

Vermont Department of Financial Regulation

Proposed Budget Information Fiscal Year 2019

Michael S. Pieciak, Commissioner
David F. Provost, Deputy Commissioner of Captive Insurance
Christina Rouleau, Deputy Commissioner of Insurance
William R. Carrigan, Deputy Commissioner of Securities
Molly Dillon, Deputy Commissioner of Banking

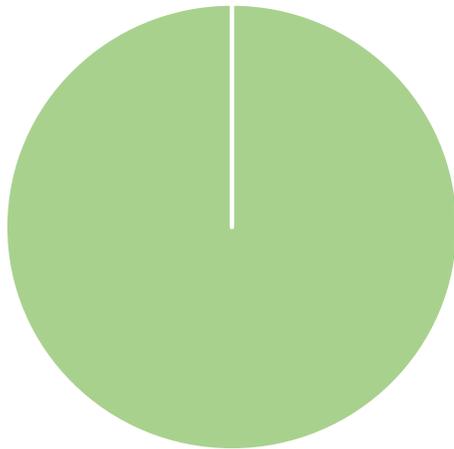


Department of Financial Regulation
FY 2019 Governor's Recommend
Budget

FY 2019 SUMMARY & HIGHLIGHTS

Governor's Recommend Budget
FY 2019 (\$ millions)

ISF, \$15.1, 100%



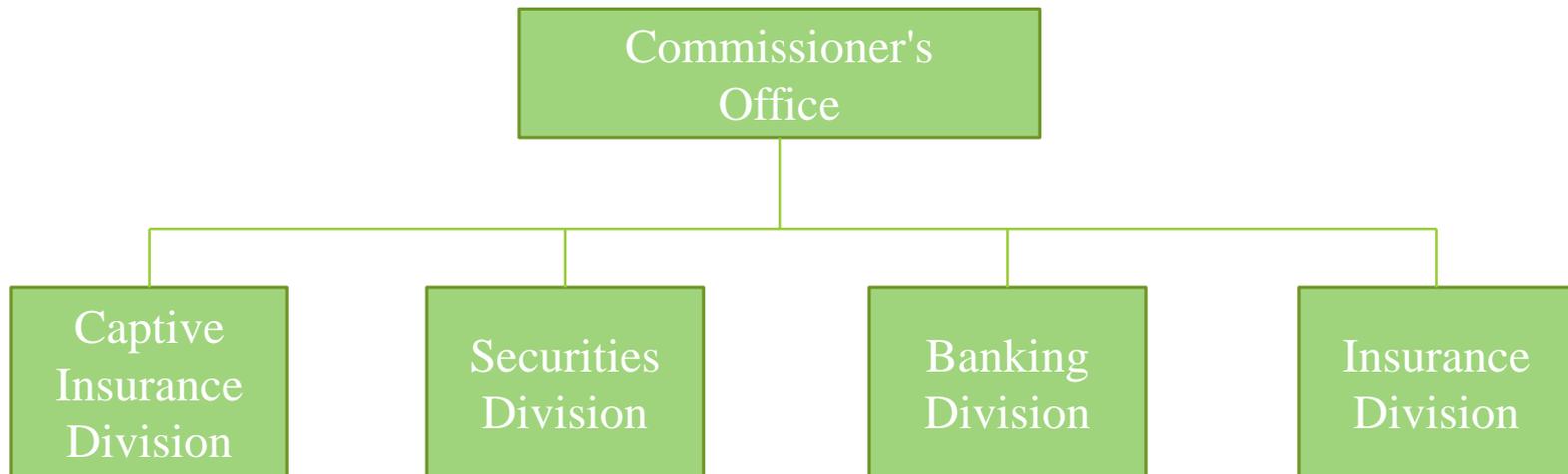
- Strong leadership team in place to maintain Department's reputation for excellence
- Employees: engaged/experienced/proactive
- National recognition for the strength of our insurance and captive insurance divisions
- Maintained staffing levels despite workload increase through cost effective IT solutions
- More revenue generated in FY2017 than ever before in the Department's history
- Reduction of two positions in FY2019
- No new funding requests in FY2019
- 1.5% budget increase due to contractual obligations

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

DFR Mission and Overview

Facilitate strong, stable and competitive financial markets within the state while protecting the financial welfare of Vermont consumers and investors.



Fiscal Year 2019
 Department Strategic Overview

Department of Financial Regulation (DFR)

Department Head Count

Vermont Department of Financial Regulation		
Division	Total Positions	
	FY2018	FY 2019
Administration Division		
Commissioner's Office	9	9*
General Counsel's Office	9	9
Banking Division		
	16	16
Captive Insurance Division		
	34	33
Insurance Division		
	31	30
Securities Division		
	8	8
Total		
	107	105
* 2 IT professionals report to ADS but will be paid by DFR		

Department of Financial Regulation (DFR)

Captive Insurance Division Mission

- (1) maintain and continually improve regulatory regime;*
- (2) promote Vermont's "gold standard" reputation; and*
- (3) ensure solvency while recognizing a captive's unique purpose*



\$24 million premium tax
\$2.5 million exam and registration fees



24 new captive formations in 2017
Including Barclays moving from New York



19 approved captive managers
566 active captive insurers



Awarded top US domicile 3rd year running
#1 domicile in the world by premium volume

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

Insurance Division Mission

(1) maintain affordable and available insurance;

(3) facilitate reasonable and orderly competition

(2) ensure insurers meet their promises;

(4) prevent unfair and unlawful business practices



21 Vermont domiciled insurance companies
1453 licensed insurers doing business in Vermont
Paid \$35 million in premium tax in FY2017



Last five years consumer services received:
17,381 inquires & 2,301 complaints
Returned \$1,544,600 to Vermonters



210 Vermont insurance agencies & 3,383 agents
3,398 foreign agencies & 124,643 foreign agents



Last five years market conduct investigations:
\$1.3 million in penalties
returned over \$8 million to Vermonters

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

Securities Division Mission

(1) protect Vermonters from fraud

(2) promote the development of Vermont's capital markets



1,256 BD Firms & 105,096 BD Agents
998 IA Firms & 1,521 IA Representatives



Investigations led to:
\$2,327,043 in penalties
\$5,119,887 in restitution



Annual desk examination for all IA Firms
14 firms received follow up regarding
cybersecurity procedures



Jay Peak Settlement (working with federal
receiver) - \$150 million
Paid \$8.9 million to vendors and contractors
\$19 million to complete Jay Peak Projects

Department of Financial Regulation (DFR)

Banking Division Mission

(1) promote the safety, soundness, and stability of Vermont's Banks, Credit Union and Licensees

(2) encourage competition and availability of financial services

(3) provide consumer protection and education



6 state chartered banks
3 state trust companies



13 state chartered
credit unions



260 companies hold 1,675 licenses
2,537 individuals hold licenses



Last five years consumer services received:
6,604 inquires & 1,100 complaints
Returned \$353,966 to Vermonters



Completed 134 examinations in 2017
3 banks, 7 CUs, 2 trust co, 122 licensees

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

FY2018 Department Goals

FY 2018 Goal:

Establish Cybersecurity Working Group

Measuring Success:

- Established working group with Department-wide representation
- Conducted internal cyber assessment of the Department
- Department will host inaugural industry roundtable on May 17, 2018
- Hiring Champlain College intern majoring in cybersecurity to assist Working Group to develop and deliver employee training

FY 2018 Goal:

Establish Senior Safe Task Force

Measuring Success:

- Established working group with Department-wide representation
- Held or participated in 39 outreach events directly reaching 4,976 elderly Vermonters
- Released 6 consumer alerts regarding new scams
- Successful passage of enhanced financial penalties for fraud against older Vermonters

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

FY2018 Department Goals

FY 2018 Goal:

Establish Economic Development through
Regulatory Innovation Working Group

Measuring Success:

- Established working group with Department-wide representation
- One of five states participating in national pilot program incorporating RegTech into Department operations
- Commissioner chairing national FinTech Committee
- Identified insurance linked securities as possible growth area and examining feasibility

FY 2018 Goal:

Establish DFR Operations Working Group

Measuring Success:

- Established working group with Department-wide representation
- Improved internal communications – established monthly newsletter & internal circulation of press releases
- Identified facility needs – carpet replacement in insurance and banking division underway
- Analyzing potential efficiencies in Department-wide vs divisional operations

Department of Financial Regulation (DFR)

FY2018 Captive Insurance Division Goals

FY 2018 Goal:

Conduct efficient, timely and effective examinations and surveillance of licensed captives and their parent companies. Continue implementation of reduced examination program and examination report for pure captives; Re-design and fully document procedures for pure captive surveillance (financial monitoring); Continually amend examination processes and statutes/regulations to conform to NAIC standards.

Measuring Success:

- **Goal to complete and issue exam within 180 days**
 - Improved: 288 days (2010) to 172 days (2017)
- **Goal to complete 100 examinations**
 - Completed 124 examinations in 2017
- **Goal to decrease examination costs**
 - Provide the most cost-efficient examinations in nation by premium volume
 - Examination costs have decreased considerably over past 5 years:

2012

- Average RRG exam 424 hours, \$43,500
- Average Pure exam 135 hours, \$11,500

2017

- Average RRG exam 329 hours, \$36,200 (22% hours decrease)
- Average Pure exam 114 hours, \$11,000 (16% hours decrease)

Department of Financial Regulation (DFR)

FY2018 Insurance Division Goals

FY 2018 Goal:

Ensure Vermonters Have Access to High Quality Insurance Products

Measuring Success:

- **5th least expensive – personal auto insurance**
 - Improvement from 7th least expensive
- **11th least expensive – homeowners' insurance**
 - Maintained competitive positioning
- **7.9% rate decrease - workers' compensation insurance**
 - First rate decrease in two years and largest rate decrease in more than eight years
- **A+ awarded Vermont insurance regulation – R Street Institute**
 - Only state to receive A+ in 2017 & 2016
 - Fourth straight year to receiving highest score in the country

FY 2018 Goal:

Timely Complete NAIC Mandated Examinations

Measuring Success:

- Completed 7 financial examinations in 2017 surpassing the goal of 4 examinations
- Completed 5 multi-state market conduct examinations in 2017 surpassing the goal of 2 examinations
- Completed 14 other market conduct examinations in 2017 and opened 17 new market conduct examinations
- Timely completed all quarterly and annual surveillance monitoring
- Timely completed annual NAIC pre-accreditation filing

Department of Financial Regulation (DFR)

FY2018 Securities Division Goals

FY 2018 Goal:

Revise Vermont Small Business Offering Exemption regulations to take advantage of changes in federal regulation

FY 2018 Goal:

Securities Division Database (SDD) enhancements to improve efficiencies

Measuring Success:

- First state in the country to enact revised regulations to enact new SEC local investing rules
- Re-branded “Vermont Small Business Offering Exemption” to “Vermont Crowdfunding”
- Approved 8 new Vermont Crowdfunding offerings under revised rules

Measuring Success:

- Updated SDD to automatically populate file numbers from electronically received notice filing
 - Resulted in a savings of approximately 8 hours per week of staff time
 - Ensures 100% accuracy by eliminating manual data entry
- Updated the SDD to provide additional and more accurate reporting functions

Department of Financial Regulation (DFR)

2018 Banking Division Goals

FY 2018 Goal:

Monitor and participate in anticipated national regulatory changes to ensure Vermont's banking and licensee laws and regulations continue to be relevant and appropriate. This activity will support Vermont-based institutions and economic development within the state.

FY 2018 Goal:

Seek opportunities to create efficiencies through technology, consolidation of job functions that overlap with other divisions and possible division restructure.

Measuring Success:

- One of only eleven states to utilize the National Multi-State Licensing System (NMLS) across all license categories
 - Over past 10 years, maintained current staffing while seeing 484% increase in filings for personnel savings of appx. \$170,000 per year
- Partnering with National Credit Union Association to upgrade electronic transmission of data
 - Increased security of data
- 3 staff members taught or presented nationally on Bank Secrecy Act and cybersecurity

Measuring Success:

- Analyzed division reorganization – determined it involved more cost than benefit
- Improved exam efficiencies leading to a reduction in travel expenses [reduced to 11% of total cost]

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

FY2019 Department Goals

FY 2019 Goal: Continued focus on cybersecurity

- Host cybersecurity roundtable and evaluate regulatory takeaways
- Implement Department-wide cybersecurity training program for Department employees
- Perform phishing email tests on Department employees with necessary follow-up training; goal - 75% success rate

FY 2019 Goal: Continued focus on developments in Financial Technology (fintech)

- Reclassify vacant position for dedicated focus on innovation in fintech – development of regulations, rules and operational technology into the banking, securities, insurance and captive insurance industries
- Complete feasibility analysis on insurance linked securities as possible growth area
- Consider fintech as possible Department roundtable topic

FY 2019 Goal: Improved communication with consumers and industry

- Redevelop department website for easier navigation
- Build out social media communications – 25% increase in followers
- Develop consistent communication channel for our regulated industries and interested parties

Department of Financial Regulation (DFR)

FY2019 Captive Insurance Division Goals

FY 2019 Goal: Prepare and distribute a compliance guide for captive insurance company owners and managers.

- Publish guide by December 31, 2018
- Reduce staff time spent answering operational questions by providing industry with a resource
- Reduce reporting errors and examination comments by providing consistent information

FY 2019 Goal: Increased focus on examination efficiency and improved/increased surveillance.

- Continued reduction in the amount for hours spent on RRG and pure captive examinations
- Continued reduction in the cost of completing examinations for RRG and pure captives
- Increased staff time on surveillance (i.e. reviewing periodic financial information to monitor, spot red flags)

FY2019 Insurance Division Goals

FY 2019 Goal: Focus on the cost of workers' compensation insurance in Vermont.

- Work to enact recommendations from Department's workers' compensation study for high-risk employers
- 10% decrease in lost cost for high-risk class codes identified in report – logging, sawmills and log haulers
- Decrease in overall lost cost for Vermont from improved workplace safety efforts

FY 2019 Goal: Continue facilitating competitive insurance market.

- Work to maintain Vermont's low cost auto and home insurance markets
- Work to successfully complete NAIC market conduct coordination pilot program
- Work to complete 5 financial examinations and 15 market conduct examinations

Fiscal Year 2019
Department Strategic Overview

Department of Financial Regulation (DFR)

FY2019 Securities Division Goals

FY 2019 Goal: Expand on the success of the desk examination program and develop three-year examination cycle.

- Utilize annual desk examination to set priorities for on-site examinations
- Complete 10 examinations of state based investment adviser firms
- Coordinate with SEC and FINRA to conduct at least one joint examination in Vermont

FY 2019 Goal: Increased implementation of technology.

- Expand the type of filings that can be received to the Securities Database through EFD
- Expanding EFD to UITs and mutual funds expected to save an additional 8-14 hours of staff time per week
- Redeploy staff time on more critical areas: in-depth review of problem BD firms and brokers

FY2019 Banking Division Goals

FY 2019 Goal: Increase the use of technology in the processing and filing within the Regulatory area

- Decrease in paper filings and increase in efficiency – 5-8 hrs. of staff time redeployed

FY 2019 Goal: Continue preparation and development of NMLS 2.0 with national partner.

- "Ready to Launch" on time, late summer 2019

FY 2019 Goal: Review the Examination process, components and begin pre-accreditation paperwork.

- Develop measures designed to increase examination efficiency and accountability

DFR Receipt Summary	Estimated	2019	
Division	Estimated Receipts	Estimated Expenditures	Estimated Excess Receipts to General Fund
Banking Division	\$2,540,245	\$2,123,940	\$416,305
Insurance Division	\$26,246,782	\$4,561,679	\$21,685,103
Captive Insurance Division	\$5,639,125	\$5,097,262	\$541,863
Securities Division	\$11,250,000	\$1,076,110	\$10,173,890
Administration Division		\$2,242,755	(\$2,242,755)
Total	\$45,676,152	\$15,101,746	\$30,574,406
Transfers to Other State Departments/Programs			\$560,350
Total Estimated Excess Receipts FY 2018			\$30,014,056
Updated 01/26/2018			

DFR Transfers to State Government		
FY 2016	Insurance Fund	Captives Fund
Economic Development		\$530,350
Fire Training Council	\$30,000	
DVHA - VITL		
Global Commitment Fund		
Green Mountain Care Board		
VT Department of Health (VPQHC Contract)		
Total	\$30,000	\$530,350
DFR Total Transfers	\$560,350	
Updated 12/22/2017		

Captive Insurance Regulatory & Supervision Fund							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Actual	Actual	Actual	Estimated	Estimated
	Receipts						
Registrations	\$468,234	\$649,723	\$549,944	\$608,808	\$628,626	\$455,000	\$455,000
Examinations	\$1,804,042	\$1,992,406	\$1,704,050	\$1,912,659	\$2,059,615	\$2,452,000	\$2,452,000
Dept Share of Premium Tax	\$2,494,113	\$2,964,473	\$2,639,737	\$2,622,620	\$2,665,865	\$2,732,125	\$2,732,125
Total:	\$4,766,389	\$5,606,602	\$4,893,731	\$5,144,087	\$5,354,106	\$5,639,125	\$5,639,125
Prior Fiscal Year Carryforward	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Available Receipts	\$4,766,389	\$5,606,602	\$4,893,731	\$5,144,087	\$5,354,106	\$5,639,125	\$5,639,125
Updated 12/22/217							

Securities Regulatory and Supervision Fund							
	FY 2012	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	Actual	Actual	Actual	Actual	Actual	Estimated	Estimated
	Receipts	Receipts	Receipts	Receipts	Receipts	Receipts	Receipts
Salepersons	\$6,496,100	\$6,811,450	\$7,103,265	\$7,496,690	\$11,147,845	\$7,475,000	\$11,225,000
Investigations	\$0	\$82,000	\$55,000	\$30,000	\$260,000	\$25,000	\$25,000
Total Available Funds	\$6,496,100	\$6,893,450	\$7,158,265	\$7,526,690	\$11,407,845	\$7,500,000	\$11,250,000
Updated 12/22/2017							

Transfers of Excess Revenue to General Fund from DFR								
	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
Insurance Fund	\$2,504,802	\$15,115,558	\$4,396,406	\$15,901,411	\$5,223,067	\$17,956,917	\$8,010,356	\$21,395,791
Captives Fund	\$557,996	\$577,217	\$813,524	\$0	\$654,632	\$0	\$32,793	\$43,356
Securities Fund	\$3,723,741	\$4,749,807	\$4,844,854	\$4,818,258	\$6,007,311	\$6,051,620	\$5,777,692	\$9,984,203
Total	\$6,786,539	\$20,442,582	\$10,054,784	\$20,719,669	\$11,885,010	\$24,008,537	\$13,820,841	\$31,423,350
Updated 1/26/2018								

Fiscal Year 2019 Budget Development Form - Department of Financial Regulation

	General \$\$	Special \$\$	Interdept'l Transfer \$\$	All other \$\$	Total \$\$
Approp #1 Banking Division: FY 2018 Approp	0	2,062,559	0	0	2,062,559
Other Changes: (Please insert rescission items and other changes to your base appropriation that occurred after the passage of Act 85)		0			0
FY 2018 After Other Changes	0	0	0	0	0
Total Approp. After FY 2018 Other Changes	0	2,062,559	0	0	2,062,559
Personal Services (16 positions)		55,773			55,773
Vacancy Savings		1,487			1,487
Standard adjustments: insurance, VISION/HCM, retirement, etc.		(7,927)			(7,927)
Rent		12,048			12,048
					0
					0
Subtotal of Increases/Decreases	0	61,381	0	0	61,381
FY 2019 Governor Recommend	0	2,123,940	0	0	2,123,940

Approp #2 Insurance Division: FY 2018 Approp		4,921,496	71,263	0	4,992,759
Other Changes: (Please insert rescission items and other changes to your base appropriation that occurred after the passage of Act 85)		(387,223)			(387,223)
FY 2018 After Other Changes	0	(387,223)	0	0	(387,223)
Total Approp. After FY 2018 Other Changes	0	4,534,273	71,263	0	4,605,536
Personal Services (30 positions, 1 limited service swept)		(65,861)			(65,861)
Vacancy Savings		3,221			3,221
					0
Standard adjustments: insurance, VISION/HCM, retirement, etc.		(13,407)			(13,407)
Rent		103,453	(71,263)		32,190
					0
					0
Subtotal of Increases/Decreases	0	27,406	(71,263)	0	(43,857)
FY 2019 Governor Recommend	0	4,561,679	0	0	4,561,679

Approp #3 Captive Insurance Division: FY 2018 Approp		5,043,155	0	0	5,043,155
---	--	-----------	---	---	-----------

Other Changes: (Please insert rescission items and other changes to your base appropriation that occurred after the passage of Act 85)					0
FY 2018 After Other Changes	0	0	0	0	0
Total Approp. After FY 2018 Other Changes	0	5,043,155	0	0	5,043,155
Personal Services (33 positions, 1 long term vacant swept)		58,507			58,507
Vacancy Savings		(1,044)			(1,044)
					0
Standard adjustments: insurance, VISION/HCM, Fee-for-space, retirement, etc.		(17,913)			(17,913)
Rent		14,557			14,557
					0
					0
Subtotal of Increases/Decreases	0	54,107	0	0	54,107
FY 2019 Governor Recommend	0	5,097,262	0	0	5,097,262

Approp #4 Securities Division: FY 2018 Approp		1,049,358	0	0	1,049,358
Other Changes: (Please insert rescission items and other changes to your base appropriation that occurred after the passage of Act 85)					0
FY 2018 After Other Changes	0	0	0	0	0
Total Approp. After FY 2018 Other Changes	0	1,049,358	0	0	1,049,358
Personal Services (8 positions)		1,042			1,042
Vacancy Savings		435			435
					0
EB5 Paralegals/Temps/legal contract		20,000			20,000
Standard adjustments: insurance, VISION/HCM, retirement, etc.		(4,096)			(4,096)
Rent		9,371			9,371
					0
					0
					0
Subtotal of Increases/Decreases	0	26,752	0	0	26,752
FY 2019 Governor Recommend	0	1,076,110	0	0	1,076,110

Approp #5 Administration Division: FY 2018 Approp		2,197,155	0	0	2,197,155
Other Changes: (Please insert rescission items and other changes to your base appropriation that occurred after the passage of Act 85)		(73,127)			(73,127)
FY 2018 After Other Changes	0	(73,127)	0	0	(73,127)
Total Approp. After FY 2018 Other Changes	0	2,124,028	0	0	2,124,028
Personal Services (16 positions, 2 moving to ADS)		(143,976)			(143,976)
Vacancy Savings		3,467			3,467
ADS positions charged back		255,890			255,890
					0

Standard adjustments: insurance, VISION/HCM, retirement, etc.		3,346			3,346
					0
Subtotal of Increases/Decreases	0	118,727	0	0	118,727
FY 2019 Governor Recommend	0	2,242,755	0	0	2,242,755
Department of Financial Regulation FY 2018 Appropriation	0	15,273,723	71,263	0	15,344,986
Reductions and Other Changes	0	(460,350)	0	0	(460,350)
SFY 2018 Total After Reductions and Other Changes	0	14,813,373	71,263	0	14,884,636
TOTAL INCREASES/DECREASES	0	288,373	(71,263)	0	217,110
Department of Financial Regulation FY 2019 Governor Recommend	0	15,101,746	0	0	15,101,746

State of Vermont

VTPB-11-BUDRLLUP

Organization: 2210001000 - Financial regulation - banking

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages	1,134,344	1,111,699	1,111,699	1,139,766	28,067	2.5%
Fringe Benefits	579,026	545,769	545,769	572,706	26,937	4.9%
Contracted and 3rd Party Service	10,921	10,754	10,754	10,754	0	0.0%
Budget Object Group Total: 1. PERSONAL SERVICES	1,724,291	1,668,222	1,668,222	1,723,226	55,004	3.3%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment	710	5,711	5,711	5,711	0	0.0%
IT/Telecom Services and Equipment	39,213	45,365	45,365	39,194	(6,171)	-13.6%
Travel	133,011	147,800	147,800	147,800	0	0.0%
Supplies	7,821	13,200	13,200	13,000	(200)	-1.5%
Other Purchased Services	58,976	76,180	76,180	76,986	806	1.1%
Other Operating Expenses	1,204	3,719	3,719	3,613	(106)	-2.9%
Rental Other	0	101,962	101,962	114,010	12,048	11.8%
Rental Property	113,658	0	0	0	0	0.0%
Property and Maintenance	17	400	400	400	0	0.0%
Property Management Services	10,000	0	0	0	0	0.0%
Budget Object Group Total: 2. OPERATING	364,610	394,337	394,337	400,714	6,377	1.6%
Total Expenses	2,088,901	2,062,559	2,062,559	2,123,940	61,381	3.0%

Fund Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Special Fund	2,088,901	2,062,559	2,062,559	2,123,940	61,381	3.0%
Funds Total	2,088,901	2,062,559	2,062,559	2,123,940	61,381	3.0%

Position Count				16		
FTE Total				16		

Organization: 2210011000 - Financial regulation - insurance

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages	2,448,352	2,210,208	2,210,208	2,197,090	(13,118)	-0.6%
Fringe Benefits	973,907	1,063,689	1,063,689	1,009,603	(54,086)	-5.1%
Contracted and 3rd Party Service	728,298	1,163,097	1,163,097	775,874	(387,223)	-33.3%
Budget Object Group Total: 1. PERSONAL SERVICES	4,150,558	4,436,994	4,436,994	3,982,567	(454,427)	-10.2%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment	1,724	12,000	12,000	12,000	0	0.0%
IT/Telecom Services and Equipment	95,204	98,834	98,834	88,941	(9,893)	-10.0%
Travel	7,849	39,595	39,595	39,595	0	0.0%
Supplies	35,300	41,260	41,260	41,260	0	0.0%
Other Purchased Services	93,261	77,842	77,842	78,761	919	1.2%
Other Operating Expenses	3,888	7,485	7,485	7,616	131	1.8%
Rental Other	335	276,849	276,849	309,039	32,190	11.6%
Rental Property	353,909	0	0	0	0	0.0%

Property and Maintenance	37	1,900	1,900	1,900	0	0.0%
Rentals	77	0	0	0	0	0.0%
Repair and Maintenance Services	63	0	0	0	0	0.0%
Budget Object Group Total: 2. OPERATING	591,647	555,765	555,765	579,112	23,347	4.2%

Total Expenses	4,742,205	4,992,759	4,992,759	4,561,679	(431,080)	-8.6%
-----------------------	------------------	------------------	------------------	------------------	------------------	--------------

Fund Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Special Fund	4,663,161	4,921,496	4,921,496	4,561,679	(359,817)	-7.3%
State Health Care Resources Fund	0	0	0	0	0	0.0%
Federal Funds	0	0	0	0	0	0.0%
Global Commitment	0	0	0	0	0	0.0%
IDT Funds	79,044	71,263	71,263	0	(71,263)	-100.0%
Funds Total	4,742,205	4,992,759	4,992,759	4,561,679	(431,080)	-8.6%

Position Count				30	
FTE Total				29.8	

Organization: 2210020000 - Financial regulation - captive insurance

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages	2,408,531	2,902,697	2,902,697	2,936,899	34,202	1.2%
Fringe Benefits	1,212,671	1,276,378	1,276,378	1,294,652	18,274	1.4%
Contracted and 3rd Party Service	198,750	297,096	297,096	297,096	0	0.0%
Budget Object Group Total: 1. PERSONAL SERVICES	3,819,951	4,476,171	4,476,171	4,528,647	52,476	1.2%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment	7,513	6,500	6,500	6,500	0	0.0%
IT/Telecom Services and Equipment	77,210	86,431	86,431	72,659	(13,772)	-15.9%
Travel	164,056	198,397	198,397	198,397	0	0.0%
Supplies	10,092	27,000	27,000	27,000	0	0.0%
Other Purchased Services	52,688	33,594	33,594	34,627	1,033	3.1%
Other Operating Expenses	2,681	6,987	6,987	6,800	(187)	-2.7%
Rental Other	739	207,325	207,325	221,882	14,557	7.0%
Rental Property	93,489	0	0	0	0	0.0%
Property and Maintenance	35	750	750	750	0	0.0%
Budget Object Group Total: 2. OPERATING	408,503	566,984	566,984	568,615	1,631	0.3%
Total Expenses	4,228,454	5,043,155	5,043,155	5,097,262	54,107	1.1%

Fund Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Special Fund	4,228,454	5,043,155	5,043,155	5,097,262	54,107	1.1%
Funds Total	4,228,454	5,043,155	5,043,155	5,097,262	54,107	1.1%

Position Count				33		
FTE Total				32.75		

Organization: 2210031000 - Financial regulation - securities

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages	545,717	571,312	571,312	556,481	(14,831)	-2.6%

Fringe Benefits	220,194	260,062	260,062	275,242	15,180	5.8%
Contracted and 3rd Party Service	66,599	32,582	32,582	52,582	20,000	61.4%
Budget Object Group Total: 1. PERSONAL SERVICES	832,509	863,956	863,956	884,305	20,349	2.4%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment	5,554	7,696	7,696	7,696	0	0.0%
IT/Telecom Services and Equipment	27,122	31,041	31,041	27,823	(3,218)	-10.4%
Travel	8,119	8,000	8,000	8,000	0	0.0%
Supplies	3,498	23,700	23,700	23,700	0	0.0%
Other Purchased Services	18,473	31,315	31,315	31,618	303	1.0%
Other Operating Expenses	1,285	3,846	3,846	3,793	(53)	-1.4%
Rental Other	492	79,304	79,304	88,675	9,371	11.8%
Rental Property	87,588	0	0	0	0	0.0%
Property and Maintenance	102	500	500	500	0	0.0%
Repair and Maintenance Services	1,320	0	0	0	0	0.0%
Budget Object Group Total: 2. OPERATING	153,553	185,402	185,402	191,805	6,403	3.5%

Total Expenses	986,062	1,049,358	1,049,358	1,076,110	26,752	2.5%
-----------------------	----------------	------------------	------------------	------------------	---------------	-------------

Fund Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Special Fund	986,062	1,049,358	1,049,358	1,076,110	26,752	2.5%
Funds Total	986,062	1,049,358	1,049,358	1,076,110	26,752	2.5%

Position Count				8		
FTE Total				8		

Organization: 2210080000 - Financial regulation - administration

Budget Object Group: 1. PERSONAL SERVICES

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages	1,428,000	1,400,243	1,400,243	1,298,133	(102,110)	-7.3%
Fringe Benefits	561,603	575,228	575,228	526,830	(48,398)	-8.4%
Contracted and 3rd Party Service	16,731	23,107	23,107	23,107	0	0.0%
Budget Object Group Total: 1. PERSONAL SERVICES	2,006,334	1,998,578	1,998,578	1,848,070	(150,508)	-7.5%

Budget Object Group: 2. OPERATING

Budget Object Rollup Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment	31,472	13,500	13,500	13,500	0	0.0%
IT/Telecom Services and Equipment	6,652	16,700	16,700	272,589	255,889	1,532.3%
Travel	11,592	53,381	53,381	20,381	(33,000)	-61.8%
Supplies	15,488	12,900	12,900	12,900	0	0.0%
Other Purchased Services	76,244	71,969	71,969	75,315	3,346	4.6%
Rental Other	466	30,127	30,127	0	(30,127)	-100.0%
Rentals	6,530	0	0	0	0	0.0%
Repair and Maintenance Services	10,395	0	0	0	0	0.0%
Budget Object Group Total: 2. OPERATING	158,838	198,577	198,577	394,685	196,108	98.8%

Total Expenses	2,165,173	2,197,155	2,197,155	2,242,755	45,600	2.1%
-----------------------	------------------	------------------	------------------	------------------	---------------	-------------

Fund Name	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Special Fund	2,165,173	2,197,155	2,197,155	2,242,755	45,600	2.1%
Funds Total	2,165,173	2,197,155	2,197,155	2,242,755	45,600	2.1%

Position Count				16		
FTE Total				16		

F
 F
 F 11:07 AM

Organization: 2210001000 - Financial regulation - banking

Budget Object Group: 1. PERSONAL SERVICES

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages							
Description	Code						
Classified Employees	500000	1,133,641	1,039,211	1,039,211	1,063,608	24,397	2.3%
Exempt	500010	0	106,205	106,205	108,388	2,183	2.1%
Overtime	500060	703	0	0	0	0	0.0%
Vacancy Turnover Savings	508000	0	(33,717)	(33,717)	(32,230)	1,487	-4.4%
Total: Salaries and Wages		1,134,344	1,111,699	1,111,699	1,139,766	28,067	2.5%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Fringe Benefits							
Description	Code						
FICA - Classified Employees	501000	83,179	79,501	79,501	81,368	1,867	2.3%
FICA - Exempt	501010	0	8,125	8,125	8,292	167	2.1%
Health Ins - Classified Empl	501500	275,800	241,417	241,417	247,886	6,469	2.7%
Health Ins - Exempt	501510	0	0	0	8,445	8,445	0.0%
Retirement - Classified Empl	502000	190,678	181,550	181,550	185,814	4,264	2.3%
Retirement - Exempt	502010	0	11,364	11,364	18,935	7,571	66.6%
Dental - Classified Employees	502500	13,889	11,910	11,910	12,180	270	2.3%
Dental - Exempt	502510	0	794	794	812	18	2.3%
Life Ins - Classified Empl	503000	4,391	4,385	4,385	4,488	103	2.3%
Life Ins - Exempt	503010	0	448	448	457	9	2.0%
LTD - Classified Employees	503500	451	212	212	217	5	2.4%
LTD - Exempt	503510	0	244	244	249	5	2.0%

EAP - Classified Empl	504000	474	450	450	450	0	0.0%
EAP - Exempt	504010	0	30	30	30	0	0.0%
Employee Tuition Costs	504530	4,355	0	0	0	0	0.0%
Workers Comp - Ins Premium	505200	5,295	5,339	5,339	3,083	(2,256)	-42.3%
Catamount Health Assessment	505700	514	0	0	0	0	0.0%
Total: Fringe Benefits		579,026	545,769	545,769	572,706	26,937	4.9%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Contracted and 3rd Party Service							
Description	Code						
Contr & 3Rd Party - Legal	507200	10,921	0	0	0	0	0.0%
Contractual & 3Rd Party	507999	0	10,754	10,754	10,754	0	0.0%
Total: Contracted and 3rd Party Service		10,921	10,754	10,754	10,754	0	0.0%
Total: 1. PERSONAL SERVICES		1,724,291	1,668,222	1,668,222	1,723,226	55,004	3.3%

Budget Object Group: 2. OPERATING

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment							
Description	Code						
Hardware - Desktop & Laptop Pc	522216	0	5,711	5,711	5,711	0	0.0%
Furniture & Fixtures	522700	710	0	0	0	0	0.0%
Total: Equipment		710	5,711	5,711	5,711	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
IT/Telecom Services and Equipment							
Description	Code						
Toll-Free Telephone	516611	85	0	0	0	0	0.0%
Telecom-Conf Calling Services	516658	120	0	0	0	0	0.0%

It Intsvccost-Vision/Isdassess	516671	12,447	13,998	13,998	12,651	(1,347)	-9.6%
ADS Centrex Exp.	516672	5,799	8,000	8,000	8,000	0	0.0%
ADS Allocation Exp.	516685	20,762	21,367	21,367	16,543	(4,824)	-22.6%
Software - Office Technology	522221	0	2,000	2,000	2,000	0	0.0%
Total: IT/Telecom Services and Equipment		39,213	45,365	45,365	39,194	(6,171)	-13.6%

Other Operating Expenses		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Single Audit Allocation	523620	1,204	1,369	1,369	1,263	(106)	-7.7%
Registration & Identification	523640	0	50	50	50	0	0.0%
Taxes	523660	0	2,300	2,300	2,300	0	0.0%
Total: Other Operating Expenses		1,204	3,719	3,719	3,613	(106)	-2.9%

Other Purchased Services		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Insurance Other Than Empl Bene	516000	0	303	303	333	30	9.9%
Insurance - General Liability	516010	3,517	3,177	3,177	3,753	576	18.1%
Property Insurance	516099	0	0	0	0	0	0.0%
Dues	516500	37,602	50,000	50,000	50,000	0	0.0%
Licenses	516550	261	0	0	0	0	0.0%
Telecom-Telephone Services	516652	1,231	0	0	0	0	0.0%
Advertising	516800	0	1,000	1,000	1,000	0	0.0%
Advertising-Print	516813	2,200	0	0	0	0	0.0%
Printing and Binding	517000	0	5,600	5,600	5,600	0	0.0%
Photocopying	517020	129	0	0	0	0	0.0%
Registration For Meetings&Conf	517100	11,936	10,000	10,000	12,000	2,000	20.0%
Postage - Bgs Postal Svcs Only	517205	1,826	4,000	4,000	4,000	0	0.0%
Freight & Express Mail	517300	6	100	100	0	(100)	-100.0%
Other Purchased Services	519000	267	2,000	2,000	300	(1,700)	-85.0%
Total: Other Purchased Services		58,976	76,180	76,180	76,986	806	1.1%

Property and Maintenance		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Repair and Maintenance	512099	0	400	400	400	0	0.0%
Repair & Maint - Office Tech	513010	17	0	0	0	0	0.0%
Total: Property and Maintenance		17	400	400	400	0	0.0%

Rental Other		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Rentals	514099	0	101,962	101,962	114,010	12,048	11.8%
Total: Rental Other		0	101,962	101,962	114,010	12,048	11.8%

Rental Property		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Description	Code						
Rent Land & Bldgs-Office Space	514000	113,658	0	0	0	0	0.0%
Total: Rental Property		113,658	0	0	0	0	0.0%

Supplies		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Office Supplies	520000	2,965	5,200	5,200	5,200	0	0.0%
Food	520700	164	1,000	1,000	800	(200)	-20.0%
Electricity	521100	2,876	5,000	5,000	5,000	0	0.0%
Books&Periodicals-Library/Educ	521500	112	500	500	500	0	0.0%
Subscriptions	521510	1,704	1,500	1,500	1,500	0	0.0%
Total: Supplies		7,821	13,200	13,200	13,000	(200)	-1.5%

Travel		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Travel In-State Employee	517999	0	87,800	87,800	87,800	0	0.0%
Travel-Inst-Auto Mileage-Emp	518000	62,814	0	0	0	0	0.0%
Travel-Inst-Other Transp-Emp	518010	846	0	0	0	0	0.0%
Travel-Inst-Meals-Emp	518020	2,600	0	0	0	0	0.0%
Travel-Inst-Lodging-Emp	518030	12,553	0	0	0	0	0.0%
Travel-Inst-Incidentals-Emp	518040	1,714	0	0	0	0	0.0%
Travel-Inst-Lodging-Nonemp	518330	1,240	0	0	0	0	0.0%
Travel Out-State Employee	518499	0	60,000	60,000	60,000	0	0.0%
Travel-Outst-Auto Mileage-Emp	518500	3,399	0	0	0	0	0.0%
Travel-Outst-Other Trans-Emp	518510	12,087	0	0	0	0	0.0%
Travel-Outst-Meals-Emp	518520	3,955	0	0	0	0	0.0%
Travel-Outst-Lodging-Emp	518530	28,761	0	0	0	0	0.0%
Travel-Outst-Incidentals-Emp	518540	3,042	0	0	0	0	0.0%
Total: Travel		133,011	147,800	147,800	147,800	0	0.0%

Property Management Services		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Description	Code						
Accreditation/Certification	516575	10,000	0	0	0	0	0.0%
Total: Property Management Services		10,000	0	0	0	0	0.0%

Total: 2. OPERATING	364,610	394,337	394,337	400,714	6,377	1.6%
----------------------------	----------------	----------------	----------------	----------------	--------------	-------------

Total Expenses:	2,088,901	2062559	2062559	2123940	61381	3.0%
------------------------	------------------	----------------	----------------	----------------	--------------	-------------

Organization: 2210011000 - Financial regulation - insurance

Budget Object Group: 1. PERSONAL SERVICES

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages							
Description	Code						
Classified Employees	500000	2,446,428	1,939,315	1,939,315	1,915,967	(23,348)	-1.2%
Exempt	500010	0	116,771	116,771	106,205	(10,566)	-9.0%
Overtime	500060	1,924	0	0	0	0	0.0%
Market Factor - Classified	500899	0	220,725	220,725	238,300	17,575	8.0%
Vacancy Turnover Savings	508000	0	(66,603)	(66,603)	(63,382)	3,221	-4.8%
Total: Salaries and Wages		2,448,352	2,210,208	2,210,208	2,197,090	(13,118)	-0.6%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Fringe Benefits							
Description	Code						
FICA - Classified Employees	501000	156,023	165,243	165,243	163,911	(1,332)	-0.8%
FICA - Exempt	501010	0	8,933	8,933	8,125	(808)	-9.0%
Health Ins - Classified Empl	501500	400,794	422,283	422,283	380,875	(41,408)	-9.8%
Health Ins - Exempt	501510	0	22,952	22,952	20,180	(2,772)	-12.1%
Retirement - Classified Empl	502000	374,238	377,359	377,359	376,352	(1,007)	-0.3%
Retirement - Exempt	502010	0	20,400	20,400	18,554	(1,846)	-9.0%
Dental - Classified Employees	502500	21,953	23,820	23,820	23,548	(272)	-1.1%
Dental - Exempt	502510	0	794	794	812	18	2.3%
Life Ins - Classified Empl	503000	8,183	9,117	9,117	9,090	(27)	-0.3%
Life Ins - Exempt	503010	0	493	493	448	(45)	-9.1%
LTD - Classified Employees	503500	974	751	751	783	32	4.3%
LTD - Exempt	503510	0	269	269	244	(25)	-9.3%
EAP - Classified Empl	504000	880	900	900	870	(30)	-3.3%
EAP - Exempt	504010	0	30	30	30	0	0.0%
Employee Tuition Costs	504530	483	0	0	0	0	0.0%
Workers Comp - Ins Premium	505200	10,259	10,345	10,345	5,781	(4,564)	-44.1%
Catamount Health Assessment	505700	120	0	0	0	0	0.0%
Total: Fringe Benefits		973,907	1,063,689	1,063,689	1,009,603	(54,086)	-5.1%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Contracted and 3rd Party Service							
Description	Code						
Contr & 3Rd Party - Financial	507100	532,930	0	0	0	0	0.0%
Contr & 3Rd Party - Legal	507200	10,921	0	0	0	0	0.0%
Other Contr and 3Rd Pty Serv	507600	184,448	0	0	0	0	0.0%
Contractual & 3Rd Party	507999	0	1,163,097	1,163,097	775,874	(387,223)	-33.3%
Total: Contracted and 3rd Party Service		728,298	1,163,097	1,163,097	775,874	(387,223)	-33.3%
Total: 1. PERSONAL SERVICES		4,150,558	4,436,994	4,436,994	3,982,567	(454,427)	-10.2%

Budget Object Group: 2. OPERATING

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment							
Description	Code						
Hardware - Desktop & Laptop Pc	522216	0	10,000	10,000	10,000	0	0.0%
Hardware - Data Network	522273	80	0	0	0	0	0.0%
Other Equipment	522400	39	0	0	0	0	0.0%
Furniture & Fixtures	522700	1,605	0	0	0	0	0.0%
Equipment	522799	0	2,000	2,000	2,000	0	0.0%
Total: Equipment		1,724	12,000	12,000	12,000	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
IT/Telecom Services and Equipment							
Description	Code						
Toll-Free Telephone	516611	938	0	0	0	0	0.0%
Telecom-Paging Service	516656	612	0	0	0	0	0.0%
Telecom-Conf Calling Services	516658	315	0	0	0	0	0.0%
It Intsvccost-Vision/Isdassess	516671	40,176	40,736	40,736	41,223	487	1.2%

ADS Centrex Exp.	516672	12,938	15,180	15,180	15,180	0	0.0%
ADS Allocation Exp.	516685	40,226	41,398	41,398	31,018	(10,380)	-25.1%
Software - Office Technology	522221	0	1,520	1,520	1,520	0	0.0%
Total: IT/Telecom Services and Equipment		95,204	98,834	98,834	88,941	(9,893)	-10.0%

Other Operating Expenses		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Single Audit Allocation	523620	3,888	3,985	3,985	4,116	131	3.3%
Taxes	523660	0	3,500	3,500	3,500	0	0.0%
Total: Other Operating Expenses		3,888	7,485	7,485	7,616	131	1.8%

Other Purchased Services		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Insurance Other Than Empl Bene	516000	0	587	587	625	38	6.5%
Insurance - General Liability	516010	6,814	6,155	6,155	7,036	881	14.3%
Property Insurance	516099	0	0	0	0	0	0.0%
Dues	516500	17,166	14,060	14,060	14,060	0	0.0%
Telecom-Telephone Services	516652	2,104	2,280	2,280	2,280	0	0.0%
Advertising	516800	0	3,800	3,800	3,800	0	0.0%
Advertising-Print	516813	5,728	0	0	0	0	0.0%
Advertising - Job Vacancies	516820	133	0	0	0	0	0.0%
Trade Shows & Events	516870	0	5,000	5,000	5,000	0	0.0%
Printing and Binding	517000	110	14,000	14,000	14,000	0	0.0%
Printing & Binding-Bgs Copy Ct	517005	22,380	0	0	0	0	0.0%
Photocopying	517020	6,478	0	0	0	0	0.0%
Registration For Meetings&Conf	517100	4,000	15,000	15,000	15,000	0	0.0%
Postage	517200	0	0	0	0	0	0.0%
Postage - Bgs Postal Svcs Only	517205	16,740	12,000	12,000	12,000	0	0.0%
Freight & Express Mail	517300	687	760	760	760	0	0.0%
Other Purchased Services	519000	10,922	4,200	4,200	4,200	0	0.0%
Total: Other Purchased Services		93,261	77,842	77,842	78,761	919	1.2%

Property and Maintenance		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Repair and Maintenance	512099	0	1,900	1,900	1,900	0	0.0%
Repair & Maint - Office Tech	513010	37	0	0	0	0	0.0%
Total: Property and Maintenance		37	1,900	1,900	1,900	0	0.0%

Rental Other		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Rentals	514099	0	276,849	276,849	309,039	32,190	11.6%
Rental - Auto	514550	335	0	0	0	0	0.0%
Total: Rental Other		335	276,849	276,849	309,039	32,190	11.6%

Rental Property		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Description	Code						
Rent Land & Bldgs-Office Space	514000	353,909	0	0	0	0	0.0%
Total: Rental Property		353,909	0	0	0	0	0.0%

Supplies		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Office Supplies	520000	11,545	15,000	15,000	15,000	0	0.0%
Food	520700	0	760	760	760	0	0.0%
Electricity	521100	16,723	15,000	15,000	15,000	0	0.0%
Books&Periodicals-Library/Educ	521500	5,201	4,000	4,000	4,000	0	0.0%

Subscriptions	521510	1,830	6,500	6,500	6,500	0	0.0%
Total: Supplies		35,300	41,260	41,260	41,260	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Travel							
Description	Code						
Travel In-State Employee	517999	0	14,000	14,000	14,000	0	0.0%
Travel-Inst-Auto Mileage-Emp	518000	2,626	0	0	0	0	0.0%
Travel-Inst-Other Transp-Emp	518010	743	0	0	0	0	0.0%
Travel-Inst-Meals-Emp	518020	18	0	0	0	0	0.0%
Travel-Inst-Incidentals-Emp	518040	605	0	0	0	0	0.0%
Travel Out-State Employee	518499	0	25,595	25,595	25,595	0	0.0%
Travel-Outst-Auto Mileage-Emp	518500	(178)	0	0	0	0	0.0%
Travel-Outst-Other Trans-Emp	518510	1,195	0	0	0	0	0.0%
Travel-Outst-Meals-Emp	518520	1,989	0	0	0	0	0.0%
Travel-Outst-Lodging-Emp	518530	1,456	0	0	0	0	0.0%
Travel-Outst-Incidentals-Emp	518540	(605)	0	0	0	0	0.0%
Travel Out-State Non-Employee	518699	0	0	0	0	0	0.0%
Total: Travel		7,849	39,595	39,595	39,595	0	0.0%

		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Rentals							
Description	Code						
Hardware Lease-Voice Network	514711	77	0	0	0	0	0.0%
Total: Rentals		77	0	0	0	0	0.0%

		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Repair and Maintenance Services							
Description	Code						
Software-Rep&Maint-VoiceNetwrk	513059	63	0	0	0	0	0.0%
Total: Repair and Maintenance Services		63	0	0	0	0	0.0%
Total: 2. OPERATING		591,647	555,765	555,765	579,112	23,347	4.2%

Total Expenses:	4,742,205	4992759	4992759	4561679	-431080	-8.6%
------------------------	------------------	----------------	----------------	----------------	----------------	--------------

Organization: 2210020000 - Financial regulation - captive insurance

Budget Object Group: 1. PERSONAL SERVICES

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages							
Description	Code						
Classified Employees	500000	2,408,467	1,985,311	1,985,311	2,008,635	23,324	1.2%
Exempt	500010	0	173,140	173,140	175,573	2,433	1.4%
Overtime	500060	63	0	0	0	0	0.0%
Market Factor - Classified	500899	0	829,302	829,302	838,791	9,489	1.1%
Vacancy Turnover Savings	508000	0	(85,056)	(85,056)	(86,100)	(1,044)	1.2%
Total: Salaries and Wages		2,408,531	2,902,697	2,902,697	2,936,899	34,202	1.2%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Fringe Benefits							
Description	Code						
FICA - Classified Employees	501000	197,101	215,313	215,313	217,595	2,282	1.1%
FICA - Exempt	501010	0	13,246	13,246	13,432	186	1.4%
Health Ins - Classified Empl	501500	491,028	459,739	459,739	460,630	891	0.2%
Health Ins - Exempt	501510	0	26,664	26,664	26,979	315	1.2%
Retirement - Classified Empl	502000	465,432	478,232	478,232	497,443	19,211	4.0%
Retirement - Exempt	502010	0	30,247	30,247	30,673	426	1.4%
Dental - Classified Employees	502500	24,376	25,408	25,408	25,172	(236)	-0.9%
Dental - Exempt	502510	0	1,588	1,588	1,624	36	2.3%
Life Ins - Classified Empl	503000	9,821	11,877	11,877	12,017	140	1.2%
Life Ins - Exempt	503010	0	730	730	741	11	1.5%
LTD - Classified Employees	503500	920	570	570	593	23	4.0%
LTD - Exempt	503510	0	398	398	404	6	1.5%
EAP - Classified Empl	504000	917	960	960	930	(30)	-3.1%
EAP - Exempt	504010	0	60	60	60	0	0.0%

Employee Tuition Costs	504530	800	0	0	0	0	0.0%
Misc Employee Benefits	504590	112	0	0	0	0	0.0%
Workers Comp - Ins Premium	505200	10,258	11,346	11,346	6,359	(4,987)	-44.0%
Unemployment Compensation	505500	11,908	0	0	0	0	0.0%
Total: Fringe Benefits		1,212,671	1,276,378	1,276,378	1,294,652	18,274	1.4%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Contracted and 3rd Party Service							
Description	Code						
Contr & 3Rd Party - Financial	507100	187,829	289,096	289,096	289,096	0	0.0%
Contr & 3Rd Party - Legal	507200	10,921	8,000	8,000	8,000	0	0.0%
Total: Contracted and 3rd Party Service		198,750	297,096	297,096	297,096	0	0.0%
Total: 1. PERSONAL SERVICES		3,819,951	4,476,171	4,476,171	4,528,647	52,476	1.2%

Budget Object Group: 2. OPERATING

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment							
Description	Code						
Hardware - Desktop & Laptop Pc	522216	1,670	6,000	6,000	6,000	0	0.0%
Hw - Printers,Copiers,Scanners	522217	4,144	0	0	0	0	0.0%
Furniture & Fixtures	522700	1,699	0	0	0	0	0.0%
Equipment	522799	0	500	500	500	0	0.0%
Total: Equipment		7,513	6,500	6,500	6,500	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
IT/Telecom Services and Equipment							
Description	Code						
Telecom-Conf Calling Services	516658	337	0	0	0	0	0.0%

It Intsvccost-Vision/Isdassess	516671	29,002	30,027	30,027	27,539	(2,488)	-8.3%
ADS Centrex Exp.	516672	7,646	9,000	9,000	9,000	0	0.0%
ADS Allocation Exp.	516685	40,226	45,404	45,404	34,120	(11,284)	-24.9%
Software - Office Technology	522221	0	2,000	2,000	2,000	0	0.0%
Total: IT/Telecom Services and Equipment		77,210	86,431	86,431	72,659	(13,772)	-15.9%

Other Operating Expenses		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Single Audit Allocation	523620	2,681	2,937	2,937	2,750	(187)	-6.4%
Registration & Identification	523640	0	50	50	50	0	0.0%
Taxes	523660	0	4,000	4,000	4,000	0	0.0%
Total: Other Operating Expenses		2,681	6,987	6,987	6,800	(187)	-2.7%

Other Purchased Services		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Insurance Other Than Empl Bene	516000	0	643	643	687	44	6.8%
Insurance - General Liability	516010	6,814	6,751	6,751	7,740	989	14.6%
Property Insurance	516099	0	0	0	0	0	0.0%
Dues	516500	13,085	3,700	3,700	3,700	0	0.0%
Licenses	516550	3,654	0	0	0	0	0.0%
Telecom-Telephone Services	516652	2,025	0	0	0	0	0.0%
Advertising	516800	0	2,000	2,000	2,000	0	0.0%
Advertising-Print	516813	6,600	0	0	0	0	0.0%
Printing and Binding	517000	239	5,500	5,500	5,500	0	0.0%
Photocopying	517020	1,652	0	0	0	0	0.0%
Registration For Meetings&Conf	517100	9,780	11,000	11,000	11,000	0	0.0%
Postage - Bgs Postal Svcs Only	517205	333	2,000	2,000	2,000	0	0.0%
Freight & Express Mail	517300	239	500	500	500	0	0.0%
Instate Conf, Meetings, Etc	517400	725	0	0	0	0	0.0%
Outside Conf, Meetings, Etc	517500	569	0	0	0	0	0.0%
Other Purchased Services	519000	6,757	1,500	1,500	1,500	0	0.0%

Moving State Agencies	519040	215	0	0	0	0	0.0%
Total: Other Purchased Services		52,688	33,594	33,594	34,627	1,033	3.1%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Property and Maintenance							
Description	Code						
Repair and Maintenance	512099	0	750	750	750	0	0.0%
Repair & Maint - Office Tech	513010	35	0	0	0	0	0.0%
Total: Property and Maintenance		35	750	750	750	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Rental Other							
Description	Code						
Rentals	514099	0	207,325	207,325	221,882	14,557	7.0%
Rental - Auto	514550	739	0	0	0	0	0.0%
Total: Rental Other		739	207,325	207,325	221,882	14,557	7.0%

		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Rental Property							
Description	Code						
Rent Land & Bldgs-Office Space	514000	93,489	0	0	0	0	0.0%
Total: Rental Property		93,489	0	0	0	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Supplies							
Description	Code						
Office Supplies	520000	4,105	13,000	13,000	13,000	0	0.0%
Educational Supplies	520540	639	0	0	0	0	0.0%

Food	520700	0	500	500	500	0	0.0%
Electricity	521100	4,383	10,000	10,000	10,000	0	0.0%
Books&Periodicals-Library/Educ	521500	473	1,500	1,500	1,500	0	0.0%
Subscriptions	521510	493	2,000	2,000	2,000	0	0.0%
Total: Supplies		10,092	27,000	27,000	27,000	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Travel							
Description	Code						
Travel In-State Employee	517999	0	120,643	120,643	120,643	0	0.0%
Travel-Inst-Auto Mileage-Emp	518000	81,475	0	0	0	0	0.0%
Travel-Inst-Other Transp-Emp	518010	1,704	0	0	0	0	0.0%
Travel-Inst-Meals-Emp	518020	6	0	0	0	0	0.0%
Travel-Inst-Incidentals-Emp	518040	17,179	0	0	0	0	0.0%
Travel Out-State Employee	518499	0	77,754	77,754	77,754	0	0.0%
Travel-Outst-Auto Mileage-Emp	518500	681	0	0	0	0	0.0%
Travel-Outst-Other Trans-Emp	518510	24,877	0	0	0	0	0.0%
Travel-Outst-Meals-Emp	518520	4,431	0	0	0	0	0.0%
Travel-Outst-Lodging-Emp	518530	31,934	0	0	0	0	0.0%
Travel-Outst-Incidentals-Emp	518540	1,770	0	0	0	0	0.0%
Total: Travel		164,056	198,397	198,397	198,397	0	0.0%
Total: 2. OPERATING		408,503	566,984	566,984	568,615	1,631	0.3%

Total Expenses:	4,228,454	5043155	5043155	5097262	54107	1.1%
------------------------	------------------	----------------	----------------	----------------	--------------	-------------

Organization: 2210031000 - Financial regulation - securities

Budget Object Group: 1. PERSONAL SERVICES

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Salaries and Wages							
Description	Code						
Classified Employees	500000	544,533	482,019	482,019	466,753	(15,266)	-3.2%

Exempt	500010	0	106,205	106,205	106,205	0	0.0%
Overtime	500060	1,184	0	0	0	0	0.0%
Vacancy Turnover Savings	508000	0	(16,912)	(16,912)	(16,477)	435	-2.6%
Total: Salaries and Wages		545,717	571,312	571,312	556,481	(14,831)	-2.6%

Fringe Benefits		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
FICA - Classified Employees	501000	40,350	36,875	36,875	35,707	(1,168)	-3.2%
FICA - Exempt	501010	0	8,125	8,125	8,125	0	0.0%
Health Ins - Classified Empl	501500	79,202	100,555	100,555	103,487	2,932	2.9%
Health Ins - Exempt	501510	0	0	0	16,889	16,889	0.0%
Retirement - Classified Empl	502000	92,025	102,763	102,763	81,540	(21,223)	-20.7%
Retirement - Exempt	502010	0	0	0	18,554	18,554	0.0%
Dental - Classified Employees	502500	3,737	6,352	6,352	5,684	(668)	-10.5%
Dental - Exempt	502510	0	0	0	812	812	0.0%
Life Ins - Classified Empl	503000	1,984	2,482	2,482	1,970	(512)	-20.6%
Life Ins - Exempt	503010	0	0	0	448	448	0.0%
LTD - Classified Employees	503500	37	0	0	0	0	0.0%
LTD - Exempt	503510	0	0	0	244	244	0.0%
EAP - Classified Empl	504000	211	240	240	210	(30)	-12.5%
EAP - Exempt	504010	0	0	0	30	30	0.0%
Employee Tuition Costs	504530	0	0	0	0	0	0.0%
Workers Comp - Ins Premium	505200	2,648	2,670	2,670	1,542	(1,128)	-42.2%
Total: Fringe Benefits		220,194	260,062	260,062	275,242	15,180	5.8%

Contracted and 3rd Party Service		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Contr & 3Rd Party - Financial	507100	54,386	0	0	0	0	0.0%
Contr & 3Rd Party - Legal	507200	10,921	0	0	0	0	0.0%
Contract Court Reporters & Rec	507625	1,292	0	0	0	0	0.0%
Contractual & 3Rd Party	507999	0	32,582	32,582	52,582	20,000	61.4%

Single Audit Allocation	523620	1,285	1,346	1,346	1,293	(53)	-3.9%
Taxes	523660	0	2,500	2,500	2,500	0	0.0%
Total: Other Operating Expenses		1,285	3,846	3,846	3,793	(53)	-1.4%

Other Purchased Services		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Insurance Other Than Empl Bene	516000	0	151	151	166	15	9.9%
Insurance - General Liability	516010	1,759	1,588	1,588	1,876	288	18.1%
Property Insurance	516099	0	0	0	0	0	0.0%
Dues	516500	0	2,300	2,300	2,300	0	0.0%
Telecom-Telephone Services	516652	2,096	300	300	300	0	0.0%
Advertising	516800	0	7,000	7,000	7,000	0	0.0%
Advertising-Print	516813	8,895	0	0	0	0	0.0%
Printing and Binding	517000	84	8,000	8,000	8,000	0	0.0%
Photocopying	517020	(312)	0	0	0	0	0.0%
Registration For Meetings&Conf	517100	1,650	1,500	1,500	1,500	0	0.0%
Postage	517200	0	500	500	500	0	0.0%
Postage - Bgs Postal Svcs Only	517205	654	1,500	1,500	1,500	0	0.0%
Freight & Express Mail	517300	70	100	100	100	0	0.0%
Other Purchased Services	519000	3,028	8,376	8,376	8,376	0	0.0%
Moving State Agencies	519040	549	0	0	0	0	0.0%
Total: Other Purchased Services		18,473	31,315	31,315	31,618	303	1.0%

Property and Maintenance		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Repair & Maint - Buildings	512000	90	0	0	0	0	0.0%
Repair and Maintenance	512099	0	500	500	500	0	0.0%
Repair & Maint - Office Tech	513010	11	0	0	0	0	0.0%
Other Repair & Maint Serv	513200	1	0	0	0	0	0.0%
Total: Property and Maintenance		102	500	500	500	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Rental Other							
Description	Code						
Rentals	514099	0	79,304	79,304	88,675	9,371	11.8%
Rental - Auto	514550	492	0	0	0	0	0.0%
Total: Rental Other		492	79,304	79,304	88,675	9,371	11.8%

		FY2017 Actuals				Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Rental Property							
Description	Code						
Rent Land & Bldgs-Office Space	514000	87,588	0	0	0	0	0.0%
Total: Rental Property		87,588	0	0	0	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Supplies							
Description	Code						
Office Supplies	520000	2,078	5,000	5,000	5,000	0	0.0%
Recognition/Awards	520600	0	100	100	100	0	0.0%
Food	520700	57	1,000	1,000	1,000	0	0.0%
Electricity	521100	0	6,900	6,900	6,900	0	0.0%
Books&Periodicals-Library/Educ	521500	57	2,000	2,000	2,000	0	0.0%
Subscriptions	521510	1,306	8,700	8,700	8,700	0	0.0%
Total: Supplies		3,498	23,700	23,700	23,700	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Travel							
Description	Code						
Travel In-State Employee	517999	0	3,000	3,000	3,000	0	0.0%

Fringe Benefits		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
FICA - Classified Employees	501000	107,182	38,568	38,568	26,597	(11,971)	-31.0%
FICA - Exempt	501010	0	70,676	70,676	75,342	4,666	6.6%
Health Ins - Classified Empl	501500	205,329	56,337	56,337	46,444	(9,893)	-17.6%
Health Ins - Exempt	501510	0	158,118	158,118	145,203	(12,915)	-8.2%
Retirement - Classified Empl	502000	222,233	88,085	88,085	60,742	(27,343)	-31.0%
Retirement - Exempt	502010	0	140,764	140,764	150,930	10,166	7.2%
Dental - Classified Employees	502500	12,496	5,558	5,558	4,060	(1,498)	-27.0%
Dental - Exempt	502510	0	8,734	8,734	8,932	198	2.3%
Life Ins - Classified Empl	503000	4,625	2,129	2,129	1,468	(661)	-31.0%
Life Ins - Exempt	503010	0	3,900	3,900	4,155	255	6.5%
LTD - Classified Employees	503500	2,074	206	206	210	4	1.9%
LTD - Exempt	503510	0	1,613	1,613	2,267	654	40.5%
EAP - Classified Empl	504000	518	210	210	150	(60)	-28.6%
EAP - Exempt	504010	0	330	330	330	0	0.0%
Employee Tuition Costs	504530	100	0	0	0	0	0.0%
Unemployment Compensation	505500	6,412	0	0	0	0	0.0%
Catamount Health Assessment	505700	634	0	0	0	0	0.0%
Total: Fringe Benefits		561,603	575,228	575,228	526,830	(48,398)	-8.4%

Contracted and 3rd Party Service		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Description	Code						
Contr&3Rd Party-Fulfillment	507020	0	0	0	0	0	0.0%
Contr & 3Rd Party - Financial	507100	15,909	0	0	0	0	0.0%
Other Contr and 3Rd Pty Serv	507600	0	23,107	23,107	23,107	0	0.0%
Contract Court Reporters & Rec	507625	822	0	0	0	0	0.0%
Total: Contracted and 3rd Party Service		16,731	23,107	23,107	23,107	0	0.0%
Total: 1. PERSONAL SERVICES		2,006,334	1,998,578	1,998,578	1,848,070	(150,508)	-7.5%

Budget Object Group: 2. OPERATING

			FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Equipment		FY2017 Actuals					
Description	Code						
Hardware - Desktop & Laptop Pc	522216	27,730	11,000	11,000	11,000	0	0.0%
Hw - Printers,Copiers,Scanners	522217	(68)	0	0	0	0	0.0%
Hardware - Data Network	522273	1,178	0	0	0	0	0.0%
Software - Voice Network	522291	160	0	0	0	0	0.0%
Other Equipment	522400	1,828	0	0	0	0	0.0%
Furniture & Fixtures	522700	643	0	0	0	0	0.0%
Equipment	522799	0	2,500	2,500	2,500	0	0.0%
Total: Equipment		31,472	13,500	13,500	13,500	0	0.0%

			FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
IT/Telecom Services and Equipment		FY2017 Actuals					
Description	Code						
Telecom-Conf Calling Services	516658	218	0	0	0	0	0.0%
ADS App Support SOV Emp Exp	516661	0	0	0	255,889	255,889	0.0%
ADS Centrex Exp.	516672	6,434	12,700	12,700	12,700	0	0.0%
Software - Office Technology	522221	0	4,000	4,000	4,000	0	0.0%
Total: IT/Telecom Services and Equipment		6,652	16,700	16,700	272,589	255,889	1,532.3%

			FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Other Purchased Services		FY2017 Actuals					
Description	Code						
Insurance Other Than Empl Bene	516000	1,628	0	0	0	0	0.0%
Dues	516500	4,980	1,600	1,600	1,600	0	0.0%
Licenses	516550	1,380	0	0	0	0	0.0%
Telecom-Telephone Services	516652	2,968	0	0	0	0	0.0%

Advertising-Print	516813	4,550	0	0	0	0	0.0%
Advertising - Job Vacancies	516820	0	3,000	3,000	3,000	0	0.0%
Printing and Binding	517000	33	0	0	0	0	0.0%
Photocopying	517020	(244)	0	0	0	0	0.0%
Registration For Meetings&Conf	517100	4,566	5,600	5,600	5,600	0	0.0%
Postage	517200	67	0	0	0	0	0.0%
Postage - Bgs Postal Svcs Only	517205	12	0	0	0	0	0.0%
Freight & Express Mail	517300	124	0	0	0	0	0.0%
Other Purchased Services	519000	2,839	5,000	5,000	5,000	0	0.0%
Human Resources Services	519006	52,427	56,769	56,769	60,115	3,346	5.9%
Moving State Agencies	519040	914	0	0	0	0	0.0%
Total: Other Purchased Services		76,244	71,969	71,969	75,315	3,346	4.6%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Rental Other							
Description	Code						
Rentals	514099	0	30,127	30,127	0	(30,127)	-100.0%
Rental - Auto	514550	466	0	0	0	0	0.0%
Total: Rental Other		466	30,127	30,127	0	(30,127)	-100.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Supplies							
Description	Code						
Office Supplies	520000	1,541	3,500	3,500	3,500	0	0.0%
Gasoline	520110	13	0	0	0	0	0.0%
Food	520700	1,044	0	0	0	0	0.0%
Electricity	521100	3,007	7,000	7,000	7,000	0	0.0%
Books&Periodicals-Library/Educ	521500	1,104	1,200	1,200	1,200	0	0.0%
Subscriptions	521510	8,778	1,200	1,200	1,200	0	0.0%
Total: Supplies		15,488	12,900	12,900	12,900	0	0.0%

		FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Travel							
Description	Code						
Travel In-State Employee	517999	0	9,600	9,600	9,600	0	0.0%
Travel-Inst-Auto Mileage-Emp	518000	1,390	0	0	0	0	0.0%
Travel-Inst-Other Transp-Emp	518010	120	0	0	0	0	0.0%
Travel-Inst-Incidentals-Emp	518040	41	0	0	0	0	0.0%
Travel Out-State Employee	518499	0	43,781	43,781	10,781	(33,000)	-75.4%
Travel-Outst-Auto Mileage-Emp	518500	198	0	0	0	0	0.0%
Travel-Outst-Other Trans-Emp	518510	4,819	0	0	0	0	0.0%
Travel-Outst-Meals-Emp	518520	(14)	0	0	0	0	0.0%
Travel-Outst-Lodging-Emp	518530	4,117	0	0	0	0	0.0%
Travel-Outst-Incidentals-Emp	518540	922	0	0	0	0	0.0%
Total: Travel		11,592	53,381	53,381	20,381	(33,000)	-61.8%
Rentals							
Description	Code					Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Hardware Lease-DeskLaptop PC	514703	2,600	0	0	0	0	0.0%
Software-License-Security	516554	3,930	0	0	0	0	0.0%
Total: Rentals		6,530	0	0	0	0	0.0%
Repair and Maintenance Services							
Description	Code					Difference Between Recommend and As Passed	Percent Change Recommend and As Passed
Software-Rep&Maint-ApplicaSupp	513050	10,270	0	0	0	0	0.0%
Software-Rep&Maint-VoiceNetwrk	513059	125	0	0	0	0	0.0%
Total: Repair and Maintenance Services		10,395	0	0	0	0	0.0%
Total: 2. OPERATING		158,838	198,577	198,577	394,685	196,108	98.8%
Total Expenses:		2,165,173	2197155	2197155	2242755	45600	2.1%

Fund Name	Fund Code	FY2017 Actuals	FY2018 Original As Passed Budget	FY2018 Governor's BAA Recommended Budget	FY2019 Governor's Recommended Budget	Difference Between FY2019 Governor's Recommend and FY2018 As Passed	Percent Change FY2019 Governor's Recommend and FY2018 As Passed
Global Commitment Fund	20405	0	0	0	0	0	0.0%
Financial Institut Supervision	21065	2,384,291	2,370,043	2,370,043	2,509,116	139,073	5.9%
Health Care Suprv & Reg	21070	0	0	0	0	0	0.0%
Insurance Regulatory & Suprv	21075	5,543,418	5,802,027	5,802,027	5,668,441	(133,586)	-2.3%
Securities Regulatory & Suprv	21080	1,423,641	1,392,723	1,392,723	1,456,309	63,586	4.6%
Captive Insurance Reg & Suprv	21085	4,780,401	5,708,930	5,708,930	5,467,880	(241,050)	-4.2%
Inter-Unit Transfers Fund	21500	79,044	71,263	71,263	0	(71,263)	-100.0%
Misc Grants Fund	21908	0	0	0	0	0	0.0%
State Health Care Resources Fd	21990	0	0	0	0	0	0.0%
Federal Revenue Fund	22005	0	0	0	0	0	0.0%
Funds Total:		14,210,795	15,344,986	15,344,986	15,101,746	(243,240)	-1.6%
Position Count					103		
FTE Total					102.55		

Re:

State of Vermont

Re:#####

Re:11:09 AM

**FY2019 Governor's Recommended Budget Position
Summary Report**

2210001000-Financial regulation - banking

Position Number	Classification	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
290002	039602 - Snr Fin Exam AC:Fin Institut	1	1	78,042	31694	5,971	115,707
290003	039500 - Finan Examinations Dir	1	1	91,541	40442	7,003	138,986
290005	039700 - Financial Examiner II	1	1	55,515	33997	4,247	93,759
290006	039700 - Financial Examiner II	1	1	57,304	11095	4,384	72,783
290009	039602 - Snr Fin Exam AC:Fin Institut	1	1	90,210	40205	6,901	137,316
290014	004800 - Program Technician II	1	1	53,373	33613	4,083	91,069
290019	039604 - Sen. Fin. Examiner AC: IT	1	1	63,773	30014	4,879	98,666
290037	039602 - Snr Fin Exam AC:Fin Institut	1	1	80,558	38478	6,163	125,199
290038	039602 - Snr Fin Exam AC:Fin Institut	1	1	80,558	35436	6,163	122,157
290043	033600 - Regul & Consumer Affairs Dir	1	1	94,224	34807	7,208	136,239
290051	039700 - Financial Examiner II	1	1	63,190	12148	4,834	80,172

290052	039602 - Snr Fin Exam AC:Fin Institut	1	1	73,008	37126	5,585	115,719
290053	533600 - Consumer Services Analyst	1	1	55,515	27664	4,247	87,426
290112	471200 - Reg & Consumer Affairs Adm	1	1	71,282	33541	5,453	110,276
290136	039700 - Financial Examiner II	1	1	55,515	10775	4,247	70,537
297002	90570D - Deputy Commissioner	1	1	108,388	28928	8,292	145,608
Total		16	16	1,171,996	479,963	89,660	1,741,619

Fund Code	Fund Name	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
21065	Financial Institut Supervision	16	16	1171996	479963	89660	1741619
Total		16.00	16	1171996	479963	89660	1741619

Note: Numb

2210011000-Financial regulation - insurance

Position Number	Classification	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
290001	542400 - Health Care/External Appeal An	1	1	60,882	34957	4,658	100,497
290011	035300 - Insur Examinations Dir	1	1	118,589	39222	9,073	166,885
290013	034500 - InsRate&Form Analst III	1	1	74,838	31121	5,725	111,684
290015	033800 - Insur Rates&Forms Dir	1	1	96,949	35300	7,417	139,666

290016	005000 - Executive Staff Assistant	1	1	47,403	27085	3,626	78,114
290018	004700 - Program Technician I	1	1	38,168	7671	2,919	48,758
290020	035400 - Administrative Insurance Exami	1	1	104,788	28035	8,016	140,839
290021	468900 - Rate & Form Analyst (ET)	1	1	65,000	35694	4,973	105,667
290022	035700 - Insurance Regulations Director	1	1	124,910	46700	9,555	181,166
290025	039300 - Insur Consumer Complaint Admin	1	1	65,000	29361	4,973	99,334
290027	005000 - Executive Staff Assistant	1	1	52,416	10220	4,010	66,646
290032	034500 - InsRate&Form Analst III	1	1	68,765	21590	5,260	95,615
290036	045800 - Market & Insurance Analyst	1	1	70,782	30396	5,415	106,593
290039	036800 - Insurance Examiner-in-Charge	1	1	95,419	41137	7,300	143,855
290040	035000 - Insurance Examiner III	1	1	101,219	35841	7,744	144,803
290041	036000 - Insurance Examiner I	1	1	78,584	31792	6,011	116,387
290042	533600 - Consumer Services Analyst	1	1	66,893	12810	5,117	84,820
290047	004700 - Program Technician I	1	1	46,342	32356	3,545	82,243
290050	050100 - Administrative Assistant A	1	1	49,317	18111	3,773	71,201
290059	035400 - Administrative Insurance Exami	1	1	98,155	26849	7,509	132,513

290087	532700 - Dir Rate & Form for Life Healt	1	1	77,667	23183	5,941	106,791
290088	495800 - Insur Consumer Serv Asst Adm	1	1	57,304	34317	4,384	96,005
290098	490000 - Insur Rates & Forms Asst Dir	1	1	77,688	37964	5,943	121,595
290102	034500 - InsRate&Form Analst III	0.8	1	55,012	10685	4,209	69,906
290103	081400 - Consumer Services Specialist	1	1	53,373	10391	4,083	67,847
290109	034500 - InsRate&Form Analst III	1	1	50,170	9819	3,838	63,827
290133	081400 - Consumer Services Specialist	1	1	48,443	9509	3,705	61,657
290134	035800 - Insurance Examiner II	1	1	141,551	43057	9,938	194,546
290135	535300 - Market Conduct Examiner	1	1	68,640	36345	5,251	110,236
297003	90570D - Deputy Commissioner	1	1	106,205	40268	8,125	154,598
Total		29.8	30	2,260,472	831,786	172,036	3,264,294

Fund Code	Fund Name	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
21075	Insurance Regulatory & Suprv	29.8	30	2260472	831786	172036	3264294
Total		29.80	30	2260472	831786	172036	3264294

Note: Numb

2210020000-Financial regulation - captive insurance

Position Number	Classification	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
290004	050200 - Administrative Assistant B	1	1	57,970	11214	4,435	73,619
290007	036800 - Insurance Examiner-in-Charge	1	1	89,440	16844	6,842	113,127
290035	035400 - Administrative Insurance Exami	1	1	104,788	42812	8,016	155,616
290045	036800 - Insurance Examiner-in-Charge	1	1	104,788	42812	8,016	155,616

290056	009100 - Director of Captive Examinatio	1	1	126,769	47038	9,698	183,505
290058	035400 - Administrative Insurance Exami	1	1	117,846	45149	9,015	172,010
290060	009200 - Director of Captive Insurance	1	1	130,903	47786	9,784	188,474
290061	035800 - Insurance Examiner II	1	1	70,850	30408	5,420	106,679
290062	035000 - Insurance Examiner III	0.75	1	63,533	35431	4,860	103,824
290063	035400 - Administrative Insurance Exami	1	1	104,788	42812	8,016	155,616
290086	035000 - Insurance Examiner III	1	1	107,019	30080	8,187	145,286
290092	036800 - Insurance Examiner-in-Charge	1	1	98,720	41727	7,552	147,999
290093	035000 - Insurance Examiner III	1	1	81,945	38726	6,269	126,940
290094	035000 - Insurance Examiner III	1	1	104,104	42690	7,964	154,758
290095	036800 - Insurance Examiner-in-Charge	1	1	98,720	18505	7,552	124,777
290096	035400 - Administrative Insurance Exami	1	1	104,788	19590	8,016	132,394
290100	035000 - Insurance Examiner III	1	1	87,685	39753	6,707	134,145
290101	035000 - Insurance Examiner III	1	1	81,945	38726	6,269	126,940
290107	036800 - Insurance Examiner-in-Charge	1	1	107,881	20144	8,253	136,279
290108	035000 - Insurance Examiner III	1	1	84,711	32887	6,480	124,078

290113	035000 - Insurance Examiner III	1	1	84,711	24443	6,480	115,634
290116	035400 - Administrative Insurance Exami	1	1	108,268	43435	8,283	159,986
290117	035000 - Insurance Examiner III	1	1	81,945	23949	6,269	112,163
290118	036800 - Insurance Examiner-in-Charge	1	1	98,720	26950	7,552	133,222
290125	035000 - Insurance Examiner III	1	1	81,945	23949	6,269	112,163
290126	035000 - Insurance Examiner III	1	1	79,387	15046	6,073	100,506
290127	035800 - Insurance Examiner II	1	1	64,128	30078	4,906	99,112
290128	036800 - Insurance Examiner-in-Charge	1	1	95,419	41137	7,300	143,855
290140	035000 - Insurance Examiner III	1	1	74,568	22629	5,704	102,902
290141	035000 - Insurance Examiner III	1	1	74,568	22629	5,704	102,902
290142	035000 - Insurance Examiner III	1	1	74,568	37406	5,704	117,679
297008	05160B - Captive Insur Admin Asst	1	1	69,368	23504	5,307	98,179
297020	90570D - Deputy Commissioner	1	1	106,205	36977	8,125	151,307
Total		32.75	33	3,022,993	1,057,266	231,027	4,311,292

Fund Code	Fund Name	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
21085	Captive Insurance Reg & Suprv	32.75	33	3022993	1057266	231027	4311292
Total		32.75	33	3022993	1057266	231027	4311292

Note: Numb

2210031000-Financial regulation - securities

Position Number	Classification	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
290012	542500 - Sec Div Coord & Res Analyst	1	1	49,130	19722	3,758	72,610
290028	087100 - Regist & Consumer Affairs Adm	1	1	66,726	29670	5,105	101,501
290097	538700 - Director of Capital Markets	1	1	72,405	31559	5,539	109,503

290114	477600 - Dir of Examinations & Enforce	1	1	85,738	16182	6,559	108,479
290115	086400 - Securities Examiner	1	1	69,035	36415	5,281	110,731
290137	530600 - Investment Compliance Analyst	1	1	63,773	30014	4,879	98,666
290138	530610 - Investment Compliance Examiner	1	1	59,946	29329	4,586	93,861
297009	90570D - Deputy Commissioner	1	1	106,205	36977	8,125	151,307
Total		8	8	572,958	229,868	43,832	846,658

Fund Code	Fund Name	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
21080	Securities Regulatory & Suprv	8	8	572958	229868	43832	846658
Total		8.00	8	572958	229868	43832	846658

Note: Numb

2210080000-Financial regulation - administration

Position Number	Classification	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
290017	050100 - Administrative Assistant A	1	1	42,556	31679	3,255	77,490
290023	488000 - Infor Management Officer	1	1	69,056	13197	5,282	87,535
290029	537200 - Director of DFR Policy	1	1	85,738	39404	6,559	131,701
290048	089410 - Administrative Srvcs Dir III	1	1	91,436	17412	6,995	115,843

290073	089070 - Financial Administrator III	1	1	58,905	11382	4,506	74,793
297001	90120X - Commissioner	1	1	116,106	30328	8,883	155,317
297005	95250E - Executive Assistant	1	1	73,216	24202	5,602	103,020
297007	95871E - General Counsel II	1	1	114,214	21540	8,737	144,491
297010	95869E - Staff Attorney IV	1	1	101,379	29848	7,755	138,982
297011	95868E - Staff Attorney III	1	1	66,435	17227	5,083	88,745
297012	95869E - Staff Attorney IV	1	1	81,266	38790	6,216	126,272
297016	95869E - Staff Attorney IV	1	1	92,144	40763	7,050	139,957
297017	95869E - Staff Attorney IV	1	1	82,306	33901	6,297	122,504
297018	95869E - Staff Attorney IV	1	1	92,206	20307	7,052	119,565
297019	95868E - Staff Attorney III	1	1	76,899	37999	5,883	120,781
297021	95869E - Staff Attorney IV	1	1	88,670	16912	6,784	112,366
Total		16	16	1,332,532	424,891	101,939	1,859,362

Fund Code	Fund Name	FTE	Count	Gross Salary	Benefits Total	Statutory Total	Total
21065	Financial Institut Supervision	2.96	16	261738	79639	20022	361399
21075	Insurance Regulatory & Suprv	7.16		589721	195879	45114	830714
21080	Securities Regulatory & Suprv	3.08		251991	78253	19277	349521
21085	Captive Insurance Reg & Suprv	2.8		229082	71120	17526	317728

Total		16.00	16	1332532	424891	101939	1859362
--------------	--	--------------	-----------	----------------	---------------	---------------	----------------

Note: Numb