

GOVERNMENT ACCOUNTABILITY COMMITTEE

Intro to GAC
2017-2018

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ISSUES TO REVIEW

- **GAC charge**
- **Overview of Results-Based Accountability**
 - Population Level
 - Program Level
- **GAC's ongoing work**

GAC CHARGE

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OVERVIEW

- GAC established in [2 V.S.A. § 970](#)
 - (a): “The Committee shall recommend mechanisms for State government to be more forward-thinking, strategic, and responsive to the long-term needs of Vermonters.”
- GAC has focused on how using **Results-Based Accountability (RBA)** can demonstrate to Vermonters how the State is using taxpayer dollars, and what Vermonters are getting in return
- RBA involves setting **goals** and collecting and analyzing **data** over time to demonstrate **results** in achieving those goals
 - The State can then identify what it is doing that is working well, and also, what areas need improvement
 - **Population Level**: Analyze State’s progress in reaching its population-level **OUTCOMES** using **INDICATORS**
 - **Program Level**: Analyze **RESULTS** of State programs using **PERFORMANCE MEASURES**

GAC CHARGE

SPECIFIC DUTIES UNDER 2 V.S.A. § 970(a)

- (1) Propose areas for the **review of statutory mandates for public services that may result in service duplication** and to review the alignment of financial and staff resources required to carry out those mandates.
- (2) **Review the legislative process for the creation and elimination of programs** and make recommendations for enhancements to the process that support greater long-range planning and responsiveness to the needs of Vermonters.
- (3) **Recommend strategies and tools** that permit all branches of State government **to prioritize the investment of federal, State, and local resources in programs** that respond to the needs of the citizens of Vermont in a collaborative, cost-effective, and efficient manner. Pursuant to those strategies and tools, functions that are not critical to an agency or department mission may be recommended for combination or elimination, while other functions may be optimized.
- (4) [Repealed.]

GAC CHARGE

SPECIFIC DUTIES UNDER 2 V.S.A. § 970(a) (cont.)

- (5) Determine that data-based program-level performance measures that demonstrate program results have been adopted for the programs in each agency and department.
- (6) Determine whether each agency and department is taking actions to achieve the population-level outcomes set forth in 3 V.S.A. § 2311 that are relevant to that agency or department, as shown by the manner in which the agency's or department's program-level performance measures inform population-level indicators.
- (7) Ensure that the report set forth in 3 V.S.A. § 2311 regarding population-level outcomes and indicators and each agency's or department's program-level performance measures are transparent and readily accessible to the public via electronic publication.
- (8) Assess the effectiveness of population-level indicators in measuring progress in achieving population-level outcomes, and annually review population-level indicators in the context of new data development.
- (9) Approve the addition, amendment, or elimination of population-level indicators in accordance with 3 V.S.A. § 2311(c).
- (10) [Repealed.]
- (11) Assess whether and how the State of Vermont should provide funds to nonprofit organizations, including whether grants to or contracts with nonprofit organizations should require results-based accountability.

GAC CHARGE

(2 V.S.A. § 970; MISC.)

- (b)(1) The membership of the Committee shall be appointed each biennial session of the General Assembly. The Committee shall comprise **eight members**:
 - ***
 - (2) **The Chief Performance Officer shall serve as a nonvoting liaison to the Committee.**
- (c) **The Committee shall elect two co-chairs from among its members** and shall adopt rules of procedure. **The Co-Chairs shall be a House and a Senate member.** The Committee shall keep minutes of its meetings and maintain a file thereof. **A quorum shall consist of five members.**
- (d) During the legislative session, the Committee shall **meet** at the call of the Co-Chairs. When the General Assembly is not in session, the Committee may meet monthly at the call of the Co-Chairs and may meet more often subject to the approval of the Speaker of the House and the President Pro Tempore of the Senate.
- (e) For attendance at a meeting when the General Assembly is not in session, legislative members of the Committee shall be entitled to **compensation for services and reimbursement of expenses** as provided under section 406 of this title.
- (f) The **staff services** of the Joint Fiscal Office and the Office of the Legislative Council shall be available to the Committee.
- (g) **At least annually, by January 15, the Committee shall report its activities, together with recommendations, if any, to the General Assembly.** The report shall be in brief summary form.

OVERVIEW OF RBA

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POPULATION LEVEL

Use of RBA on the Population Level

- **State Outcomes Report; 3 V.S.A. § 2311:**
 - Establishes 10 population-level quality of life **OUTCOMES**
 - Ex.: “Vermonters are healthy”
 - Provides a process for GAC to approve **INDICATORS**, which are *data* intended to demonstrate the State’s progress in reaching the outcomes
 - Ex.: % of adults who smoke cigarettes is one of 13 indicators for the “Vermonters are healthy” outcome
 - Requires the Chief Performance Officer (CPO) to annually submit a **State Outcomes Report** on these outcomes and indicators
- 2016 State Outcomes Report

OVERVIEW OF RBA

PROGRAM LEVEL

Use of RBA on the Program Level

- Measure the **RESULTS** of State programs using **PERFORMANCE MEASURES** (data)
- Programmatic Performance Measure Budget; [32 V.S.A. § 207\(c\)\(2\)](#):
 - Requires the Governor to submit with his/her proposed budget “a description of program performance measures used to demonstrate output and results.”
 - Ex.: The Agency of Agriculture’s Mosquito Control Program established “number of mosquitos collected & identified” as a *performance measure*, with the *result* being 92,193 for FY17, and a *target* of 100,000 established for FY18
 - [FY18 Programmatic Performance Measure Budget Report](#)

GAC'S ONGOING WORK

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators

- **Outcomes** are as amended by [2017, No. 6](#)
 - Outcomes are set forth in [3 V.S.A. § 2311\(b\)](#) and therefore must be amended via legislation

- **Indicators** are as last approved by GAC on Oct. 5, 2016
 - Indicators are not set forth in statute; there is a more informal process to revise them
 - The original list of indicators was established via [2014, No. 186, Sec. 3](#)
 - Via [3 V.S.A. § 2311\(c\)](#):
 - Annually, by March 1, a committee of jurisdiction over an outcome or the CPO may submit to the GAC a request that an indicator be revised
 - If the GAC approves that request, the CPO will report on the revised indicator in the next State Outcomes Report
 - GAC has a goal of 3-5 indicators per outcome
 - An indicator should have the following powers:
 - Communication power: Communicates to a broad and diverse audience
 - Proxy power: Says something of central importance about the desired result
 - Data power: Data is timely, reliable, and consistent

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(1) Vermont has a prosperous economy.

- (A) percent or rate per 1,000 jobs of nonpublic sector employment;
- (B) median household income;
- (C) median house price;
- (D) rate of resident unemployment per 1,000 residents;
- (E) annualized unemployment rate;
- (F) average wage;
- (G) percent of population living below the federal poverty level and below 200% of the federal poverty level (children, adults, people with disabilities of working age, and adults over age 65);
- (H) gross domestic product;
- (I) gross domestic product per capita;
- (J) increase in gross working lands income over previous year, for grantees of Working Lands Program;
- (K) percent of total farm sales;
- (L) percent of fruit and vegetable farms by sales outlet; and
- (M) number of farmers' markets.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(2) Vermonters are healthy.

- (A) percent of adults 20 years of age and older who are obese;
- (B) percent of adults who smoke cigarettes;
- (C) percent of Vermonters age 65 and older who drink alcohol at a level of risk;
- (D) percent of persons age 12 and older who need and do not receive alcohol treatment;
- (E) percent of persons age 12 and older who need and do not receive treatment for illicit drug use;
- (F) percent of persons age 12 and older who misused a prescription pain reliever in the past year;
- (G) number of persons who are homeless (adults and children);
- (H) percent of adults age 18-64 with health insurance;
- (I) percent of children age 17 and younger with health insurance;
- (J) rate of suicide per 100,000 Vermonters;
- (K) fall-related deaths per 100,000 adults age 65 and older;
- (L) percent of adults with any mental health condition receiving treatment; and
- (M) number of Vermont food recall incidents.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(3) Vermont's environment is clean and sustainable.

- (A) percent of public drinking water supplies in compliance with health-based standards;
- (B) total greenhouse gas emissions per capita, in units of annual metric tons of equivalent carbon dioxide per capita;
- (C) percent of Vermont retail electric sales from renewable energy;
- (D) percent of Vermont's inland waters that meet State water quality standards;
- (E) percent of Lake Champlain that meets State water quality standards;
- (F) changes in total phosphorus loading to the segments of Lake Champlain from Vermont source;
- (G) total number of days with air quality alerts;
- (H) disposal rate of municipal solid waste in pounds per person per day; and
- (I) total number of acres that have been or will be cleaned up or redeveloped based on sites enrolled in the Brownfields Program.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(4) Vermont is a safe place to live.

- (A) rate of petitions granted for relief from domestic abuse per 1,000 residents;
- (B) rate of violent crime per 1,000 crimes;
- (C) rate of sexual assault committed against residents per 1,000 residents;
- (D) recidivism rate;
- (E) incarceration rate per 100,000 residents; and
- (F) number of first-time entrants into the corrections system.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(5) Vermont's families are safe, nurturing, stable, and supported.

- (A) rate of substantiated reports of child abuse and neglect per 1,000 children;
- (B) rate of children and youth in out-of-home care per 1,000 children and youth;
- (C) rate of reports of abuse, neglect, and/or exploitation of vulnerable adults recommended for substantiation per 1,000 vulnerable adults;
- (D) percent of residents living in housing they can afford; and
- (E) percent of Vermont households with food insecurity [\[placeholder\]](#).

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(6) Vermont's children and young people achieve their potential.

- (i) percent of women who receive first trimester prenatal care;
- (ii) percent of live resident births that are preterm (less than 37 weeks);
- (iii) rate of infant mortality per 1,000 live births; and
- (iv) percent of children age 19–35 months receiving recommended vaccines.
- (v) percent of children ready for school in all four domains of healthy development; and
- (vi) percent of children receiving child care subsidy attending high quality early childhood programs.
- (vii) rate of school attendance per 1,000 children;
- (viii) percent of children below the basic level of fourth grade reading achievement under State standards; and
- (ix) rate of high school graduations per 1,000 high school students.
- (x) rate of pregnancy per 1,000 females age 15–17;
- (xi) rate of pregnancy per 1,000 females age 18–19;
- (xii) percent of adolescents in grades 9–12 who smoke cigarettes;
- (xiii) percent of adolescents in grades 9–12 who used marijuana in the past 30 days;
- (xiv) percent of adolescents age 12–17 binge drinking in the past 30 days;
- (xv) number of youths ages 17 and younger found delinquent by Family Court;
- (xvi) number of youths age 17 and younger who are under the supervision of the Department of Corrections;
- (xvii) percent of adolescents age 12–21 who are enrolled in Medicaid and had one or more well-care visits with a primary care provider or OB/GYN during the measurement year; and
- (xviii) percent of adolescents in grades 9–12 who had a suicide attempt that required medical attention.
- (xix) percent of high school seniors with plans for education, vocational training, or employment;
- (xx) percent of graduating high school seniors who continue their education within six months of graduation;
- (xxi) percent of students who graduated with a regular high school diploma and enrolled in postsecondary education within 16 months after high school graduation;
- (xxii) percent of students who graduated with a regular high school diploma and enrolled in postsecondary education within 16 months after high school graduation, and persisted in postsecondary education for at least three semesters within two academic school years; and
- (xxiii) percent of students who graduated with a regular high school diploma and enrolled in postsecondary education within 16 months after high school graduation, and graduated from an institution of higher education within six academic school years.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(7) Vermont's elders live with dignity and in settings they prefer.

(A) employment rate of people age 65 years and older.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(8) Vermonters with disabilities live with dignity and in settings they prefer.

(A) employment rate of people with disabilities who are of working age.

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(9) Vermont has open, effective, and inclusive government.

(A) percent of State employees participating in the voluntary employment engagement survey who responded that:

- (i) they are satisfied overall with their job;
- (ii) they would recommend the State as a great place to work;
- (iii) they are encouraged to share ideas on efficiency;
- (iv) their supervisor regularly provides timely and useful feedback; and
- (v) their performance evaluations are completed annually;

(B) percent of State employees who voluntarily leave State service;

(C) percent of registered voters voting in the general election;

(D) percent of State contracts that include performance measures;

(E) percent of grants awarded that include performance measures;

(F) number of LEAN events successfully completed;

(G) percent of juvenile abuse and neglect cases disposed or otherwise resolved within established time frame of 98 days;

(H) percent of criminal felony cases disposed or otherwise resolved within established time frame of six months (180 days); and

(I) percent of criminal misdemeanor cases disposed or otherwise resolved within established time frame of four months (120 days).

GAC'S ONGOING WORK

ESTABLISHING THE RIGHT INDICATORS!

(GOAL IS 3-5 INDICATORS/OUTCOME)

Current Outcomes and Indicators (cont.)

(10) Vermont's State infrastructure meets the needs of Vermonters, the economy, and the environment.

(A) percent of Vermont covered by state-of-the-art telecommunications infrastructure; and

(B) percent of structurally-deficient bridges, as defined by the Agency of Transportation.