

# Personal Financial Statement

DATE: \_\_\_\_\_.

## SECTION 1 – INDIVIDUAL INFORMATION

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City, ST, Zip: \_\_\_\_\_  
 Residence Phone #: \_\_\_\_\_  
 Business Phone #: \_\_\_\_\_  
 E-Mail Address: \_\_\_\_\_  
 Position\Occupation: \_\_\_\_\_  
 Business Name: \_\_\_\_\_  
 Business Address: \_\_\_\_\_  
 City, ST, Zip: \_\_\_\_\_  
 Date of Birth: \_\_\_\_\_  
 Length at Present Address: \_\_\_\_\_  
 Length of Employment: \_\_\_\_\_

## SECTION 2 – OTHER PARTY INFORMATION

Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City, ST, Zip: \_\_\_\_\_  
 Residence Phone #: \_\_\_\_\_  
 Business Phone #: \_\_\_\_\_  
 E-Mail Address: \_\_\_\_\_  
 Position\Occupation: \_\_\_\_\_  
 Business Name: \_\_\_\_\_  
 Business Address: \_\_\_\_\_  
 City, ST, Zip: \_\_\_\_\_  
 Date of Birth: \_\_\_\_\_  
 Length at Present Address: \_\_\_\_\_  
 Length of Employment: \_\_\_\_\_

Have (either of) you or any firm in which you were a major owner ever declared bankruptcy, or settled any debts for less than the amounts owed?

Yes       No  
 If "yes" please provide details on separate sheet.

Are (either of) you a defendant in any suit or legal action?

Yes       No

Are (either of) you presently subject to any unsatisfied judgments or tax liens?

Yes       No

When, if ever, have (either of you) been audited by the IRS?

Never       Year(s): \_\_\_\_\_.

## SECTION 3 – STATEMENT OF FINANCIAL CONDITION

### Schedule A – Cash, Checking and Savings Accounts, Certificates of Deposit, Money Market Funds, Etc.

Name of Financial Institution	Type of Account	Owner	Joint	If Pledged, to Whom?	Balance

### Schedule B – U.S. Government & Marketable Securities (use additional sheets if necessary)

# of Shares or Face Value of Bonds	Description	In Name of	Are these Registered, Pledged, or Held by Others?	Market Value	Exchanges Where Traded?

### Schedule C – Non-Marketable Securities (use additional sheets if necessary)

# of Shares	Description	In Name of	Are these Registered, Pledged, or Held by Others?	Value	Method of Valuation

### Schedule D – Investments in Real Estate (use additional sheets if necessary)

Description/ Location of Real Estate Investment	Joint	Date & Amount of Original Investment	% Owned by You	Market Value of Your % of Investment	Present Mortgage Balance	Monthly Payment	Mortgage Maturity Date	Mortgage Owed to
			%					
			%					

			%				
			%				

**Schedule E – Life Insurance Carried, Including Group Insurance**

Name of Insurance Company	Owner of Policy	Beneficiary and Relationship	Face Amount	Policy Loans	Cash Surrender Value

**Schedule F – Vested Interest in Deferred Compensation/Profit-Sharing Plans**

% Vested	Company Name	Account Number	Manner of Payout (Annuity, Lump Sum, etc.)	Distribution Date	Beneficiary	Amount
%						
%						
%						

**Schedule G – Business Ventures (use additional sheets if necessary)**

List Name and Address of Any Business Venture in Which You Are a Principal or Partner	Your Position/ Title	Line of Business	Years in Business	Total Assets	Your % of Ownership	Net Worth of Business	Present Net Value of Your Investment

**Schedule H – Loans Owning Banks, Brokers, Finance Companies, and Others (use additional sheets if necessary)**

Owing To (Acct. No.)	Joint	Date/Amount of Original Borrowing	Present Balance	Next Due Date	Monthly Payment	Date of Final Payment	Secured by

## Summary - Statement Of Financial Condition

<b>AS OF:</b>					
<b>ASSETS</b> (Do not include assets of doubtful value)	<b>In dollars</b> <b>Individual</b>	<b>If joint with whom?</b>	<b>LIABILITIES</b>	<b>In dollars</b> <b>Individual</b>	<b>If joint with whom?</b>
Cash, Checking & Savings, CD's – See Schedule A			Notes Payable to Banks & Others– See Schedule A		
US Govt. & Marketable Securities – See Schedule B			Real Estate Mortgages Payable – See Schedules D & H		
Non-marketable Securities – See Schedule C			Due to Brokers		
Restricted, Control or Margin Account Stocks			Amounts Payable to Others – Secured		
Real Estate Owned – See Schedule D			Amounts Payable to Others – Unsecured		
Cash Surrender Value of Life Insurance – See Schedule E			Accounts & Bills Due		
Vested Interest in Deferred Compensation / Profit-Sharing Plans – See Schedule F			Unpaid Income Tax		
Business Ventures – See Schedule G			Other Unpaid Taxes & Interest		
Accounts, Loans & Notes Receivable			Other Liabilities		
Automobiles			<b>TOTAL LIABILITIES</b>		
Other Assets/Personal Property:			<b>NET WORTH</b>		
<b>TOTAL ASSETS</b>			<b>Total Liabilities &amp; Net Worth</b>		

## SECTION 4 – ANNUAL INCOME FOR LAST FISCAL YEAR

<b>Annual Income</b>	<b>Individual</b>	<b>Joint</b>	<b>Annual Expenditures</b>	<b>Individual</b>	<b>Joint</b>	<b>Contingent Liabilities Estimated Amounts</b>
Salary, Bonuses & Commissions			Mortgage/Rental Payments			Do you have any... Yes No
Dividends & Interest			RE Taxes & Assessments			Contingent liabilities as endorser, co-maker or guarantor? <input type="checkbox"/> <input type="checkbox"/>
Real Estate Income			Income Taxes – Federal, State, Local			Contingent liabilities on leases or contracts? <input type="checkbox"/> <input type="checkbox"/>
Other Income (Alimony, child support or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation.)			Insurance Payments			Involvement in pending legal actions? <input type="checkbox"/> <input type="checkbox"/>
			Other Loan Payments (Car, charge cards etc.)			Contested income tax liens? <input type="checkbox"/> <input type="checkbox"/>
			Alimony, Child Support			Any estimated capital gains tax on the unrealized assets appreciation? <input type="checkbox"/> <input type="checkbox"/>
			Other Expenses			Other special debt or circumstances? <input type="checkbox"/> <input type="checkbox"/>
<b>TOTAL INCOME</b>			<b>TOTAL EXPENDITURES</b>			<b><i>If you answered "yes" to any questions please provide details on a separate sheet.</i></b>

Signature (individual) \_\_\_\_\_ Date \_\_\_\_\_

Signature (other party) \_\_\_\_\_ Date \_\_\_\_\_