partnerships (RCPs), which in New
72 England, USA are public-private partnerships focused on advancing land and/or natural resource
73 protection in a multi-jurisdictional area (Labich et al., 2013); and the Wildlands and Woodlands
74 Initiative, which aims to keep 70% of the U.S.'s New England states in forest, with 10% of that
75 subset as wildland reserves and 90% as multi-use woodland while also protecting agricultural
76 resources and local communities' livelihoods for the future (Foster et al., 2010 & 2017; Labich,
77 2015).

78

79 Large landscape conservation faces a number of challenges, however. Planning for variable
80 ecological considerations across big areas, the matrix and scale of private and public lands that
81 must be considered, the financial, political, and organizational capacities of partners to undertake
82 such initiatives, and the dynamics, variety, and long-term objectives of stakeholders may present
83 multifaceted difficulties (Baldwin, 2018; McKinney et al., 2010; Network for Landscape
84 Conservation, 2018; Powell, 2010; Scarlett and McKinney, 2016; Tabor et al., 2014; Trombulak
85 and Baldwin, 2010; Wyborn, 2014). A number of large landscape conservation initiatives also
86 occur in landscapes on which large parcel sizes and/or public holdings are relatively common.
87 This is true of the Northwest Forest Plan (Franklin and Johnson, 2014), the High Divide
88 Collaborative (2019), the Blackfoot Challenge (2019), the Tahoe Regional Planning Agency
89 (2019) and others; strategies developed for such places may not be transferable to areas where
90 small parcel size and private land ownership are the norm. Finally, it is unclear as to how
91 realistic some large landscape conservation initiatives are, and—when spatially explicit—how
92 well they overlap with pre-existing land protections. As such, there is a need for evaluation of the

93 compatibility and potential barriers to implementing a given conservation design¹ within certain
94 ownership matrices.

95
96 The northeastern U.S. presents a useful context for examining compatibility of new large
97 landscape conservation designs with existing land protections, given the preponderance of
98 private ownerships, as well as a long history of formal land protection through public
99 acquisitions and easements in the region (Liliehalm et al., 2010; Meyer et al., 2014; Natural
100 Resources Council of Maine, 2013). In the Northeast, 80% of forests are privately owned, and
101 70% of this subset are held by families and individuals, collectively termed “family forest
102 owners” (Thompson et al., 2017). This pattern of land ownership is largely due to European
103 settlement history of the U.S. Beginning in the late 1700s, the concept of public domain resulted
104 in greater reservation of lands west of the Appalachians by the federal government, while in the
105 east, lands were granted to individual settlers early on, with the government later buying back
106 some parcels (Fairfax et al., 2005). As a result, eastern parts of the country have far fewer large
107 federal ownerships than many western areas (Fairfax et al. 2005; Jenkins et al., 2015; Smith et
108 al., 1997), and 46.6% of the land bases of the eleven western-most states in the U.S. are owned
109 by the federal government, compared with just 4.2% of all other states (Vincent et al., 2017).
110 Additionally, 70% of forests in the western U.S. are public, whereas in the east, only 19% of
111 forests are public (U.S. Forest Service, 2014) (Fig. 1). The so-called Northern Forest—spanning
112 the states of New York, Maine, New Hampshire, and Vermont—is 10.4 million hectares in size,
113 of which 8.9 million hectares are privately owned (Daigle et al., 2012). The prevalence of small,
114 private parcel ownership in the Northeast may make landscape-level conservation strategies that
115 are working elsewhere more difficult to apply.

116
117 Moreover, systematic changes to land protection strategies in the U.S. over the past 400 years
118 have resulted in “conservation mosaics” on the landscape, with multiple actors employing
119 different tactics and objectives to protection. Changing paradigms about why to protect land—
120 from defense; to historic value, beauty, and recreation; to the prevention of natural disasters; to
121 opportunistic economics; to community values; to biodiversity—have also shaped the evolution
122 of conservation strategies and the selection of parcels that are today’s protected lands (Fairfax et
123 al., 2005).

124
125 Large landscape conservation is receiving growing international attention, and the number of
126 working partnerships and examples continue to increase (Baldwin et al. 2018; McKinney et al.,
127 2010; Network for Landscape Conservation, 2018). However, it is difficult to find studies that
128 quantify how well new conservation designs are meeting their targets, especially in regions
129 dominated by private land ownership. For this study, we present findings from a geospatial
130 analysis that compares currently protected lands in Vermont, USA with a new large landscape
131 conservation design collaboratively developed by state agencies and conservation organizations
132 (hereafter referred to as *Vermont Conservation Design*). Since private ownerships represent a
133 disproportionate share of land holdings in the state, we hope to shed light on how a new large
134 landscape conservation design and existing land protections overlap and where they diverge. Our

¹We recognize that the term “conservation design” has multiple valid meanings, but for the purposes of this paper, we define conservation design to mean *any large landscape conservation initiative with spatially-explicit targets or boundaries*.

135 results can inform future large landscape conservation efforts by pinpointing the locations in
136 which gaps and overlaps are likely to occur, and by suggesting possible strategies for the future
137 adoption of conservation designs in mixed-ownership regions.

138

139 **2. Methods**

140

141 *2.1 Study area*

142

143 Located in the northeastern U.S., Vermont has 2.4 million hectares of land. Like most of New
144 England, it has also undergone dramatic land use changes during the past 250 years. First home
145 to the Abenaki and Mahican people, Vermont was heavily logged, cultivated, and grazed by
146 European settlers from the 1760s to the 1840s. By the 1880s, growing western opportunities,
147 falling local profit margins, and declining crop yields resulted in large-scale farm abandonment
148 and eventual reforestation (Albers, 2000). Today, Vermont is home to about 626 000 people and
149 is one of the most rural states in the U.S. (U.S. Census Bureau, 2018). The state is 76% forested,
150 of which 20% is publicly owned, 19% is controlled by corporations and other entities, and the
151 remaining 61% is held by private landowners (U.S. Forest Service, 2016). A majority of private
152 forest landowners own less than 20.2 hectares, but the remaining individuals control 77% of all
153 forests in the state (Butler et al., 2014). Therefore, in addition to working predominantly with
154 private landowners, Vermont's conservation community faces the challenge of reaching out to
155 both large numbers of people who own a small amount of land and a small number of people
156 who own larger parcels.

157

158 *2.2 Compiling a spatial database of Vermont's protected lands*

159

160 Vermont does not have a single, up-to-date, spatially accurate database of its currently protected
161 areas. To address this, we combined three different protected lands datasets and then corrected
162 for all overlapping polygons to create a useable hybrid product, "New Hybrid Protected Lands
163 Layer for Vermont Conservation Design Analysis (February 2019)" (hereafter referred to as the
164 hybrid protected lands layer). Our input datasets consisted of Vermont Land Trust's (VLT)
165 database of protected lands, current as of February 2019 (obtained through private
166 correspondence with VLT's Jon Osborne); The Nature Conservancy's (TNC) Secured Areas
167 database, based on PAD-US 2015 with some TNC-specific updates through 2018 (obtained
168 through private correspondence with TNC's Dan Farrell); and the Vermont Center for
169 Geographic Information's (VCGI) publicly available Protected Lands Dataset, March 2017
170 Edition (2018).

171

172 The VLT and TNC datasets were used as the scaffolding for our hybrid protected lands layer,
173 while VCGI's database was only used when it contained information not available elsewhere. All
174 datasets listed information about protected areas' fee owners, interest holders (agencies holding
175 an easement, covenant, or conservation restriction on the property), or both. However, data on
176 fee ownership for conserved parcels was patchy across input datasets, so our analysis focused on
177 the role of interest holders in parcel protection. When both a fee owner and an interest holder
178 was listed for a parcel, the interest holder was designated as the primary protecting agency in our
179 output hybrid protected lands layer. VLT and VCGI's datasets pre-defined agencies as primary,
180 secondary, or tertiary interest holders where multiple parties were listed. In TNC's dataset,

181 interest holder type (federal, state, nonprofit, etc.) was available and was referenced when a
182 single cell listed multiple interest holders (for example, “The Nature Conservancy with the
183 Vermont Housing and Conservation Board”). When no interest holder was listed for a property
184 in any input database, the fee owner was assumed to be the primary protecting agency. Thus, our
185 analysis highlights the role of current, primary interest holders in land protection (hereafter
186 referred to as primary protecting agencies) and cannot do justice to the roles that fee owners play
187 in conservation, nor to the complex temporal and relational dynamics of protection that involve
188 multiple fee or interest holders interacting on a parcel in space and time.

189
190 Although our hybrid product is an improvement over other known datasets for our purposes, it
191 still does not account for all protected lands in Vermont. Specifically, our state-level analyses
192 underrepresent parcels conserved by the Upper Valley Land Trust (UVLT) by about 8,500
193 hectares (equivalent to 1.4% of all protected lands in Vermont), since the UVLT dataset was not
194 publicly available at the time of our study. UVLT operates in Orange, Caledonia, and Windsor
195 Counties in the state. We were able to belatedly acquire this missing data for Orange County,
196 given that it was a notable outlier in our county-level analysis results, but UVLT’s dataset is not
197 contained in our statewide hybrid protected lands layer nor in our other study results. We were
198 also unable to analyze temporal changes to protections in our analyses, since our input datasets
199 lacked consistent information about parcel protection dates. The hybrid protected lands layer
200 may also contain other errors inherited from its parent datasets, although the source data are
201 generally regarded as the best available. Despite these limitations, the hybrid product we
202 developed provides an important resource for gauging the degree of general agreement between
203 currently protected areas and Vermont Conservation Design for the vast majority of Vermont
204 (See Appendix A: Supplementary Data for the shapefile of our hybrid protected lands layer and
205 detailed metadata regarding its creation). A newer version of PAD-US (PAD-US 2.0) was
206 released in 2018 and may be used for similar analyses; however, we are confident that our hybrid
207 product is superior for the purposes of our study, due to Vermont-specific VLT and TNC
208 improvements to parent datasets, combined with our work to correct hundreds of overlapping
209 polygons for highly accurate area calculations. We used ESRI’s ArcGIS Pro software (2018) for
210 all data management and geospatial analysis.

211 212 *2.3 Vermont Conservation Design*

213
214 Vermont Conservation Design (VCD), completed for landscape-level targets in 2015, is a
215 spatially-explicit large landscape conservation vision developed for the entire state. It is the
216 result of a multi-year, collaborative partnership between the Vermont Fish & Wildlife
217 Department, Vermont Land Trust, and other partners (Vermont Agency of Natural Resources,
218 2018). Another spatially-explicit conservation design—The Nature Conservancy’s Resilient
219 Sites for Terrestrial Conservation in Eastern North America—also includes Vermont (Anderson
220 et al., 2016), and there is quite a bit of overlap in identified targets between the two visions for
221 the state (personal communication with VLT’s Elizabeth Thompson). For this study, we used
222 shapefiles of Vermont Conservation Design landscape-level targets received from the Vermont
223 Fish & Wildlife Department in Fall 2018. Input layers included highest priority interior forest
224 blocks, highest priority connectivity blocks, physical landscape diversity blocks, highest priority
225 surface waters and riparian areas, and riparian connectivity. We did not include wildlife road

226 crossings in the analysis, since they do not typically fall under protected lands status, and
227 because ownership may be unclear or belong to many parties.

228
229 To standardize inputs, all VCD and protected lands data were clipped to the shape of Vermont.
230 The individual landscape elements listed above were then merged to create a single shapefile of
231 all landscape-level targets for the analysis. For individual landscape element assessment, the
232 input layers were used without further modification, except for those described in our methods.

233 234 *2.4 Examining the overlap between a new vision and protected areas*

235
236 We excluded all water bodies except for small streams from the design and hybrid protected
237 lands layers prior to analysis, since inclusion would have biased county-level results around Lake
238 Champlain, a 1,269 km² lake on the western boundary of the state, and since the data on
239 waterbody status and primary protecting agency may be unclear or unavailable. Thus, our
240 analysis does not include the areas associated with ponds, lakes, and rivers even if they occur on
241 protected lands. We also introduced county boundaries into our protected lands layer prior to
242 running the analysis so that we could look for regional variation in results (and since county-
243 level metrics are readily available), even though counties do not constitute a form of governance
244 in Vermont.

245
246 To examine the overlaps between formally protected areas and Vermont Conservation Design,
247 we spatially intersected our hybrid protected lands layer with the design's highest priority
248 landscape targets. We did this for all highest priority landscape-level targets combined, as well as
249 for each individual highest priority landscape element listed above. The output in each instance
250 was a layer that displayed all overlaps between each highest priority design target and currently
251 protected lands, excluding water bodies and subdivided by county. We then used the program
252 Tableau (2019) to visually explore data and to generate cross tabulations of hectares of design
253 targets met at the county level and primary protecting agency type.

254 255 *2.5 Statistical analyses*

256
257 Factors potentially influencing the levels of overlap between formally protected lands and
258 Vermont Conservation Design highest priority design targets were examined by calculating
259 county-level metrics, including median household income, mean population density per square
260 mile, mean elevation, mean conservation design target elevation, and mean protected design
261 target elevation. Median county household income and mean county-level population density per
262 square mile were determined for 2014-2018 and 2010, respectively, based on U.S. Census
263 Bureau data (2019). We utilized ESRI ArcGIS Pro's (2018) zonal statistics as table tool to
264 calculate a mean elevation for each county, as well as for highest priority design targets and
265 protected targets at the county level (excluding large waterbodies) using a 2002 30-meter Digital
266 Elevation Model (DEM) (Vermont Center for Geographic Information, 2019). The zonal
267 statistics as table tool was also used to obtain the mean elevation across all formally protected
268 lands in the state, regardless of their inclusion in the design; the mean elevation of all
269 conservation design highest priority targets in the state, regardless of protection; and the mean
270 elevation of all protected highest priority targets in the state (excluding large waterbodies). We
271 tested for correlations between county-level attainment of design targets and the abovementioned

272 county-level metrics using Pearson’s correlation coefficient. In cases where data did not meet the
273 assumptions of this test, the non-parametric, Spearman’s Rho test was run instead. We used JMP
274 15 (JMP, 2019) for these analyses and an alpha of 0.05 for significance.

275

276 **3. Results**

277

278 *3.1 How much of the state is a large landscape design target?*

279

280 About two thirds of Vermont’s land base (67.7% or 1.61 million hectares) is a highest priority
281 landscape-level Vermont Conservation Design target of some kind. Such a result is perhaps not
282 as surprising as it first appears, since although the identification of coarse-filter targets was based
283 on detailed selection criteria (Vermont Agency of Natural Resources’ BioFinder / Vermont
284 Conservation Design Team, 2016), the overarching goal of the design’s steering committee was
285 to select “the set of highest-priority features that collectively gave [the committee] high
286 confidence in maintaining an ecologically functional landscape... without any political, practical,
287 or arbitrary cutoffs” (private correspondence with Bob Zaino, Vermont Fish and Wildlife
288 Department, 2020). With regards to individual landscape elements, we calculated that 42.6% of
289 Vermont is a highest priority interior forest block target; 47.5% is a highest priority connectivity
290 block target; 16.2% is a physical landscape diversity block target; 12.3% is a riparian
291 connectivity target, and 18.5% is a highest priority surface waters and riparian areas target. There
292 is significant spatial overlap between some landscape elements. Figure 3 depicts design target
293 totals in hectares for each landscape element at the state level and the progress that has been
294 made by different primary protecting agencies towards meeting targets via protected lands.

295

296 *3.2 How much land is already conserved?*

297

298 Our data indicates that Vermont has over 600 000 hectares of formally protected lands, which is
299 about one quarter (25.6%) of the state’s land base. The three largest interest holders in protected
300 lands in Vermont are the federal government, the state government, and private nonprofit
301 organizations. Across all protected areas, the primary protecting agency type (interest holder) is
302 30.5% federal (185 609 hectares); 30.5% state (185 850 hectares); 35.4% nonprofit (215 408
303 hectares); 3.6% town (22 079 hectares); and 0.01% tribal (65 hectares). 0.02% (103 hectares) of
304 protected lands in our dataset did not have a primary protecting agency listed.

305

306 *3.3 State-level analysis results*

307

308 Eighty seven point one percent of currently conserved lands are also highest priority landscape-
309 level design targets. Put in another way, Vermont’s protected lands account for about one-third
310 (32.9%) of all identified highest priority design targets, which is about one fifth (22.3%) of
311 Vermont’s land base, or approximately 530 000 hectares of land (Fig. 2). State-level results for
312 individual landscape elements reveal that a relatively high percentage of highest priority interior
313 forest block and connectivity block targets have been formally protected, while highest priority
314 riparian connectivity and surface water and riparian area targets are the least protected (Fig. 3).

315

316 Nonprofit organizations are primary protecting agency for the largest percentage of conserved
317 highest priority riparian connectivity and surface water and riparian area targets. Conversely,

318 federal and state agencies are the dominant interest holders in highest priority interior forest
319 blocks, connectivity blocks, and—to a lesser extent—physical landscape diversity blocks (Fig.
320 3). Since our analyses focused on the role of interest holders in formal land protection, it is not
321 surprising that private landowners and tribal categories are the primary interest holders in less
322 than 0.1% of protected design targets, and thus are not reported in our study results.

323

324 *3.4 County-level trends*

325

326 Although counties do not constitute a form of governance in Vermont, we conducted county-
327 level analyses to highlight regional variation in our results. Based on our analysis, Bennington
328 and Essex Counties rank highest in Vermont at current attainment of combined highest priority
329 landscape-level design targets, while Orange County ranks lowest (Table 1). There was notable
330 variation at the county level in terms of individual landscape element design targets met via
331 protected lands, with landscape elements of different types protected at relatively even rates in
332 some counties, while in other counties, some elements have been protected at higher rates than
333 others (Fig. 4). County-level attainment of highest priority protected design targets relative to
334 county-level identified targets averaged 31.0% across all Vermont counties.

335

336 There was no relationship between county-level progress towards meeting highest priority design
337 targets and median county-level household income ($p=0.25$), county population density per
338 square mile ($p=0.75$), mean county elevation ($p=0.39$), mean design target elevation ($p=0.30$),
339 or mean protected design target elevation ($p=0.13$). Based on our zonal statistics analyses, the
340 mean highest priority conservation design target elevation (regardless of protected status) occurs
341 at 420 meters, the mean protected lands elevation (regardless of inclusion in the design) occurs at
342 493 meters, and the mean protected highest priority target elevation occurs at 527 meters at the
343 state level.

344

345 **4. Discussion**

346

347 Temporal trends in Vermont conservation were outside of the scope of our analyses. However,
348 since trends in protection over time have significant implications for currently conserved lands
349 and the adoption of large landscape designs, we consider some of the state's history here (also
350 examined by Meyer et al. 2014 & 2015). Our analyses reveal that the federal government is the
351 primary protecting agency for 34.4% (182 295 hectares) of all conserved highest priority design
352 targets in Vermont. Most of this area is protected by the U.S. Forest Service, which currently
353 protects about 162 000 hectares of highest priority targets, or roughly 10% of the full
354 conservation design (Table 2). Nationally, the federal government's land acquisition activities
355 peaked in the late 1800s and early 1900s (Fairfax et al., 2005; Lilieholm et al., 2010; Meyer et al.
356 2014), and the U.S. Forest Service's greatest land protection efforts largely occurred between
357 1905 and 1987 (Williams, 2005). However, since the creation of the Green Mountain National
358 Forest in 1932, the federal government has continued to acquire land in Vermont, with U.S.
359 Forest Service lands alone totaling 64 749 hectares in 1937, 89 030 hectares in 1959, 148 282
360 hectares by 1997 and 161 698 hectares in 2010 (McGrory and Trombulak, 2015). While these
361 continued expansions do not fit with the national trend, they are somewhat more in line with
362 other eastern national forests that have seen gradual reassembly via sales from willing private
363 parties (Shands and Healy, 1977). Similarly, our analysis indicates that the State of Vermont

364 currently protects 33.4% (177 326 hectares) of all conserved highest priority design targets, with
365 the Vermont Department of Forests, Parks and Recreation (VT FPR) protecting the most design
366 targeted land of any state agency, at over 120 000 hectares (Table 2). State-conserved lands
367 totaled 28 327 hectares in 1940, 136 299 hectares by 1997 and 192 490 hectares as of 2013
368 (McGrory and Trombulak, 2015). Throughout the past century, both federal and state
369 government have received occasional funding spurts—such as via the Land and Water
370 Conservation Fund (LWCF) of 1964—that allowed for periods of more intense acquisition
371 (McGrory and Trombulak, 2015).

372
373 Other authors have noted the acceleration of land protection in the Northeast beginning in the
374 1980s, and have concluded that the use of conservation easements and the involvement of
375 nonprofits has been a major factor in that trend (McGrory and Trombulak, 2015; Meyer et al.
376 2014). In Vermont, funding for the LWCF declined in the 1980s and the state responded by
377 establishing the Vermont Housing and Conservation Board (VHCB). VHCB, a public entity, has
378 since aided both public and private nonprofit organizations with funding for conservation.
379 Groups like Vermont Land Trust, the Nature Conservancy, and the Green Mountain Club have
380 all been direct beneficiaries. Other federal initiatives like Forest Legacy (1990), have also
381 contributed public funding for conservation to private nonprofits (McGrory and Trombulak,
382 2015). Our study illustrates that in Vermont, private nonprofits collectively conserve 28.9% (153
383 280 hectares) of protected highest priority design targets, despite their much more recent
384 emergence as an agent in land protection. The Vermont Land Trust (VLT), founded in 1977
385 (Vermont Land Trust, 2020), helped conserve 56 000 hectares in Vermont from 1977-1997 alone
386 (McGrory and Trombulak, 2015)—and according to our analysis, VLT currently protects over
387 100 000 hectares of highest priority design targets (Table 2). The Green Mountain Club (GMC),
388 a small private nonprofit, began conserving land in 1987. GMC now holds an interest or fee
389 ownership on about 8,500 hectares of land in the state (Green Mountain Club, 2019 & 2020),
390 more than 6,800 hectares of which are highest priority design targets (Table 2). As with other
391 regions, this example illustrates both the important temporal dynamics of land protection and
392 also that collaboration within conservation has been central to the history of Vermont’s protected
393 lands, although we did not have sufficient data to quantify these trends.

394
395 The importance of large, one-time windfalls of former timber industry lands to regional
396 conservation initiatives is a trend that has been observed broadly across the US as vertically-
397 integrated timber companies have divested ownerships over the past two decades (Bliss et al.,
398 2010; D’Amato et al., 2018; Meyer et al., 2014). From 2001 to 2007 alone, the timber industry
399 sold off more than 10 million hectares of former industry lands across the country (Bliss et al.,
400 2010). In Vermont, over 53 000 hectares of these industrial forestlands were purchased from the
401 Champion International Corporation in 1998 by conservation organizations and state and federal
402 agencies to provide permanent protection in various forms (Vermont Agency of Natural
403 Resources, 1999). The fact that these sales are unique opportunities for large scale conservation
404 is demonstrated in our analyses, as the county with the highest level of progress towards meeting
405 design goals (Essex County, Fig. 4) also contains a majority of the former industrial timberlands
406 in the state. Our calculations indicate that these purchases have collectively conserved more than
407 a third of Essex’s identified county-level design targets (Table 1). However, in the Northeast,
408 commercial ownership of working landscapes appears to have stabilized relative to the large sell-
409 offs that happened in the 1990s and 2000s (Meyer et al. 2014), so future opportunities of this

410 type in our study area may be rare. Additionally, such acquisition requires conservation
411 organizations and partners to have the capacity to respond to unexpected conservation
412 opportunities (Fairfax et al., 2005), which has proven challenging in recent years with declining
413 government support for such purchases (Meyer et al. 2014).

414
415 Federal, state, and private nonprofit agencies have surprisingly even shares in protecting highest
416 priority design targets in our analysis results (Fig. 3), but examination of conservation history in
417 the region points both to the complex partnerships at work and to the varying importance of
418 different agencies over time. Historic legislation, funding for, and the reassembly of public lands
419 from private parcels in our study area as well as land sales from vertically-integrated timber
420 companies have had an outsized influence on meeting the design's connectivity and interior
421 forest block targets. However, the rapid gains in land protections made during the past 40 years
422 by private nonprofits are temporally striking, and other authors have noted that the use of
423 conservation easements has grown exponentially in recent years (Fishburn et al., 2009;
424 Merenlender et al. 2004).

425
426 Past global conservation has been strongly biased towards the protection of lands at a distance
427 from urban centers and on steep, high terrain, despite the fact that such locations may be
428 incongruous with demonstrated conservation needs (Hunter and Yonzon, 1993; Joppa and Pfaff,
429 2009; Scott et al., 2001). In the Northeast, low and mid-elevational sites as well as calcium-rich
430 geologies are recognized as underrepresented in conservation (Open Space Institute, 2017).
431 Although we did not see a statistically significant relationship at the county level between
432 elevation and progress towards meeting design targets, this literature is consistent with our basic
433 findings around mean elevation for currently protected lands at the state level. Vermont's highest
434 elevation occurs at just under 1400 meters (and its lowest at 29 meters). The mean highest
435 priority conservation design target occurs at 420 meters, but the mean protected lands elevation
436 occurs at 493 meters, suggesting that Vermont Conservation Design is attempting to rectify
437 historical elevational bias. Surprisingly, the mean protected design target elevation occurs at 527
438 meters—higher even than the mean for all conserved lands—suggesting that protected design
439 targets may be areas most attainable through historic conservation efforts.

440
441 Conservation easements have been shown to be more effective at protecting middle and lower
442 elevation lands, as well as certain types of ecosystems not widely encompassed by past
443 protections (Gallo et al. 2009; Graves et al., 2019; Scott et al. 2001). To this effect, our findings
444 are consistent with other work that has indicated nonprofits have an especially important role to
445 play in the protection of riparian connectivity and surface waters and riparian areas targets within
446 large landscape initiatives (Merenlender et al. 2004). These two targets are also the least
447 protected in the state, although riparian connectivity targets the smallest total amount of land for
448 protection of any landscape design element in Vermont (Fig. 3).

449 450 **5. Conclusions**

451
452 Our analyses indicate that the combined efforts of federal, state, and private nonprofits have
453 made significant collective progress towards meeting large landscape conservation design targets
454 via formally protected lands in a region where small parcel sizes and private lands are typical.
455 Examining history demonstrates that public rights to land can successfully be pieced back

456 together even after being transferred to private parties, and our study underscores the fact that
457 doing so can have important eventual benefits for large landscape conservation. Our analysis also
458 reveals that the divestment of vertically-integrated timber companies in the 1990s and 2000s in
459 Vermont has contributed to concrete advancements in realizing large landscape conservation
460 design targets in some parts of the state. While such sales already are—and may be increasingly
461 infrequent in the Northeast (Meyer et al. 2014)—our study can inform the preparedness of
462 conservation actors and their partners in other regions where opportunities for these and other
463 similar types of land sales still exist or may be upcoming. Finally, while we recognize that
464 collaborative efforts are central to conservation in ways that our analysis cannot illustrate, our
465 results point to a huge area of opportunity in regions like ours—that private nonprofits play an
466 important role as the primary interest holders in important and under-protected riparian
467 connectivity and surface waters and riparian area design targets, an effort that can continue to be
468 backed by public-private partnerships and other support mechanisms. However, our findings also
469 demonstrate that less accessible biophysical settings that have been historically overrepresented
470 in conservation remain disproportionately embodied in protected design targets in Vermont; a
471 finding which, while unsurprising, suggests that low-elevation lands constitute a continuing gap
472 among protected targets within the conservation design. To this effect, recent regional analyses
473 indicate Vermont and several neighboring states declined in total forestland for the first time in
474 over a century with estimates suggesting forestlands are now being lost to development at a rate
475 of 600 hectares per year (Foster et al. 2017). These trends coincide with significant declines for
476 conservation funding in New England and highlight the challenges to making substantial
477 progress towards the remaining two-thirds of conservation design targets in this region and
478 others. Achievement of such ambitious designs will require a redoubling of efforts through
479 additional land protection strategies, smart development planning, and increased support for
480 conservation initiatives by a variety of actors.

481

482 **6. Acknowledgements**

483

484 Please see manuscript title page for acknowledgements.

485

486 **7. Role of the Funding Source**

487

488 Please see manuscript title page for role of the funding source information.

489

490 **8. Appendix A: Supplementary Data**

491

492 New VT Hybrid Protected Lands Layer for VCD Analysis (February 2019)

493

494 File Type: Shapefile (.shp)

495

496 Summary: This shapefile is a hybrid of the March 2017 Edition of the Vermont Center for
497 Geographic Information's Vermont Protected Lands Database (VPLD), the Vermont Land
498 Trust's February 2019 protected lands database, and The Nature Conservancy's Secured Areas
499 (SA 2018+) database. It creates a more complete snapshot of Vermont's protected lands for our
500 purposes than any other publicly available dataset at the time of our study, and also corrects for
501 all improperly overlapping polygons. SA 2018+ and VLT datasets were used as the scaffolding

502 for the layer, with some VPLD polygons retained if they contained unique contributions. Note
503 that prior to use in the analysis, this layer was modified to exclude waterbodies and to introduce
504 county boundaries. Please see the shapefile's metadata for detailed information about its
505 creation.

506

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774 **10. Tables**

775

776 **Table 1:** Targeted hectares of all highest priority landscape-level design elements combined and
 777 current levels of attainment via protected lands, viewed through a county-level lens.
 778

County Name	Total County-Level Highest Priority Design Hectares Targeted	% of County-Level Design Targets Protected
ESSEX	161 389	55.0%
BENNINGTON	137 490	54.6%
ADDISON	131 873	43.8%
RUTLAND	177 018	37.3%
LAMOILLE	87 297	35.9%
CHITTENDEN	82 952	30.7%
WINDHAM	133 038	26.1%
WINDSOR	159 795	25.8%
WASHINGTON	116 994	25.7%
FRANKLIN	87 815	22.4%
ORLEANS	109 567	21.5%
GRAND ISLE	10 381	20.5%
CALEDONIA	114 411	19.7%
ORANGE ^a	102 216	14.9%

^aOrange County results include the full Upper Valley Land Trust dataset which was unavailable for the state-level analysis

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780 **Table 2:** Vermont’s largest primary protecting agencies in conserving highest priority design
 781 targets. All agencies conserving >5,000 hectares are listed below.
 782

Primary Protecting Agency Type & Name	Protected Targets (Hectares)
FEDERAL	
US Forest Service	162 232
US Fish & Wildlife Service	13 404
STATE	
VT Forest, Parks and Recreation	127 073
VT Department of Fish & Wildlife	40 208
PRIVATE NONPROFIT	
Vermont Land Trust	100 588
The Nature Conservancy	17 453
The Nature Conservancy with the Vermont Housing and Conservation Board	11 698
Green Mountain Club	6,876

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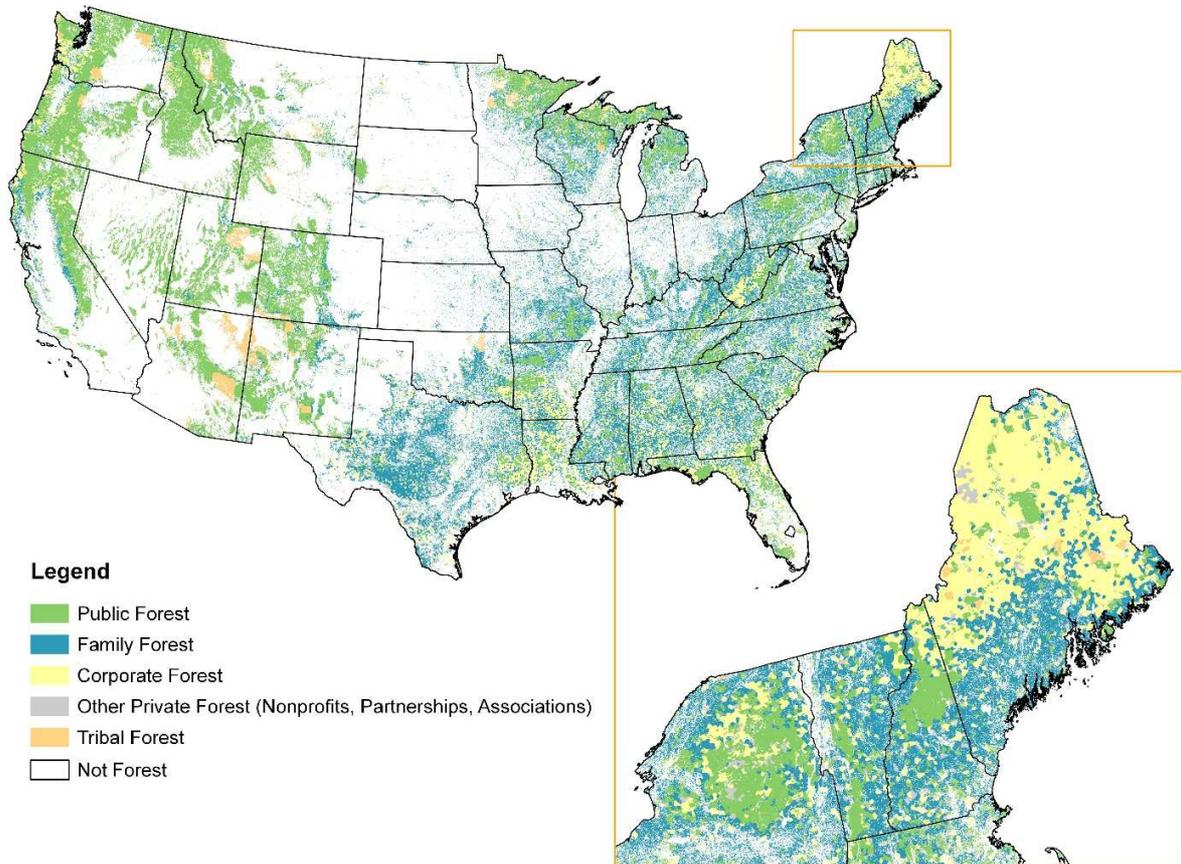
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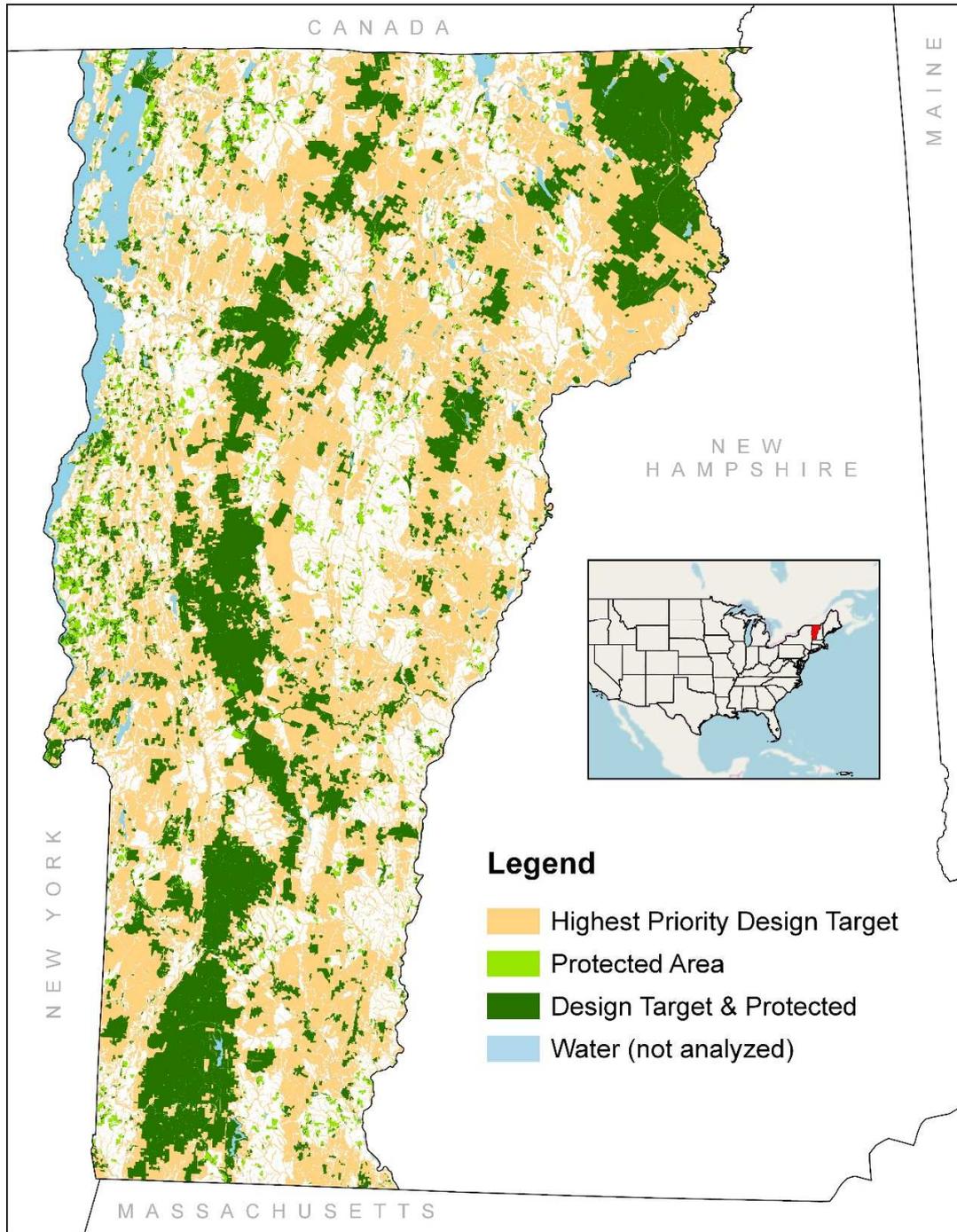
790 **11. Figures**
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794 **Figure 1:** Forest ownership in the contiguous United States, 2014. In the western United States,
795 a majority of forests are under public ownership, while eastern forests tend to be privately
796 owned. In the Northeast, northern Maine is dominated by private corporate ownership, while in
797 other parts of the Northern Forest—spanning New York, Maine, New Hampshire, and
798 Vermont—family forests and large tracts of public forests are more common. (Map by
799 corresponding author; Dataset: Hewes et al., 2017).

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801 (This figure should be printed in color, and is a 1.5-column fitting image)

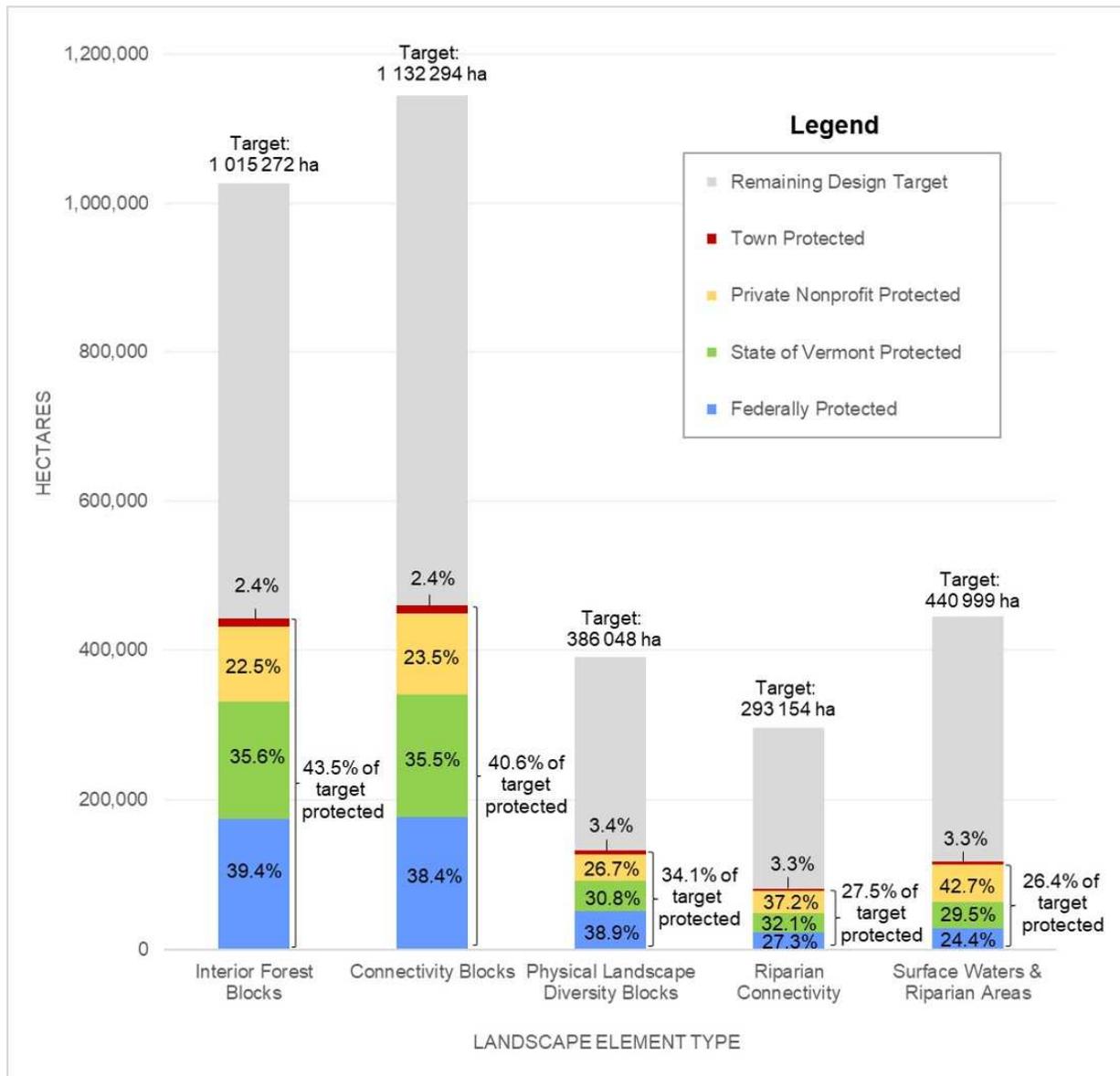
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Figure 2: Spatial overlap between highest priority landscape-level Vermont Conservation Design targets and protected areas. Areas of overlap are shown in dark green (Map by corresponding author; Datasets: New Hybrid Protected Lands Layer for Vermont Conservation Design Analysis, 2019; Vermont Fish & Wildlife Department, 2018).

(This figure should be printed in color, and is a single-column fitting image)

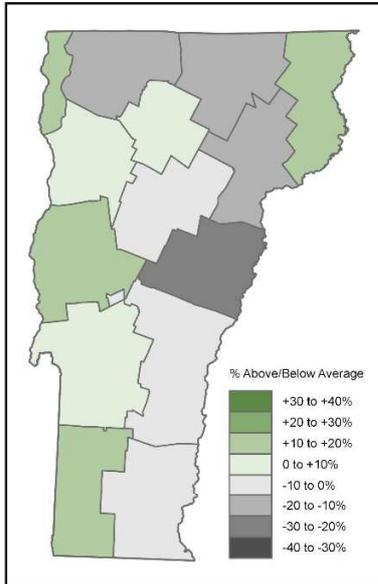


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Figure 3: State-level targets for highest priority landscape design elements and percentages of each element that are formally protected by primary protecting agency type. Numbers within colored bars display the proportion of protected lands conserved by each primary protecting agency type.

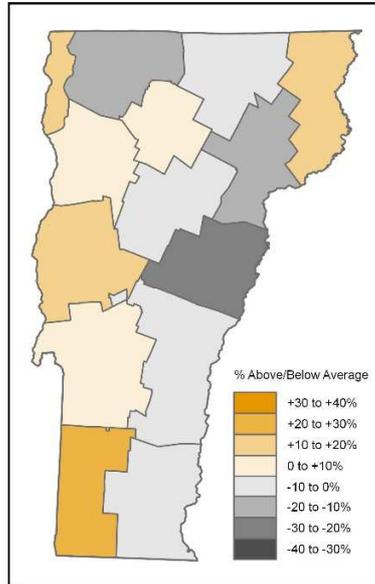
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Highest Priority Interior Forest Blocks



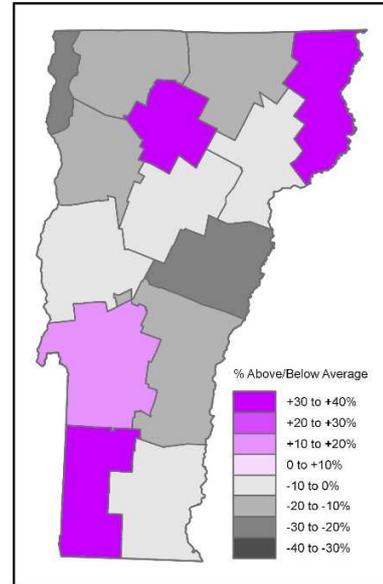
a. Through a county-level lens, an average of 41.28% of highest priority interior forest block targets have been formally protected across all Vermont counties. County-level attainment relative to this average is shown above.

Highest Priority Connectivity Blocks



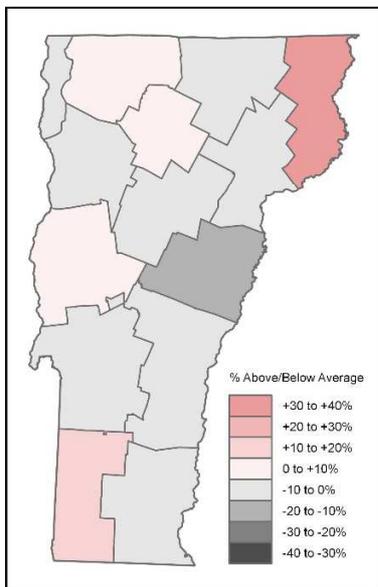
b. Through a county-level lens, an average of 38.87% of highest priority connectivity block targets have been formally protected across all Vermont counties. County-level attainment relative to this average is shown above.

Highest Priority Physical Landscape Diversity Blocks



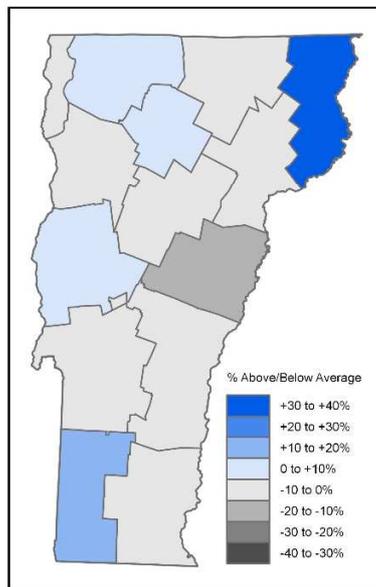
c. Through a county-level lens, an average of 36.62% of physical landscape diversity block targets have been formally protected across all Vermont counties. County-level attainment relative to this average is shown above.

Highest Priority Riparian Connectivity



d. Through a county-level lens, an average of 26.43% of riparian connectivity targets have been formally protected across all Vermont counties. County-level attainment relative to this average is shown above.

Highest Priority Surface Waters & Riparian Areas



e. Vermont counties have protected an average of 25.60% of their county-level highest priority surface water and riparian area targets. County-level progress relative to this average is shown above.

Vermont Counties Reference Map



830 **Figure 4:** Variation in the protection of individual landscape element design targets under
831 Vermont Conservation Design as seen through a county-level lens. In some Vermont counties,
832 different landscape targets have been relatively evenly protected, while in other counties, certain
833 targets stand out as better or worse protected, as compared to average attainment. Current
834 attainment for each county was analyzed by dividing the hectares of protected lands that are
835 highest priority design targets for a county by that county's total design-targeted hectares. All
836 counties' attainment levels were averaged to create a baseline for cross-county comparison.
837 (Map by corresponding author; Datasets: Tableau (2019) was used to cross-tabulate and compare
838 overlapping hectares at the county level between the New Hybrid Protected Lands Layer for
839 Vermont Conservation Design Analysis (2019) and Vermont Fish & Wildlife Department's
840 (2018) Vermont Conservation Design Layers.)

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842 (This figure should be printed in color, and is a double-column fitting image)