

1 S.134

2 Introduced by Senator White

3 Referred to Committee on Government Operations

4 Date: February 27, 2019

5 Subject: Executive; federal tax information; background investigations

6 Statement of purpose of bill as introduced: This bill proposes to require State  
7 employees with access to federal tax information to undergo a background  
8 investigation at least every 10 years.

9 An act relating to background investigations for State employees with  
10 access to federal tax information

11 It is hereby enacted by the General Assembly of the State of Vermont:

12 ~~Sec. 1. 3 V.S.A. § 241 is amended to read:~~

13 § 241. BACKGROUND INVESTIGATIONS

14 \* \* \*

15 (b) As used in this chapter, "Recipient" means the following authorities of  
16 the Executive Branch of State government that receive FTI:

17 (1) Agency of Human Services, including the:

18 (A) Department for Children and Families;

19 (B) Department of Health;

20 ~~(C) Department of Mental Health; and~~

1 ~~(D) Department of Vermont Health Access.~~

2 (2) Department of Labor.

3 (3) Department of Motor Vehicles.

4 (4) Department of Taxes.

5 (5) Agency of Digital Services.

6 (6) Department of Buildings and General Services.

7 (c)(1) The Recipient shall conduct an initial background investigation of  
8 any individual, including a current or prospective employee, volunteer,  
9 contractor, or subcontractor, to whom the Recipient will permit access to FTI  
10 for the purpose of assessing the individual's fitness to be permitted access to  
11 FTI.

12 (2) The Recipient shall, at least every 10 years, conduct a periodic  
13 background reinvestigation of any employee, volunteer, contractor, or  
14 subcontractor to whom the Recipient permits access to FTI.

15 \* \* \*

16 Sec. 2. EFFECTIVE DATE

17 ~~This act shall take effect on July 1, 2019.~~

~~§ 1. 3 V.S.A. § 241 is amended to read:~~

~~§ 241. BACKGROUND INVESTIGATIONS~~

~~\* \* \*~~

~~(b) As used in this chapter, "Recipient" means the following authorities of the Executive Branch of State government that receive FTI.~~

~~(1) Agency of Human Services, including the:~~

~~(A) Department for Children and Families;~~

~~(B) Department of Health;~~

~~(C) Department of Mental Health; and~~

~~(D) Department of Vermont Health Access.~~

~~(2) Department of Labor.~~

~~(3) Department of Motor Vehicles.~~

~~(4) Department of Taxes.~~

~~(5) Agency of Digital Services.~~

~~(6) Department of Buildings and General Services.~~

~~(c)(1) The Recipient shall conduct an initial background investigation of any individual, including a current or prospective employee, volunteer, contractor, or subcontractor, to whom the Recipient will permit access to FTI for the purpose of assessing the individual's fitness to be permitted access to FTI.~~

~~(2) The Recipient shall, at least every 10 years, conduct a periodic background reinvestigation of any employee, volunteer, contractor, or subcontractor to whom the Recipient permits access to FTI.~~

~~(3) The impact of the results of a background investigation performed pursuant to subdivision (1) of this subsection shall be the subject of impact bargaining between the State and the collective bargaining representative for the employee's bargaining unit to the extent required by any collective bargaining agreements between the parties.~~

~~\*\*\*~~

~~Sec. 2. EFFECTIVE DATE~~

~~This act shall take effect on July 1, 2019.~~

~~\*\*\* Background Investigations \*\*\*~~

~~Sec. 1. 3 V.S.A. § 241 is amended to read:~~

~~§ 241. BACKGROUND INVESTIGATIONS~~

~~\*\*\*~~

~~(b) As used in this chapter, "Recipient" means the following authorities of the Executive Branch of State government that receive FTI:~~

~~(1) Agency of Human Services, including the:~~

~~(A) Department for Children and Families;~~

*(B) Department of Health;*

*(C) Department of Mental Health; and*

*(D) Department of Vermont Health Access.*

*(2) Department of Labor.*

*(3) Department of Motor Vehicles.*

*(4) Department of Taxes.*

*(5) Agency of Digital Services.*

*(6) Department of Buildings and General Services.*

*(c)(1) The Recipient shall conduct an initial background investigation of any individual, including a current or prospective employee, volunteer, contractor, or subcontractor, to whom the Recipient will permit access to FTI for the purpose of assessing the individual's fitness to be permitted access to FTI.*

*(2) The Recipient shall, at least every 10 years, conduct a periodic background reinvestigation of any employee, volunteer, contractor, or subcontractor to whom the Recipient permits access to FTI.*

*(3) The impact of the results of a background investigation performed pursuant to subdivision (1) of this subsection shall be the subject of impact bargaining between the State and the collective bargaining representative for the employee's bargaining unit to the extent required by any collective bargaining agreements between the parties.*

*\* \* \**

*\* \* \* State Temporary and Seasonal Employees \* \* \**

*Sec. 2. 3 V.S.A. § 323 is amended to read:*

*§ 323. DEFINITIONS*

*As used in this chapter, unless the context clearly requires otherwise:*

*\* \* \**

*(2) "Bona fide emergency" means an unanticipated need for short-term staffing:*

*(A) to prevent significant disruption to the continued operation of State government;*

*(B) to avoid serious or imminent harm to the public, critical services, or other staff; or*

(C) to avoid jeopardizing public safety.

(3) "Class" means one or more positions sufficiently similar in nature, scope, and accountability that the same title, test of fitness, and schedule of compensation may be applied to each position.

(3)(4) "Job evaluation" means the systematic method used to determine the value of each job in relation to other jobs within the State service.

(5) "Seasonal employment" means employment in a temporary position with a specific start date and anticipated end date for a period of not more than seven months in any 12-month period or employment in a temporary position with a specific start date and anticipated end date for a period of more than seven months that has been approved by the Commissioner of Human Resources pursuant to subdivision 331(c)(3) of this chapter. Seasonal employment includes employment in temporary positions that are available on a reoccurring basis from year to year.

Sec. 3. 3 V.S.A. § 331 is amended as follows:

§ 331. TEMPORARY EMPLOYEES

(a) The State shall not employ any person in a temporary capacity except in accordance with the provisions of this section.

(b)(1) On request of the appointing authority, the Commissioner of Human Resources may approve, in writing, the creation of a temporary position and the hiring of a person to fill such temporary position only if the position and person are needed:

(A) to meet a seasonal employment need of State government;

(B) to respond to a bona fide emergency;

(C) to fill in for the temporary absence of an existing employee, or a vacancy in an existing position; or

(D) to perform a governmental function that requires only intermittent, sporadic, or ongoing employment ~~that averages less than 20 hours per week during any one calendar year~~, provided that such employment does not exceed 1,280 work hours in any one calendar year.

\* \* \*

(c)(1) The Commissioner may authorize the continued employment of a person in a temporary capacity for more than 1,280 work hours in any one calendar year if the Commissioner determines, in writing, that a bona fide emergency exists for the appointing authority that requires such continued employment. Authorization of temporary employment for more than 1,280

work hours in a calendar year shall not be required for seasonal employment, as that term is defined pursuant to section 323 of this chapter. Annually, on or before January 15, the Commissioner shall submit a report to the House Committee on General, Housing, and Military Affairs and the House and Senate Committees on Government Operations:

\* \* \*

(2) It shall be the responsibility of the head of each department to provide to the Department of Human Resources a detailed justification for each waiver to exceed the 1,280-work-hour limit within his or her department and such other information as may be required in order to enable that department to carry out its responsibility under this section.

(3) The Commissioner may authorize seasonal employment in a specific position for a period of between seven and 12 months if the Commissioner determines, in writing, that the nature and duties of the position require the employment of a person for a period of more than seven months in a 12-month period. The Commissioner shall not authorize seasonal employment for a period of more than seven months in a 12-month period if the authorization is intended to circumvent, or has the effect of circumventing, the policies and purposes of the classified service under this chapter. Annually, on or before January 15, the Commissioner shall submit a report to the House and Senate Committees on Government Operations regarding:

(A) the total number of positions in seasonal employment that have been authorized for a period of between seven and 12 months during the prior calendar year;

(B) the agency or department that each position identified in subdivision (A) of this subdivision (c)(3) is assigned to; and

(C) the period of time that each identified position is authorized for.

(d) The Commissioner may transfer and convert existing, vacant positions in the Executive Branch of State government to replace the temporary positions of long-term temporary employees who are performing ongoing and continuing functions of State government ~~for more than an average of 20 hours per week during any one calendar year or for more than 1,280 work hours in any one calendar year.~~

\* \* \*

(f) An individual employed in a temporary or seasonal capacity shall be entitled to the whistleblower protections, rights, and remedies provided to State employees pursuant to sections 971–978 of this title.

*Sec. 4. STATE TEMPORARY AND SEASONAL EMPLOYEES; REPORT*

On or before January 15, 2020, the Secretary of Administration shall submit a written report to the House and Senate Committees on Appropriations and on Government Operations regarding:

(1) the number of temporary employees, not including individuals working in seasonal employment as defined pursuant to 3 V.S.A. § 323(5), who, during the prior calendar year, were employed by each agency and department in a temporary capacity pursuant to 3 V.S.A. § 331;

(2) the number of temporary positions in each agency or department identified pursuant to subdivision (1) of this section that are performing ongoing and continuing functions of State government for which a permanent classified position would better meet the needs of the State;

(3) the number of temporary positions during the prior calendar year, organized by agency and department, not including individuals working in seasonal employment as defined pursuant to 3 V.S.A. § 323(5), in which one or more individuals have been employed for a combined total of more than 1,280 hours per year for a period of two years;

(4) the projected cost and the potential impact of replacing the temporary positions identified in subdivision (3) of this section with permanent, classified positions on the relevant department or agency's efficiency and ability to fulfill its mission and duties; and

(5) the number of individuals working in seasonal employment as defined pursuant to 3 V.S.A. § 323(5) during the prior calendar year organized by agency and department, including the start and end date for each position and the total number of hours worked by the individual employed in each position.

*Sec. 5. CREATION OF NEW CORRECTIONAL OFFICER POSITIONS*

On or before June 30, 2020, the Secretary of Administration shall create 30 new Correctional Officer I positions in the Department of Corrections, which shall be funded within existing departmental appropriations.

*Sec. 6. 4 V.S.A. § 40 is added to read:*

§ 40. REPORT ON TEMPORARY EMPLOYEES

Annually, on or before January 15, the State Court Administrator shall submit a report to the House Committee on General, Housing, and Military Affairs and the House and Senate Committees on Government Operations identifying for each of the two prior calendar years:

(1) the total number of individuals employed by the Judiciary Department on a temporary basis who have worked in excess of 1,280 hours in the prior calendar year, excluding employees identified in 3 V.S.A. § 1011(7), (8)(A)–(D), (8)(F)–(G), and (8)(I)–(K);

(2) the total number of temporary positions in which one or more individuals have been employed for a combined total of more than 1,280 hours, excluding positions filled by employees identified in 3 V.S.A. § 1011(7), (8)(A)–(D), (8)(F)–(G), and (8)(I)–(K);

(3) the total number of hours worked by each temporary employee identified pursuant to subdivision (1) of this subsection; and

(4) the total number of years during which each temporary employee identified pursuant to subdivision (1) of this subsection has worked for the Judiciary Department.

Sec. 7. [Deleted.]

Sec. 8. [Deleted.]

Sec. 9. [Deleted.]

*\* \* \* Repeal of Report on Temporary Employees \* \* \**

Sec. 10. 3 V.S.A. § 331 is amended to read:

§ 331. TEMPORARY EMPLOYEES

*\* \* \**

~~(c)(1) The Commissioner may authorize the continued employment of a person in a temporary capacity for more than 1,280 hours in any one calendar year if the Commissioner determines, in writing, that a bona fide emergency exists for the appointing authority that requires such continued employment. Annually, on or before January 15, the Commissioner shall submit a report to the House Committee on General, Housing, and Military Affairs and the House and Senate Committees on Government Operations:~~

~~(A) identifying the total number of temporary employees who have worked:~~

~~(i) 1,280 hours in the prior calendar year; or~~

~~(ii) in excess of 1,280 hours in the prior calendar year;~~

~~(B) identifying the agency or department that is assigned the temporary position;~~

~~(C) identifying the total number of hours worked by each temporary employee; and~~



~~(D) including a statement:~~

~~(i) recommending the conversion of the position to a permanent classified position; or~~

~~(ii) stating the reasons why the temporary position should be continued.~~

\* \* \*

\* \* \* Effective Dates \* \* \*

*Sec. 11. EFFECTIVE DATES*

*(a) Sec. 10 shall take effect on July 1, 2024.*

*(b) This section and the remaining sections of this act shall take effect on July 1, 2019.*