

Regulatory	Process	Document
ACO Oversight	Certification	Certificate of Good Standing with Sec. of State
ACO Oversight	Certification	OneCare Conflict of Interest
ACO Oversight	Certification	Governance and Executive Leadership Charts
ACO Oversight	Certification	OneCare Operating Agreement
ACO Oversight	Budget Order 2020	Salary table
ACO Oversight	Certification	OneCare Compliance Plan
ACO Oversight	Certification	Full Organizational Chart
ACO Oversight	Budget Order 2020	Financial Statements
ACO Oversight	Budget Order 2020	Hospital dues
ACO Oversight	Budget Order 2020	Hospital risk amounts
ACO Oversight	Budget Order 2020	Risk Mitigation Plan
ACO Oversight	Budget Order 2020	Administrative expenses/operational budget
ACO Oversight	Budget Order 2020	Audited financial statements
ACO Oversight	Budget Order 2020	Network Development Strategy
ACO Oversight	Certification	OneCare Network Support and Access
ACO Oversight	Budget	Provider Network list
ACO Oversight	Certification	Advance Community Care Coordination Payments
ACO Oversight	Certification	Care Coordination and Disease Management Program within an Integrated Care Delivery Model
ACO Oversight	Certification	Care Coordination Training and Responsibilities
ACO Oversight	Certification	OneCare Vermont Primary Care Case Management and Care Coordination Payment Distribution
ACO Oversight	Budget	ACO Population Risk Stratification Summary
ACO Oversight	Budget	Population Health Program Investments
ACO Oversight	Certification	Coordination and integration with the Blueprint for Health and its community collaboratives
ACO Oversight	Certification	Collaboration with Community-Based Providers
ACO Oversight	Budget	ACO Models of Care, including population health initiatives and associated outcomes
ACO Oversight	Certification	ACO Quality Improvement
ACO Oversight	Budget	ACO Clinical Priority Areas

ACO Oversight	Certification	Utilization Management Plan
ACO Oversight	Budget	Use of data to drive performance at the Health Service Area
ACO Oversight	Certification	OneCare Beneficiary Grievances and Appeals
ACO Oversight	Certification	OneCare Participant Appeals
ACO Oversight	Certification	Consumer Advisory Board and yearly training by HCA
ACO Oversight	Budget Order 2020	Medicare contract
ACO Oversight	Budget Order 2020	Medicaid contract
ACO Oversight	Budget Order 2020	Commercial payer contracts
ACO Oversight	Budget Order 2020	Actuarial certifications for commercial payer contracts
ACO Oversight	Budget Order 2020	Attribution by payer
ACO Oversight	Certification	OneCare Vermont VMNG Fixed Prospective Payment Distribution
ACO Oversight	Budget	Budgeted and projected trend rates, by payer
ACO Oversight	Budget	Total Shared Savings/(Loss) to ACO
ACO Oversight	Budget	Revenues by payer
ACO Oversight	Certification	ACO Quality Results by Payer
ACO Oversight	Budget	Sample OneCare Provider Contract
ACO Oversight	Budget	Signed OneCare-Hospital Risk Addendums
ACO Oversight	Budget Order 2020	Scale Target ACO Initiatives
ACO Oversight	Certification	Efforts to address payment parity/comprehensive primary care program
ACO Oversight	Certification	OCVT Privacy and Security
ACO Oversight	Certification	OneCare Vermont Data Use
APM	Statewide Reporting	Statewide Health Outcomes and Quality of Care Reports
APM	Statewide Reporting	TCOC Report
APM	Statewide Reporting	Payer Differential Report
ACO Oversight	Budget	Efforts to address childhood adversity
ACO Oversight	Certification	Addressing mental health outcomes

Frequency	Certification Category (Rule 5.201-5.210)	Budget Category (Rule 5.403)
Initial Certification & upon change	5.201 Legal Entity	1
Initial Certification & upon change	5.202 Governing Body	N/A
Initial Certification & upon change	5.202 Governing Body	1
Initial Certification & upon change	5.202 Governing Body	1
Annual	5.203 Leadership and Management	1,3
Initial Certification & upon change	5.203 Leadership and Management	N/A
Annual	5.203 Leadership and Management	1
Quarterly	5.204 Solvency and Financial Stability	2,3,14
Annual	5.204 Solvency and Financial Stability	2,3,9
Annual	5.204 Solvency and Financial Stability	2,3,9,10
Budget	5.204 Solvency and Financial Stability	2,3,9,10, (b)
Quarterly	5.204 Solvency and Financial Stability	2,3,14
Annual	5.204 Solvency and Financial Stability	15
Annual	5.205 Provider Network	8
Initial Certification & upon change	5.205 Provider Network	8
Annual	5.205 Provider Network	8
Initial Certification & upon change	5.206 Population Health Management and Care Coordination	16
Initial Certification & upon change	5.206 Population Health Management and Care Coordination	13
Initial Certification & upon change	5.206 Population Health Management and Care Coordination	13
Initial Certification & upon change	5.206 Population Health Management and Care Coordination	2,9,11,17
Annual	5.206 Population Health Management and Care Coordination	13
Annual	5.206 Population Health Management and Care Coordination	17-20
Annual	5.206 Population Health Management and Care Coordination	16
Annual	5.206 Population Health Management and Care Coordination	16,18
Annual	5.206 Population Health Management and Care Coordination	11
Initial Certification & upon change	5.207 Performance Evaluation and Improvement	12
Annual	5.207 Performance Evaluation and Improvement	12

Annual	5.207 Performance Evaluation and Improvement	12
Annual	5.207 Performance Evaluation and Improvement	12,13
Initial Certification & upon change	5.208 Patient Protections and Support	7
Initial Certification & upon change	5.208 Patient Protections and Support	7
Initial Certification & upon change	5.208 Patient Protections and Support	5
Annual	5.209 Provider Payment	10
Annual	5.209 Provider Payment	10
Annual	5.209 Provider Payment	10
Annual	5.209 Provider Payment	10
Quarterly	5.209 Provider Payment	10
Initial Certification & upon change	5.209 Provider Payment	9
Annual	5.209 Provider Payment	10
Annual	5.209 Provider Payment	4
Annual	5.209 Provider Payment	10
Annual	5.209 Provider Payment	4
Annual	5.209 Provider Payment	9
Annual	5.209 Provider Payment	9
Annual	5.209 Provider Payment	APM
Annual	5.209 Provider Payment and new criteria	9,17
Initial Certification & upon change	5.210 Health Information Technology	N/A
Initial Certification & upon change	5.210 Health Information Technology	N/A
Annual & Quarterly	N/A	APM
Annual & Quarterly	N/A	APM
Annual	N/A	APM
Annual	New 2019 certification criteria	20
Initial Certification & upon change	New 2019 certification criteria	16,18

Budget Category (Rule 5.403)

ACO structure, composition, ownership, governance, and management

ACO structure, composition, ownership, governance, and management

ACO structure, composition, ownership, governance, and management
Management; Financial information

ACO structure, composition, ownership, governance, and management
Proposed budget; Financial information; Projected 3-yr capital expenditures
Proposed budget; Financial information; Provider Payment strategies and methodologies
Proposed budget; Financial information; Provider Payment strategies and methodologies; Payer contracts
Proposed budget; Financial information; Provider Payment strategies and methodologies; Payer contracts; Risk c
Proposed budget; Financial information; Projected 3-yr capital expenditures
Reports from professional review organizations
Provider Network and payer programs

5.205 Provider Network and payer programs
Provider Network and payer programs

Prevent duplication & integration with Blueprint

Utilization

Utilization
Proposed budget; Provider Payment strategies and methodologies; models of care, pop. Health initiatives
&benefit enhancements; strengthen primary care

Utilization

Primary care, community-based providers, social determinants of health, adverse childhood experiences

Prevent duplication & integration with Blueprint

Prevent duplication & integration with Blueprint; integration of community-based providers

models of care, pop. Health initiatives &benefit enhancements;

Performance Evaluation and Improvement Program

Performance Evaluation and Improvement Program

Performance Evaluation and Improvement Program

Performance Evaluation and Improvement Program; Utilization

Complaint, grievance, & appeal process

Complaint, grievance, & appeal process

Consumer input activities

Payer contracts

Payer contracts

Payer contracts

Payer contracts

Payer contracts

Provider Payment strategies and methodologies

Payer contracts

Financial and quality performance results under Payer contracts

Payer contracts

Financial and quality performance results under Payer contracts

Provider Payment strategies and methodologies

Provider Payment strategies and methodologies

Provider Payment strategies and methodologies; strengthen primary care

All Payer Model Agreement

All Payer Model Agreement

All Payer Model Agreement

Impacts of adverse childhood experiences and trauma

Prevent duplication & integration with Blueprint; integration of community-based providers

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