

1 TO THE HONORABLE SENATE:

2 The Committee on Health and Welfare to which was referred Senate Bill No.  
3 42 entitled “An act relating to substance abuse system of care” respectfully  
4 reports that it has considered the same and recommends that the bill be  
5 amended by striking out all after the enacting clause and inserting in lieu  
6 thereof the following:

7 **Sec. 1.** 16 V.S.A. § 909(a) is amended to read:

8 (a) The Secretary, in conjunction with the Alcohol and Drug Substance  
9 Abuse Advisory Council, and where appropriate, with the Division of Health  
10 Promotion, shall develop a sequential alcohol and drug abuse prevention  
11 education curriculum for elementary and secondary schools. The curriculum  
12 shall include teaching about the effects and legal consequences of the  
13 possession and use of tobacco products.

14 **Sec. 2.** 18 V.S.A. chapter 94 is redesignated to read:

15 CHAPTER 94. ~~DIVISION OF ALCOHOL AND DRUG ABUSE~~  
16 PROGRAMS SUBSTANCE ABUSE PREVENTION AND CARE

17 **Sec. 3.** 18 V.S.A. chapter 94, subchapters 1, 2, 3, and 4 are added to read:

18 Subchapter 1. System of Care

19 § 4811. PRINCIPLES

20 The General Assembly adopts the following principles pertaining to  
21 substance abuse prevention, intervention, treatment, and recovery services:

1           (1) Substance abuse and substance abuse disorders are health problems,  
2 and shall therefore be addressed using a public health approach. A public  
3 health approach emphasizes prevention and wellness for the entire population,  
4 not only those individuals with an illness or disease.

5           (2) The State of Vermont’s substance abuse system of care shall be  
6 patient-centered and trauma-informed. It shall reflect effectiveness, ease of  
7 access, evidence-based practices, cultural competency, and the highest  
8 standards of care.

9           (3) A coordinated continuum of substance abuse prevention,  
10 intervention, treatment, and recovery services shall be provided throughout the  
11 State, including by the Agency of Human Services, hospitals, preferred  
12 providers, alcohol and drug abuse counselors, regardless of whether or not the  
13 counselor is affiliated with a preferred provider, and community and peer  
14 partners to ensure that services are available to individuals at all stages  
15 of addiction. All providers within the continuum shall move towards the goal  
16 of providing chemical-free treatment to addiction.

17           (4) Programs addressing substance abuse prevention, intervention,  
18 treatment, or recovery shall be data driven and responsive to changes in  
19 demonstrated need, service delivery practices, and funding resources.

1           (5) Determinations as to the appropriate level of care shall be made in  
2           accordance with evidence-based guidelines. Consideration shall also be given  
3           to the age appropriateness of services.

4           (6) To the extent possible, the delivery of substance abuse services shall  
5           be integrated into Vermont’s health care system and across the Agency of  
6           Human Services.

7           (7) Patients and providers shall share responsibility for treatment  
8           outcomes.

9           (8) The delivery of substance abuse services shall be consistent  
10           throughout the State in terms of both access to care and the type of services  
11           offered.

12           (9) Recognizing the ongoing challenges and potential for relapse among  
13           individuals with a substance abuse disorder, services addressing both episodic  
14           and chronic substance abuse disorders shall be accessible throughout the State.

15           (10) The Commissioners of Health and of Vermont Health Access shall  
16           ensure that oversight and accountability are built into all aspects of the system  
17           of care for substance abuse services, including for alcohol and drug abuse  
18           counselors, regardless of whether or not the counselor is affiliated with a  
19           preferred provider.

20           § 4812. DEFINITIONS

21           As used in this chapter:

1           (1) “Alcohol and drug abuse counselor” means the same as in 26 V.S.A.  
2           chapter 62.

3           (2) “Approved provider” means a substance abuse organization that has  
4           attained a certificate of operation from the Department of Health’s Division of  
5           Alcohol and Drug Abuse Programs, but does not currently have an existing  
6           contract or grant from the Division to provide substance abuse treatment.

7           (3) “Client” means a person who receives treatment services from an  
8           approved provider, preferred provider, or alcohol and drug abuse counselor.

9           (4) “Continuum of care” means an optimal mix of interventions to  
10           address substance abuse and substance use disorders.

11           (5) “Cultural competence” means a set of behaviors, attitudes, and  
12           policies that are culturally and linguistically appropriate to the needs of the  
13           population served.

14           (6) “Detoxification” means the planned withdrawal of an individual  
15           from a state of acute or chronic intoxication as described in evidence-based  
16           placement guidelines.

17           (7) “Incapacitated” means that a person, as a result of his or her use of  
18           alcohol or other drugs, is in a state of intoxication or of mental confusion  
19           resulting from withdrawal such that the person:

20           (A) appears to need medical care or supervision by an approved  
21           provider to ensure his or her safety; or

1           (B) appears to present a direct active or passive threat to the safety  
2 of others.

3           (8) “Intervention” means processes and programs used to identify and  
4 act on early signs of substance abuse before it becomes a lifelong problem,  
5 including prevention screenings and brief, early interventions and referrals.

6           (9) “Intoxicated” means a condition in which the mental or physical  
7 functioning of an individual is substantially impaired as a result of the presence  
8 of alcohol or other drugs in his or her system.

9           (10) “Law enforcement officer” means a law enforcement officer  
10 certified by the Vermont Criminal Justice Training Council as provided in  
11 20 V.S.A. §§ 2355–2358 or appointed by the Commissioner of Public Safety  
12 as provided in 20 V.S.A. § 1911.

13           (11) “Licensed hospital” means a hospital licensed under chapter 43 of  
14 this title.

15           (12) “Person-centered care” means a service delivery mode that gives an  
16 individual a primary decision making role in directing his or her care,  
17 including having control over his or her own plan, budget, and service delivery  
18 decisions.

19           (13) “Person who abuses drugs or alcohol” means anyone who drinks  
20 alcohol or consumes other drugs to an extent or with a frequency that impairs  
21 or endangers his or her health or welfare or the health and welfare of others.

1           (14) “Preferred provider” means any substance abuse organization that  
2           has attained a certificate of operation from the Department of Health’s  
3           Division of Alcohol and Drug Abuse Programs and has an existing contract or  
4           grant from the Division to provide substance abuse treatment.

5           (15) “Prevention” means the promotion of healthy lifestyles that reduce  
6           substance abuse and substance abuse disorder prior to the onset of a disorder.

7           (16) “Protective custody” means a civil status in which an incapacitated  
8           person is detained by a law enforcement officer for the purposes of:

9                   (A) ensuring the safety of the individual or the public, or both; and

10                   (B) assisting the individual to return to a functional condition.

11           (17) “Recovery” means a process of change in which an individual  
12           improves his or her health and wellness, lives in a self-directed manner, and  
13           strives to reach his or her full potential.

14           (18) “Secretary” means the Secretary of Human Services or the  
15           Secretary’s designee.

16           (19) “Substance abuse” means a range of harmful or hazardous  
17           behaviors such as underage use of alcohol, excessive drinking, use of alcohol  
18           during pregnancy, prescription drug misuse, and use of illicit drugs.

19           (20) “Substance abuse disorder” means the recurrent use of alcohol,  
20           drugs, or both that causes a clinically and functionally significant impairment

1 consistent with the definition in the Diagnostic and Statistical Manual  
2 (DSM-5) or its successor.

3 (21) “System of care” means the continuum of substance abuse  
4 prevention, intervention, treatment, and recovery services offered consistently  
5 throughout geographically diverse regions of the State.

6 (22) “Trauma-informed care” means the provision of services that  
7 identify the impact of trauma and pathways for recovery; recognize the signs  
8 and symptoms of trauma; respond by fully-integrating knowledge about trauma  
9 into policies, procedures, and practices; and seek to actively avoid  
10 retraumatization.

11 (23) “Treatment” means the broad range of medical, detoxification,  
12 residential, intensive outpatient, outpatient, aftercare, care coordination, and  
13 follow-up services that are needed by persons with a substance use disorder  
14 and may include a variety of other medical, social, vocational, and educational  
15 services relevant to the rehabilitation of these persons.

16 § 4813. DIVISION OF ALCOHOL AND DRUG ABUSE PROGRAMS

17 (a) The Division of Alcohol and Drug Abuse Programs shall plan, operate,  
18 and evaluate a consistent, effective, and comprehensive continuum of  
19 substance abuse programs. These programs shall coordinate care with  
20 Vermont’s health, mental health, and human services systems. All duties,

1 responsibilities, and authority of the Division shall be carried out and exercised  
2 by and within the Department of Health.

3 (b) Under the direction of the Commissioner of Health, the Deputy  
4 Commissioner of Alcohol and Drug Abuse Programs shall review, approve,  
5 and coordinate all alcohol and drug programs developed or administered by  
6 any State agency or department, except for alcohol and drug education  
7 programs developed by the Agency of Education in conjunction with the  
8 Substance Abuse Advisory Council pursuant to 16 V.S.A. § 909.

9 (c)(1) Any federal or private funds received by the State for purposes of  
10 alcohol and drug programs shall be in the budget of and administered by the  
11 Agency of Human Services. This provision does not apply to the programs of  
12 the Department of Corrections.

13 (2) To the extent possible, funds shall be used in a manner that creates a  
14 comprehensive and coordinated network of services throughout the State.

15 (d)(1) The Division of Alcohol and Drug Abuse Programs shall be the  
16 designated single State agency responsible for the coordination of State-federal  
17 relations pertaining to substance abuse disorders, including direct oversight  
18 and delivery of the scope of programs and services established by the  
19 Secretary.



1           (2) The Division shall be authorized to inspect and monitor these  
2           programs and services to ensure quality of care and compliance with State and  
3           national standards.

4           (e) With regard to alcohol and drug treatment, the Commissioner of Health  
5           may contract with the Secretary of State for the provision of adjudicative  
6           services of one or more administrative law officers and other investigative,  
7           legal, and administrative services related to licensure and discipline of alcohol  
8           and drug abuse counselors.

9           § 4814. AUTHORITY AND ACCOUNTABILITY FOR SUBSTANCE

10           ABUSE SERVICES; RULES FOR ACCEPTANCE INTO  
11           TREATMENT

12           (a) The Secretary shall have the authority and accountability for providing  
13           or arranging for the provision of a comprehensive system of substance abuse  
14           prevention, intervention, treatment, and recovery services.

15           (b) The Secretary shall adopt rules and standards pursuant to 3 V.S.A.  
16           chapter 25 for the implementation of the provisions of this chapter. In  
17           establishing rules regarding admissions to substance abuse treatment programs,  
18           the Secretary shall adhere to the following guidelines:

19           (1) A client shall be initially assessed and assigned to the appropriate  
20           level of care using evidence-based tools.

1           (2) A person shall not be denied treatment solely because he or she has  
2           withdrawn from treatment against medical advice on a prior occasion or  
3           because he or she has relapsed after earlier treatment.

4           (3) An individualized treatment plan shall be prepared and maintained  
5           on a current basis for each client.

6           (4) Provision shall be made for a continuum of coordinated treatment  
7           and recovery services, so that a person who leaves a program or a form of  
8           treatment shall have other appropriate services available.

9           § 4815. SYSTEM OF CARE

10           (a) The Commissioner of Health shall coordinate and supervise a  
11           continuum of geographically diverse substance abuse services throughout the  
12           State that shall include at least the following:

13           (1) prevention programming and services, including initiatives to deter  
14           substance use among youths;

15           (2) early intervention, including Screening, Brief Intervention, Referral  
16           to Treatment (SBIRT) in health care and human services settings;

17           (3) treatment, including medication-assisted treatment, outpatient  
18           services by a licensed alcohol and drug abuse counselor regardless of whether  
19           the counselor is affiliated with a preferred provider, and inpatient and  
20           residential services;

21           (4) peer recovery services and centers;

1           (5) transitional housing;

2           (6) coordination of complex care between health, mental health, and  
3 human services systems; and

4           (7) licensure of alcohol and drug abuse counselors pursuant to  
5 26 V.S.A. § 3235.

6           (b) The Commissioners of Health, of Mental Health, and of Vermont  
7 Health Access, in consultation with the Substance Abuse Advisory Council,  
8 Green Mountain Care Board, preferred providers, and other community  
9 partners, shall develop and implement a plan aimed at creating a cohesive  
10 substance abuse system of care in Vermont. The plan shall foster a unified  
11 provider network in which providers are reimbursed for comprehensive  
12 services that are responsive to patient needs. The plan shall:

13           (1) balance the delivery of episodic and chronic treatment services;

14           (2) ensure the coordination of care and payment;

15           (3) enable treatment based on medical necessity;

16           (4) make case management services available to chronically lapsing  
17 patients to ensure consistency in treatment and recovery over time; and

18           (5) incorporate any payment reform recommendations offered by the  
19 Green Mountain Care Board.

1     § 4816. REPORTING REQUIREMENTS

2             The Department of Health, in consultation with the Departments of Mental  
3     Health and of Vermont Health Access, shall report annually on or before  
4     January 15 to the Senate Committee on Health and Welfare and to the House  
5     Committee on Human Services on the following:

6             (1) adequacy of system capacity, including the utilization and timeliness  
7     of services across the continuum of care;

8             (2) system performance and client outcomes, based on:

9                 (A) national research-based measure sets;

10                (B) clinical best practices;

11                (C) measures established by the Department of Health that reflect the  
12     priorities in its strategic plan;

13                (D) program objectives and performance measures consistent with  
14     those established pursuant to 2014 Acts and Resolves No. 179,

15     § E.306.2(a)(1); and

16                (E) any other measures reported on the Department of Health's  
17     performance dashboard;

18             (3) gaps in services or quality of care; and

19             (4) projection of future needs within the State's substance abuse system  
20     of care.



- 1           (1) the Chair of the Senate Committee on Health and Welfare or
- 2           designee;
- 3           (2) the Chair of the House Committee on Human Services or designee;
- 4           (3) the Secretary of Human Services or designee;
- 5           (4) the Secretary of Education or designee;
- 6           (5) the Deputy Commissioner of the Department of Health’s Division of
- 7           Alcohol and Drug Abuse Programs;
- 8           (6) the Commissioner of Mental Health or designee;
- 9           (7) the Commissioner of Vermont Health Access or designee;
- 10           (8) the Director of the Blueprint or designee;
- 11           (9) a representative of both a preferred provider and of a designated
- 12           agency that does not serve as a preferred provider, one of whom shall provide
- 13           inpatient services, appointed by the Governor;
- 14           (10) two licensed alcohol and drug abuse counselors serving different
- 15           regions of the State, appointed by the Governor;
- 16           (11) a physician in private practice with expertise treating substance
- 17           abuse disorders, appointed by the Governor;
- 18           (12) a representative of the criminal justice community, appointed by the
- 19           Governor;
- 20           (13) an educator involved in substance abuse prevention services,
- 21           appointed by the Governor;

1           (14) a community prevention coalition member, appointed by the  
2           Governor; and

3           (15) a member of the peer community involved in recovery services,  
4           appointed by the Governor.

5           (c) Report. Annually on or before November 15, the Council shall submit a  
6           written report to the House Committee on Human Services and to the Senate  
7           Committee on Health and Welfare with its findings and any recommendations  
8           for legislative action.

9           (d) Meetings.

10           (1) The Secretary of Human Services shall call the first meeting of the  
11           Council to occur on or before August 1, 2015.

12           (2) The Council shall select a chair and vice chair from among its  
13           members at the first meeting.

14           (3) A majority of the membership shall constitute a quorum.

15           (e) Reimbursement.

16           (1) For attendance at meetings during adjournment of the General  
17           Assembly, legislative members of the Council shall be entitled to per diem  
18           compensation and reimbursement of expenses pursuant to 2 V.S.A. § 406 for  
19           no more than four meetings annually.

20           (2) Members of the Council who are not employees of the State of  
21           Vermont and who are not otherwise compensated or reimbursed for their

1 attendance shall be entitled to per diem compensation and reimbursement of  
2 expenses pursuant to 32 V.S.A. § 1010 for no more than four meetings  
3 annually.

4 § 4832. ADMINISTRATIVE SUPPORT

5 The Agency of Human Services shall provide the Council with such  
6 administrative support as is necessary for it to accomplish the purposes of  
7 this chapter.

8 § 4833. POWERS AND DUTIES

9 The Council shall:

10 (1) assess substance abuse services and service delivery in the State,  
11 including the following:

12 (A) the effectiveness of existing substance abuse services in Vermont  
13 and opportunities for improved treatment; and

14 (B) strategies for enhancing the coordination and integration of  
15 substance abuse services across the system of care;

16 (2) provide recommendations to the Department of Health as it develops  
17 a plan for the substance abuse system of care pursuant to subsection 4815(b) of  
18 this title, including regarding the integration of substance abuse services with  
19 health care reform initiatives, such as value-based payment methodologies;



1           (3) provide recommendations to the General Assembly and Agency of  
2           Human Services regarding the improvement of statutes and rules governing the  
3           substance abuse system of care; and

4           (4) provide recommendations to the General Assembly regarding State  
5           policy and programs for individuals experiencing public inebriation.

6                           Subchapter 4. Law Enforcement and Incarceration

7           § 4841. TREATMENT AND SERVICES

8           (a) When a law enforcement officer encounters a person who, in the  
9           judgment of the officer, is intoxicated as defined in section 4812 of this title,  
10           the officer may assist the person, if he or she consents, to his or her home, to  
11           an approved provider, or to some other mutually agreeable location.

12           (b) When a law enforcement officer encounters a person who, in the  
13           judgment of the officer, is incapacitated as defined in section 4812 of this title,  
14           the person shall be taken into protective custody by the officer. The officer  
15           shall transport the incapacitated person directly to an approved provider with  
16           detoxification capabilities, or to the emergency room of a licensed general  
17           hospital for treatment, except that if an alcohol and drug abuse counselor exists  
18           in the vicinity and is available, the person may be released to the counselor at  
19           any location mutually agreeable between the officer and the counselor. The  
20           period of protective custody shall end when the person is released to an alcohol  
21           and drug abuse counselor, a clinical staff person of an approved provider with

1 detoxification capabilities, or a professional medical staff person at a licensed  
2 general hospital emergency room. The person may be released to his or her  
3 own devices if, at any time, the officer judges him or her to be no longer  
4 incapacitated. Protective custody shall in no event exceed 24 hours.

5 (c) If an incapacitated person is taken to an approved provider with  
6 detoxification capabilities and the program is at capacity, the person shall be  
7 taken to the nearest licensed general hospital emergency room for treatment.

8 (d) A person judged by a law enforcement officer to be incapacitated, and  
9 who has not been charged with a crime, may be lodged in protective custody in  
10 a secure facility not operated by the Department of Corrections for up to  
11 24 hours or until judged by the person in charge of the facility to be no longer  
12 incapacitated, if and only if:

13 (1) the person refuses to be transported to an appropriate facility for  
14 treatment or, if once there, refuses treatment or leaves the facility before he or  
15 she is considered by the responsible staff of that facility to be no longer  
16 incapacitated; or

17 (2) no approved provider with detoxification capabilities and no staff  
18 physician or other medical professional at the nearest licensed general hospital  
19 can be found who will accept the person for treatment.

20 (e) A person shall not be lodged in a secure facility under subsection (d) of  
21 this section without first being evaluated and found to be indeed incapacitated

1 by an alcohol and drug abuse counselor, a clinical staff person of an approved  
2 provider with detoxification capabilities, or a professional medical staff person  
3 at a licensed general hospital emergency room.

4 (f) Except for a facility operated by the Department of Corrections, a  
5 lockup facility shall not refuse to admit an incapacitated person in protective  
6 custody whose admission is requested by a law enforcement officer, in  
7 compliance with the conditions of this section.

8 (g) Notwithstanding subsection (d) of this section, a person under 18 years  
9 of age who is judged by a law enforcement officer to be incapacitated and who  
10 has not been charged with a crime shall not be held at a lockup facility or  
11 community correctional center. If needed treatment is not readily available,  
12 the person shall be released to his or her parent or guardian. If the person has  
13 no parent or guardian in the area, arrangements shall be made to house him or  
14 her according to the provisions of 33 V.S.A. chapter 53. The official in charge  
15 of an adult jail or lockup facility shall notify the Deputy Commissioner of  
16 Alcohol and Drug Abuse Programs of any person under 18 years of age  
17 brought to an adult jail or lockup facility pursuant to this chapter.

18 (h) If an incapacitated person in protective custody is lodged in a secure  
19 facility, his or her family or next of kin shall be notified as promptly as  
20 possible. If the person is an adult and requests that there be no notification, his  
21 or her request shall be respected.

1        (i) A taking into protective custody under this section is not an arrest.

2        (j) Law enforcement officers, persons responsible for supervision in a  
3        secure facility, and alcohol and drug abuse counselors who act under the  
4        authority of this section are acting in the course of their official duty and are  
5        not criminally or civilly liable therefor, unless for gross negligence or willful  
6        or wanton injury.

7        § 4842. INCARCERATION FOR INEBRIATION PROHIBITED

8        A person who has not been charged with a crime shall not be incarcerated in  
9        a facility operated by the Department of Corrections on account of the person's  
10       inebriation.

11       Sec. 4. **RULEMAKING; SYSTEM OF CARE PLAN**

12       (a) On or before January 15, 2016, the Commissioners of Health, of Mental  
13       Health, and of Vermont Health Access shall present the plan developed  
14       pursuant to 18 V.S.A. § 4816(b) to the Senate Committee on Health and  
15       Welfare and to the House Committee on Human Services. The Commissioners  
16       shall update the Committees on their respective Departments' strategies for  
17       implementing the plan.

18       (b) No sooner than July 1, 2016, the Commissioner of Health shall adopt  
19       into rule the plan developed pursuant to 18 V.S.A. § 4816(b). The rule shall  
20       address the movement of people throughout the substance abuse system of care  
21       based on medical necessity. The rule shall also develop a list of outcome

1 measures that must be present in contracts between the Departments of Health,  
2 Mental Health, or Vermont Health Access and preferred providers for all  
3 substance abuse related services.

4 Sec. 5. REPORT; SUBSTANCE ABUSE PREVENTION IN SCHOOLS

5 On or before January 15, 2016, the Secretary of Education shall report to  
6 the Senate Committee on Health and Welfare and to the House Committee on  
7 Human Services regarding:

8 (1) the status of the comprehensive health education program as it  
9 pertains to substance abuse;

10 (2) all other Agency initiatives aimed at preventing or treating substance  
11 abuse among students; and

12 (3) the most effective evidence-based practices pertaining to substance  
13 abuse in schools.

14 Sec. 6. REPORT; SERVICES FOR MENTAL HEALTH, SUBSTANCE  
15 ABUSE, AND CO-OCCURRING DISORDERS

16 On or before January 15, 2016, the Department of Mental Health and the  
17 Department of Health's Division of Alcohol and Drug Abuse Programs, in  
18 consultation with stakeholders, shall survey and report on those services  
19 provided to individuals with a mental health, substance abuse, or co-occurring  
20 disorder by designated agencies, preferred providers, and the Blueprint for  
21 Health's community health teams. The report shall:

1           (1) catalogue services for individuals with mental health, substance  
2           abuse, and co-occurring disorders to identify where, if any, gaps in services or  
3           overlapping services exist;

4           (2) propose any structural changes necessary to foster a collaborative  
5           relationship between the designated agencies, preferred providers, and  
6           community health teams; and

7           (3) survey the relative pay scales of providers employed by the  
8           designated agencies, preferred providers, and community health teams by  
9           provider type and county.

10       Sec. 7. REPEAL

11           (a) 18 V.S.A. §§ 4801–4807 (Division of Alcohol and Drug Abuse  
12           Programs) are repealed on July 1, 2015.

13           (b) 18 V.S.A. § 4808 (treatment and services) and 18 V.S.A. § 4809  
14           (incarceration for inebriation prohibited) are repealed on July 1, 2017.

15           (c) The annual reporting requirement on program objectives and  
16           performance measures established pursuant to 2014 Acts and Resolves No.  
17           179, Sec. E.306.2(a)(2) is repealed on passage of this act.

18       Sec. 8. EFFECTIVE DATES

19           This act shall take effect on July 1, 2015, except 18 V.S.A. §§ 4841  
20           (treatment and services) and 4842 (incarceration for inebriation prohibited)  
21           shall take effect on July 1, 2017.

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(Committee vote: \_\_\_\_\_)

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Senator \_\_\_\_\_

FOR THE COMMITTEE