# Journal of the House

# Tuesday, March 22, 2016

At ten o'clock in the forenoon the Speaker called the House to order.

### **Devotional Exercises**

Devotional exercises were conducted by Rabbi Jan Salzman, Ohavi Zedek Synagogue, Burlington, Vt.

# Pledge of Allegiance

**Page Abigail Grimm of Burlington** led the House in the Pledge of Allegiance.

# Message from the Senate No. 30

A message was received from the Senate by Mr. Marshall, its Assistant Secretary, as follows:

# Mr. Speaker:

I am directed to inform the House that:

The Senate has on its part passed Senate bills of the following titles:

- **S. 91.** An act relating to procedures of the Judicial Nominating Board and qualifications of candidates for the positions of Justice, judge, magistrate, and Chair and member of the Public Service Board.
  - **S. 132.** An act relating to the prohibition of conversion therapy on minors.
- **S. 176.** An act relating to disclosure of compliance with accessibility standards in the sale of residential construction.
  - **S. 215.** An act relating to the regulation of vision insurance plans.
  - **S. 216.** An act relating to prescription drug formularies.
- **S. 224.** An act relating to warranty obligations of equipment dealers and suppliers.

In the passage of which the concurrence of the House is requested.

The Senate has on its part adopted concurrent resolutions originating in the House of the following titles:

**H.C.R. 279.** House concurrent resolution congratulating Peggy Fischer of St. Johnsbury on reaching the final four in the Food Network's 2016 Kids Baking Championship.

- **H.C.R. 280.** House concurrent resolution designating March 9, 2016 as Turkish Cultural Day in Vermont.
- **H.C.R. 281.** House concurrent resolution recognizing March as Meals on Wheels Month in Vermont.
- **H.C.R. 282.** House concurrent resolution congratulating Abby McKearin on being named the 2015-2016 Vermont girls' soccer Gatorade Player of the Year.
- **H.C.R. 283.** House concurrent resolution in memory of former Lyndon Town Moderator Norman R. Messier.
- **H.C.R. 284.** House concurrent resolution designating May as Cystic Fibrosis Awareness Month in Vermont.
- **H.C.R. 285.** House concurrent resolution in memory of Vermont journalist Rod Clarke .
- **H.C.R. 286.** House concurrent resolution congratulating the 2016 Rutland High School Raiders Division I championship cheerleading team.

### **House Bills Introduced**

## H. 874

By Reps. Potter of Clarendon, Burditt of West Rutland, Canfield of Fair Haven, Connor of Fairfield, Cupoli of Rutland City, Devereux of Mount Holly, Eastman of Orwell, Fagan of Rutland City, Gage of Rutland City, Helm of Fair Haven, Johnson of South Hero, Russell of Rutland City and Shaw of Pittsford,

House bill, entitled

An act relating to amending the settlement patterns criterion under Act 250 (10 V.S.A. chapter 151);

To the committee on Natural Resources & Energy.

### **Committee Bill Introduced**

#### H. 875

**Rep. Johnson of South Hero**, for the committee on Appropriations, introduced a bill, entitled

An act relating to making appropriations for the support of government

Which was read the first time and, under the rule, placed on the Calendar for notice tomorrow.

# **Senate Bills Referred**

Senate bills of the following titles were severally taken up, read the first time and referred as follows:

S. 91

Senate bill, entitled

An act relating to procedures of the Judicial Nominating Board and qualifications of candidates for the positions of Justice, judge, magistrate, and Chair and member of the Public Service Board;

To the committee on Judiciary.

S. 132

Senate bill, entitled

An act relating to the prohibition of conversion therapy on minors;

To the committee on Human Services.

S. 176

Senate bill, entitled

An act relating to disclosure of compliance with accessibility standards in the sale of residential construction;

To the committee on General, Housing & Military Affairs.

S. 215

Senate bill, entitled

An act relating to the regulation of vision insurance plans;

To the committee on Health Care.

S. 216

Senate bill, entitled

An act relating to prescription drug formularies;

To the committee on Health Care.

S. 224

Senate bill, entitled

An act relating to warranty obligations of equipment dealers and suppliers;

To the committee on Commerce & Economic Development.

# Message from the Senate No. 31

A message was received from the Senate by Mr. Marshall, its Assistant Secretary, as follows:

Mr. Speaker:

I am directed to inform the House that:

The Senate has on its part passed Senate bills of the following titles:

- **S. 174.** An act relating to a model State policy for use of body cameras by law enforcement officers.
  - **S. 257.** An act relating to residential rental agreements.

In the passage of which the concurrence of the House is requested.

The Senate has on its part adopted joint resolution of the following title:

**J.R.S. 48.** Joint resolution relating to weekend adjournment.

In the adoption of which the concurrence of the House is requested.

# **Action on Bill Postponed**

H. 867

House bill, entitled

An act relating to classification of employees and independent contractors

Was taken up and pending second reading, on motion of **Rep. Botzow of Pownal**, action on the bill was postponed until Thursday, March 31, 2016.

# Third Reading; Bills Passed

House bills of the following titles were severally taken up, read the third time and passed:

H. 130

House bill, entitled

An act relating to the Agency of Public Safety;

H. 743

House bill, entitled

An act relating to fair and impartial policing;

# **Action on Bill Postponed**

### H. 206

House bill, entitled

An act relating to regulating notaries public

Was taken up and pending second reading, on motion of **Rep. Cole of Burlington**, action on the bill was postponed until Thursday, March 24, 2016.

# **Bill Amended; Third Reading Ordered**

### H. 552

**Rep. Deen of Westminster**, for the committee on Fish, Wildlife & Water Resources, to which had been referred House bill, entitled

An act relating to threatened and endangered species

Reported in favor of its passage when amended by striking all after the enacting clause and inserting in lieu thereof the following:

Sec. 1. 10 V.S.A. § 5401 is amended to read:

# § 5401. DEFINITIONS

As used in this chapter:

- (1) "Agency" means the Agency of Natural Resources.
- (2) "Secretary" means the Secretary of Natural Resources.
- (3) "Species" includes all subspecies of means wildlife or wild plants and any subspecies or other group of wildlife or wild plants of the same species, the members of which may interbreed when mature.
- (4) "Wildlife" means any member of a nondomesticated species of the animal kingdom, whether reared in captivity or not, including, without limitation, any mammal, fish, bird, amphibian, reptile, mollusk, crustacean, arthropod or other invertebrate, and also including any part, product, egg, offspring, dead body, or part of the dead body of any such wildlife.
- (5) "Plant" means any member of the plant kingdom, including seeds, roots, and other parts thereof. As used in this chapter, plants shall include fungi.
- (6) "Endangered species" means a species listed on the state endangered species list as endangered under this chapter or determined to be an "endangered species" under the federal Endangered Species Act.

- (7) "Threatened species" means a species listed on the State as a threatened species list under this chapter or determined to be a "threatened species" under the federal Endangered Species Act.
- (8) "Endangered Species Act" and "federal Endangered Species Act" means the Endangered Species Act of 1973, Public Law 93-205, as amended.
- (9) "Habitat" means the physical and biological environment in which a particular species of plant or animal lives.
- (10) "Conserve," "conserving," and "conservation" mean to use and the use of all methods and procedures both for maintaining or increasing:
  - (A) the number of individuals within a population of a species;
  - (B) the number of populations of a species; and
- (C) populations of wildlife or wild plants to the optimum carrying capacity of the habitat, and for maintaining those numbers.
- (11) "Optimum carrying capacity" for a species means a population level of that species which, in that habitat, can indefinitely sustainably coexist with healthy populations of all wildlife and wild plant species normally present.
- (12) "Methods" and "procedures" means all activities associated with scientific natural resources management, including, without limitation, scientific research, census, law enforcement, habitat acquisition and maintenance, propagation, live trapping, and transplanting. The terms also include the periodic or continuous protection of species or populations, where appropriate, and the regulated taking of individuals of the species or population in extraordinary cases where population pressures within a habitat cannot be otherwise relieved.
- (13) "Possession" of a member of a species means the state of possessing means holding, controlling, exporting, importing, processing, selling, offering to sell, delivering, carrying, transporting, or shipping by any means a member of that a species.

# (14) "Taking," "Take" or "taking":

- (A) with With respect to wildlife means "taking" as defined in section 4001 of this title, and designated a threatened or endangered species, means:
- (i) pursuing, shooting, hunting, killing, capturing, trapping, harming, snaring, and netting wildlife;

- (ii) an act that creates a risk of injury to wildlife, whether or not the injury occurs, including harassing, wounding, or placing, setting, drawing, or using any net or other device used to take animals; or
- (iii) attempting to engage in or assisting another to engage in an act set forth under subdivision (A)(i) or (ii) of this subdivision (14).
- (B) with With respect to wild plants designated a threatened or endangered species, means uprooting, transplanting, gathering seeds or fruit, cutting, injuring, harming, or killing or any attempt to do the same or assisting another who is doing or is attempting to do the same.
- (15) "Accepted silivicultural practices" means the accepted silvicultural practices defined by the Commissioner of Forests, Parks and Recreation, including the Acceptable Management Practices for Maintaining Water Quality on Logging Jobs in Vermont adopted by the Commissioner of Forests, Parks and Recreation.
- (16) "Critical habitat" for a threatened species or endangered species means:
- (A) a delineated location within the geographical area occupied by the species that:
- (i) has the physical or biological features that are identifiable, concentrated, and decisive to the survival of a population of the species; and
- (ii) is necessary for the conservation or recovery of the species; and
- (iii) may require special management considerations or protection; or
- (B) a delineated location outside the geographical area occupied by a species at the time it is listed under section 5402 of this title that:
  - (i)(I) was historically occupied by a species; or
- (II) contains habitat that is hydrologically connected or directly adjacent to occupied habitat; and
- (ii) contains habitat that is identifiable, concentrated, and decisive to the continued survival of a population of the species; and
  - (iii) is necessary for the conservation or recovery of the species.
- (17) "Destroy or adversely impact" means, with respect to critical habitat, a direct or indirect activity that negatively affects the value of critical

habitat for the survival, conservation, or recovery of a listed threatened or endangered species.

- (18) "Farming" shall have the same meaning as used in subdivision 6001(22) of this title.
- (19) "Forestry operations" means activities related to the management of forests, including a timber harvest; pruning; planting; reforestation; pest, disease, and invasive species control; wildlife habitat management; and fertilization. "Forestry operation" includes the primary processing of forest products of commercial value on a parcel where the timber harvest occurs.
- (20) "Harming," as used in the definition of "take" or "taking" under subdivision (14) of this subsection, means:
  - (A) an act that kills or injures a threatened or endangered species; or
- (B) the destruction or imperilment of habitat that kills or injures a threatened or endangered species by significantly impairing continued survival or essential behavioral patterns, including reproduction, feeding, and sheltering.
- Sec. 2. 10 V.S.A. § 5402 is amended to read:

### § 5402. ENDANGERED AND THREATENED SPECIES LISTS

- (a) The Secretary shall adopt by rule a <u>State endangered</u> <u>State endangered</u> species list and a <u>State threatened</u> <u>State threatened</u> species list. The listing for any species may apply to the whole State or to any part of the State and shall identify the species by its most recently accepted genus and species names and, if available, the common name.
- (b) The Secretary shall determine a species to be endangered if it normally occurs in the State and its continued existence as wildlife or a wild plant in the State a sustainable component of the State's wildlife or wild plants is in jeopardy.
  - (c) The Secretary shall determine a species to be threatened if:
    - (1) it is a sustainable component of the State's wildlife or wild plants;
- (2) it is reasonable to conclude based on available information that its numbers are significantly declining because of loss of habitat or human disturbance; and
  - (3) unless protected, it will become an endangered species.
- (d) In determining whether a species is endangered or threatened or endangered, the Secretary shall consider:

- (1) the present or threatened destruction, <u>degradation</u>, <u>fragmentation</u>, modification, or curtailment of the range or habitat of the species;
- (2) <u>any killing, harming, or</u> over-utilization of the species for commercial, sporting, scientific, educational, or other purposes;
  - (3) disease or predation affecting the species;
  - (4) the adequacy of existing regulation;
- (5) actions relating to the species carried out or about to be carried out by any governmental agency or any other person who may affect the species; and
  - (6) <u>competition with other species</u>, <u>including nonnative invasive species</u>;
  - (7) the decline in the population;
  - (8) cumulative impacts; and
- (9) other natural or man-made human-made factors affecting the continued existence of the species.
- (e) In determining whether a species is endangered or threatened or endangered or whether to delist a species, the Secretary shall:
  - (1) use the best scientific, commercial, and other data available;
- (2) <u>notify and</u> consult with <u>interested state or appropriate officials in Canada, appropriate State and federal agencies, other states having a common interest in the species, <u>affected landowners</u>, and any interested persons <u>at least</u> 30 days prior to commencement of rulemaking; and</u>
- (3) notify the governor appropriate officials and agencies of Quebec or any state contiguous to Vermont in which the species affected is known to occur.

# Sec. 3. 10 V.S.A. § 5402a is added to read:

# § 5402a. CRITICAL HABITAT; LISTING

(a) The Secretary may, after the consultation required under subsection 5408(e) of this section, adopt or amend by rule a critical habitat designation list for threatened or endangered species. Critical habitat may be designated in any part of the State. The Secretary shall not be required to designate critical habitat for every State-listed threatened or endangered species. When the Secretary designates critical habitat, the Secretary shall identify the species for which the designation is made, including its most recently accepted genus and species names, and, if available, its common name.

- (b) The Secretary shall designate only critical habitat that meets the definition of "critical habitat" under this chapter. In determining whether and where to designate critical habitat for a State-listed threatened or endangered species, the Secretary shall, after consultation with and consideration of recommendations of the Secretary of Agriculture, Food and Markets, the Secretary of Transportation, and the Commissioner of Forests, Parks and Recreation, consider the following:
  - (1) the current or historic use of the habitat by the listed species;
- (2) the extent to which the habitat is decisive to the survival and recovery of the listed species, at any stage of its life cycle;
- (3) the space necessary for individual and population growth of the listed species;
- (4) food, water, air, light, minerals, or other nutritional or physiological requirements of the listed species;
  - (5) cover or shelter for the listed species;
- (6) sites for breeding, reproduction, rearing of offspring, germination, or seed dispersal; migration corridors; and overwintering;
- (7) the present or threatened destruction, degradation, fragmentation, modification, or curtailment of the range or habitat of the listed species;
  - (8) the adequacy of existing regulation;
- (9) actions relating to the listed species carried out or about to be carried out by any governmental agency or any other person who may affect the listed species;
  - (10) cumulative impacts; and
- (11) natural or human-made factors affecting the continued existence of the listed species.
- (c) In determining whether to designated critical habitat for a State-listed threatened or endangered species, the Secretary shall:
  - (1) use the best scientific, commercial, and other data available;
- (2) notify and consult with appropriate officials in Canada, appropriate State and federal agencies, other states having a common interest in the species, affected landowners, and any interested persons at least 30 days prior to commencement of rulemaking; and
- (3) notify the appropriate officials and agencies of Quebec or any state contiguous to Vermont in which the species affected is known to occur.

- (d) Prior to initiating rulemaking under this section to designate critical habitat, the Secretary shall notify the owner of record of any land on which critical habitat is proposed for designation.
- Sec. 4. 10 V.S.A. § 5403 is amended to read:
- § 5403. PROTECTION OF ENDANGERED AND THREATENED SPECIES
  - (a) Except as authorized under this chapter, a person shall not:
- (1) take, possess, or transport wildlife or wild plants that are members of an endangered or a threatened or endangered species; or
  - (2) destroy or adversely impact critical habitat.
- (b) Any person who takes a threatened or endangered species shall report the taking to the Secretary.
- (c) The Secretary may, with advice of the Endangered Species Committee and after the consultation required under subsection 5408(e) of this section, adopt rules for the protection and, conservation, or recovery of endangered and threatened species. The rules may establish:
- (1) application requirements for an individual permit or general permits issued under this section, including requirements that differ from the requirements of subsection 5408(h) of this title; and
  - (2) best management practices for general permits.
- (c)(d) The Secretary may bring a civil an environmental enforcement action against any person who violates subsection (a) or (b) of this section or rules adopted under this chapter in accordance with chapters 201 and 211 of this title.
- (d)(e) Instead of bringing a civil an environmental enforcement action for a violation of this chapter or rules adopted under this chapter, the Secretary may refer violations of this chapter to the Commissioner of Fish and Wildlife for criminal enforcement.
- (e)(f) A In a criminal enforcement action, a person who knowingly violates a requirement of this chapter or a rule of the Secretary adopted under subsection (b)(c) of this section related to taking, possessing, transporting, buying, or selling a threatened or endangered species shall be fined not more than \$500.00 in accordance with section 4518 of this title, and the person shall pay restitution under section 4514 of this title.
- (f)(g) Any person who violates subsection (a) or (b) of this section by knowingly injuring a member of a threatened or endangered species or knowingly destroying or adversely impacting critical habitat and who is

<u>subject to criminal prosecution</u> may be required by the court to pay restitution for:

- (1) actual costs and related expenses incurred in treating and caring for the injured plant or animal to the person incurring these expenses, including the costs of veterinarian services and Agency of Natural Resources staff time; or
- (2) reasonable mitigation and restoration costs such as: species restoration plans; habitat protection; and enhancement, transplanting, cultivation, and propagation for plants.
- Sec. 5. 10 V.S.A. § 5404 is amended to read:

# § 5404. ENDANGERED SPECIES COMMITTEE

- (a) A Committee committee on endangered species is created to be known as the "Endangered Species Committee," and shall consist of nine members, including the Secretary of Agriculture, Food and Markets, the Commissioner of Fish and Wildlife, the Commissioner of Forests, Parks and Recreation, and six members appointed by the Governor from the public at large. Of the six public members, two shall be actively engaged in agricultural or silvicultural activities, two shall be knowledgeable concerning flora, and two shall be knowledgeable concerning fauna. Members appointed by the Governor shall be entitled to reimbursement for expenses incurred in the attendance of meetings, as approved by the Chair. The Chair of the Committee shall be elected from among and by the members each year. Members who are not employees of the State shall serve terms of three years, except that the Governor may make appointments for a lesser term in order to prevent more than two terms from expiring in any year.
- (b) The Endangered Species Committee shall advise the Secretary on all matters relating to endangered and threatened species, including whether to alter the lists of endangered and threatened species and, how to protect those species, and whether and where to designate critical habitat.
- (c) The Agency of Natural Resources shall provide the Endangered Species Committee with necessary staff services.
- Sec. 6. 10 V.S.A. § 5405 is amended to read:

## § 5405. CONSERVATION PROGRAMS

The Secretary, with the advice of the Endangered Species Committee, may establish conservation programs and establish recovery plans for the conservation or recovery of threatened or endangered species of wildlife or plants or for the conservation or recovery of critical habitat. The programs

may include the purchase of land or aquatic habitat and the formation of contracts for the purpose of management of wildlife or wild plant refuge areas or for other purposes.

Sec. 7. 10 V.S.A. § 5406 is amended to read:

## § 5406. COOPERATION BY OTHER AGENCIES

All agencies of this State shall review programs administered by them which may relate to this chapter and shall, in consultation with the Secretary, utilize their authorities only in a manner which does not jeopardize the threatened or endangered species, critical habitat, or the outcomes of conservation or recovery programs established by this chapter or by the Secretary under its his or her authority.

Sec. 8. 10 V.S.A. § 5407 is amended to read:

# § 5407. ENFORCEMENT AUTHORITY TO SEIZE THREATENED OR ENDANGERED SPECIES

In addition to other methods of enforcement authorized by law, the Secretary may direct under this section that wildlife or wild plants which that were seized because of violation of this chapter be rehabilitated, released, replanted, or transferred to a zoological, botanical, educational or scientific institution, and that the costs of the transfer and staff time related to a violation may be charged to the violator. The Secretary, with the advice of the Endangered Species Committee, may adopt rules for the implementation of this section.

Sec. 9. 10 V.S.A. § 5408 is amended to read:

# § 5408. LIMITATIONS AUTHORIZED TAKINGS; INCIDENTAL TAKINGS; DESTRUCTION OF CRITICAL HABITAT

- (a) <u>Authorized taking</u>. Notwithstanding any provision of this chapter, after obtaining the advice of the Endangered Species Committee, the Secretary may permit, under such terms and conditions as the Secretary may, prescribe by rule, require as necessary to carry out the purposes of this chapter, the taking of a threatened or endangered species, the destruction or adverse impact of critical habitat, or any act otherwise prohibited by this chapter if done for any of the following purposes:
  - (1) scientific purposes;
- (2) to enhance the propagation or survival of a <u>threatened or endangered</u> species; <del>economic hardship;</del>
  - (3) zoological exhibition;

- (4) educational purposes;
- (5) noncommercial cultural or ceremonial purposes; or
- (6) special purposes consistent with the purposes of the federal Endangered Species Act.
- (b) <u>Incidental taking</u>. After obtaining the advice of the Endangered Species Committee, the Secretary may permit, under such terms and conditions as the Secretary require as necessary to carry out the purposes of this chapter, the incidental taking of a threatened or endangered species or the destruction or adverse impact of critical habitat if:
  - (1) the taking is necessary to conduct an otherwise lawful activity;
- (2) the taking is attendant or secondary to, and not the purposes of, the lawful activity;
  - (3) the impact of the permitted incidental take is minimized; and
- (4) the incidental taking will not impair the conservation or recovery of any endangered species or threatened species.
- (c) Transport through State. Nothing in this chapter shall prevent a person who holds a proper permit from the federal government or any other state from transporting a member of an endangered or a threatened or endangered species from a point outside this State to another point within or without this through the State.
- (e)(d) Possession. Nothing in this chapter shall prevent a person from possessing in this State wildlife or wild plants which are not determined to be "endangered" or "threatened" under the federal Endangered Species Act where the possessor is able to produce substantial evidence that the wildlife or wild plant was first taken or obtained in a place without violating the law of that place, provided that an importation permit may be required under section 4714 of this title or the rules of the Department of Fish and Wildlife.
- (d)(e) Interference with agricultural or silvicultural practices. No rule adopted under this chapter shall cause undue interference with normal agricultural or farming, forestry operations, or accepted silvicultural practices. This section shall not be construed to exempt any person from the provisions of the federal Endangered Species Act requirements of this chapter. The Secretary shall not adopt rules that affect farming, forestry operations, or accepted silvicultural practices without first consulting the Secretary of Agriculture, Food and Markets and the Commissioner of Forests, Parks and Recreation.

- (f) Consistency with State law. Nothing in this chapter shall be interpreted to limit or amend the definitions and applications of necessary habitat in chapter 151 of this title or in 30 V.S.A. chapter 5.
- (e)(g) Effect on federal law. Nothing in this section permits a person to violate any provision of federal law concerning federally protected threatened or endangered species.
- (h) Permit application. An applicant for a permit under this section shall submit an application to the Secretary that includes the following information:
- (1) a description of the activities that could lead to a taking of a listed threatened or endangered species or the destruction or adverse impact of critical habitat;
- (2) the steps that the applicant has or will take to avoid, minimize, and mitigate the impact to the relevant threatened or endangered species or critical habitat;
- (3) a plan for ensuring that funding is available to conduct any required monitoring and mitigation, if applicable;
- (4) a summary of the alternative actions to the taking or destruction of critical habitat that the applicant considered and the reasons that these alternatives were not selected, if applicable;
- (5) the name or names and obligations and responsibilities of the person or persons that will be involved in the proposed taking or destruction of critical habitat: and
  - (6) any additional information that the Secretary may require.

## (f)(i) Permit fees.

- (1) Fees to be charged to a person applying to take a threatened or endangered species under this section shall be:
- (A) To to take for scientific purposes, to enhance the propagation or survival of the species, <u>noncommercial cultural or ceremonial purposes</u>, or for educational purposes or special purposes consistent with the federal Endangered Species Act, \$50.00-;
- (B) To to take for a zoological or botanical exhibition or to lessen an economic hardship, \$250.00 for each listed animal or plant wildlife or wild plant taken up to a maximum of \$25,000.00 or, if the Secretary determines that it is in the best interest of the species, the parties may agree to mitigation in lieu of a monetary fee; and

- (C) for an incidental taking, \$250.00 for each listed wildlife or wild plant taken up to a maximum of \$25,000.00.
- (2) The Secretary may require the implementation of mitigation strategies, and may collect mitigation funds, in addition to the permit fees, in order to mitigate the impacts of a taking or the destruction or adverse impact on critical habitat. Mitigation may include:
- (A) a requirement to rectify the taking or adverse impact or to reduce the adverse impact over time;
- (B) a requirement to manage or restore land within the area of the proposed activity or in an area outside the proposed area as habitat for the threatened or endangered species; or
- (C) compensation, including payment of a fee into the Threatened and Endangered Species Fund for the uses of that Fund, provided that any payment is commensurate to the taking or adverse impact proposed.
- (3) Fees of and mitigation payments collected under this subsection and interest on fees and mitigation payments shall be deposited in the Threatened and Endangered Species Fund within the Fish and Wildlife Fund, which Fund is hereby created and shall be used solely for expenditures of the Department of Fish and Wildlife related to threatened and endangered species. Expenditures may be made for monitoring, restoration, conservation, recovery, and the acquisition of property interests and other purposes consistent with this chapter. Where practical, the fees collected for takings shall be devoted to the conservation or recovery of the taken species or its habitat. Interest accrued on the Fund shall be credited to the Fund.
- (g)(j) Permit term. A permit issued under this section shall be valid for the period of time specified in the permit, not to exceed five years. A permit issued under this section may be renewed upon application to the Secretary.
- (k) Public notice. Prior to issuing a permit for an authorized or incidental taking and prior to the issuance or amendment of a general permit under this section, the Secretary shall provide for: public notice of no fewer than 30 days; opportunity for written comment; and opportunity to request a public informational hearing. The Secretary shall post permit applications, permit decisions, and the initial or amended general permits on the website of the Agency of Natural Resources. The Secretary also shall provide notice to interested persons who request notice of permit applications, permit decisions, and proposed general permits or proposed amendments to general permits.
  - (1) General permits.

- (1) The Secretary may issue general permits for activities that will not affect the continued survival or recovery of a threatened or endangered species.
- (2) A general permit issued under this chapter shall contain those terms and conditions necessary to ensure compliance with the provisions of this statute.
- (3) These terms and conditions may include the implementation of best management practices and the adoption of specific mitigation measures and required surveying, monitoring, and reporting.
- (4) The Secretary may issue a general permit to take a threatened or endangered species or destroy or adversely impact critical habitat only if an activity or class of activities satisfies one or more of the following criteria:
- (A) the taking of a threatened or endangered species or the destruction or adverse impact of critical habitat is necessary to address an imminent risk to human health;
- (B) a proposed taking of a threatened or endangered species or the destruction or adverse impact of critical habitat would enhance the overall long-term survival of the species; or
- (C) the Secretary has adopted best management practices that are designed, when applied, to minimize to the greatest extent possible the taking of a threatened or endangered species or the destruction or adverse impact of critical habitat.
- (5) On or before September 1, 2017, the Secretary shall issue a general permit for vegetation management and operational and maintenance activities conducted by a utility. Until the general permit has been issued, no critical habitat designation for wild plants shall be made in utility right of way. As used in this subdivision (5), "utility" means an electric company, telecommunication company, pipeline operator, or railroad company.
- (6) Prior to issuing a general permit under this subsection, the Secretary shall:
  - (A) post a draft of the general permit on the Agency website;
  - (B) provide public notice of at least 30 days; and
  - (C) provide for written comments or a public hearing, or both.
- (7) For applications for coverage under the terms of an issued general permit, the applicant shall provide notice on a form provided by the Secretary. The Secretary shall post notice of the application on the Agency website and shall provide an opportunity for written comment, regarding whether the

application complies with the terms and conditions of the general permit, for ten days following receipt of the application.

- (8) The Secretary may require any applicant for coverage under a general permit to submit additional information that the Secretary considers necessary and may refuse to approve coverage under the terms of a general permit until the information is furnished and evaluated.
- (9) The Secretary may require any applicant for coverage under a general permit to seek an individual permit under this section if the applicant does not qualify for coverage.
- (10) The Secretary may require a person operating under a general permit issued under this section to obtain an individual permit under this section if the person proposes to destroy or adversely impact critical habitat that was designated under section 5402a of this title after issuance of the general permit.
- Sec. 10. 10 V.S.A. § 5410 is amended to read:

# § 5410. LOCATION CONFIDENTIAL

- (a) All information The Secretary shall not disclose information regarding the <u>specific</u> location of <u>threatened or</u> endangered species sites shall be <u>kept</u> confidential in perpetuity except that the Secretary shall disclose this information <u>regarding</u> the location of the threatened or endangered species to:
  - (1) the owner of land upon which the species has been is located, or to;
- (2) a potential buyer of land upon which the species is located who has a bona fide contract to buy the land and applies to the Secretary for disclosure of threatened or endangered species information, and to; or
- (3) qualified individuals or organizations, public agencies and nonprofit organizations for scientific research or for preservation and planning purposes when the Secretary determines that the preservation of the species is not further endangered by the disclosure.
- (b) When the Secretary issues a permit under this chapter to take a threatened or endangered species or destroy or adversely impact critical habitat and when the Secretary designates critical habitat by rule under section 5402a of this title, the Secretary shall disclose only the municipality and general location where the threatened or endangered species or designated critical habitat is located. When the Secretary designates critical habitat under section 5402a of this title, the Secretary shall notify the municipality in which the critical habitat is located and shall disclose the general location of the designated critical habitat.

### Sec. 11. STATUTORY REVISION

The Office of Legislative Council, in its statutory revision capacity, is directed to renumber the subdivisions of 10 V.S.A. § 5401 in numerical order and to correct any cross references in statute to 10 V.S.A. § 5410 to reflect the renumbered subdivisions.

# Sec. 12. FEE RECOMMENDATION; PERMIT TO DESTROY OR ADVERSELY IMPACT CRITICAL HABITAT

The consolidated Executive Branch fee report and request to be submitted on or before the third Tuesday of January 2018 pursuant to 32 V.S.A. § 605 shall include a recommendation from the Agency of Natural Resources of a fee for a permit under 10 V.S.A. § 5408 to destroy or adversely impact critical habitat of a State-listed threatened or endangered species. The recommendation shall include whether the owner of property where critical habitat is designated under 10 V.S.A. § 5402a should be required to pay a fee for a permit to destroy or adversely impact critical habitat on his or her property.

### Sec. 13. EFFECTIVE DATE

This act shall take effect on July 1, 2016.

**Rep. Masland of Thetford**, for the committee on Ways and Means, recommended that the bill ought to pass when amended as recommended by the committee on Fish, Wildlife and Water Resources and when further amended as follows:

In Sec. 9, 10 V.S.A. § 5408, in subdivision (i)(2)(C), after "<u>including payment</u>" and before "<u>into the Threatened and Endangered Species Fund</u>" by striking out "<u>of a fee</u>"

The bill, having appeared on the Calendar one day for notice, was taken up, read the second time, report of the committees on Fish, Wildlife & Water Resources and Ways and Means agreed to.

Pending the question, Shall the bill be read a third time? **Rep. Sheldon of Middlebury** demanded the Yeas and Nays, which demand was sustained by the Constitutional number. The Clerk proceeded to call the roll and the question, Shall the bill be read a third time? was decided in the affirmative. Yeas, 111. Nays, 26.

Those who voted in the affirmative are:

Ancel of Calais

Baser of Bristol

Bissonnette of Winooski

Bancroft of Westford

Beck of St. Johnsbury

Botzow of Pownal

Bartholomew of Hartland

Beyor of Highgate

Branagan of Georgia

Briglin of Thetford Browning of Arlington Burke of Brattleboro Buxton of Tunbridge Canfield of Fair Haven Carr of Brandon Chesnut-Tangerman of Middletown Springs Cole of Burlington Condon of Colchester Connor of Fairfield Conquest of Newbury Copeland-Hanzas of Bradford Corcoran of Bennington Cupoli of Rutland City Dakin of Chester Dakin of Colchester Davis of Washington Deen of Westminster Dickinson of St. Albans Town Donahue of Northfield Donovan of Burlington Eastman of Orwell **Emmons of Springfield** Evans of Essex Feltus of Lyndon Fields of Bennington Forguites of Springfield Frank of Underhill French of Randolph Grad of Moretown Greshin of Warren Haas of Rochester Head of South Burlington Hooper of Montpelier Huntley of Cavendish Jerman of Essex Jewett of Ripton Johnson of South Hero Juskiewicz of Cambridge Keenan of St. Albans City Kitzmiller of Montpelier Klein of East Montpelier Komline of Dorset Krowinski of Burlington Lalonde of South Burlington Lanpher of Vergennes Lawrence of Lyndon Lefebvre of Newark Lenes of Shelburne Lippert of Hinesburg Long of Newfane Lucke of Hartford Macaig of Williston Manwaring of Wilmington Marcotte of Coventry Martin of Wolcott Masland of Thetford McCoy of Poultney McCullough of Williston Miller of Shaftsbury Morris of Bennington Mrowicki of Putney Murphy of Fairfax Myers of Essex Nuovo of Middlebury O'Brien of Richmond Olsen of Londonderry O'Sullivan of Burlington Parent of St. Albans Town

Partridge of Windham Patt of Worcester Pearce of Richford Pearson of Burlington Potter of Clarendon Pugh of South Burlington Ram of Burlington Russell of Rutland City Ryerson of Randolph Savage of Swanton Sharpe of Bristol Shaw of Pittsford Sheldon of Middlebury Sibilia of Dover Smith of New Haven Stevens of Waterbury Stuart of Brattleboro Sullivan of Burlington Sweaney of Windsor Till of Jericho Toleno of Brattleboro Toll of Danville Townsend of South Burlington Trieber of Rockingham Troiano of Stannard Walz of Barre City Webb of Shelburne Willhoit of St. Johnsbury Wood of Waterbury Wright of Burlington Yantachka of Charlotte Young of Glover Zagar of Barnard

### Those who voted in the negative are:

Brennan of Colchester Burditt of West Rutland Dame of Essex \* Devereux of Mount Holly Fagan of Rutland City Fiske of Enosburgh Gage of Rutland City Gamache of Swanton Graham of Williamstown Hebert of Vernon
Helm of Fair Haven
Higley of Lowell
Hubert of Milton
Krebs of South Hero
LaClair of Barre Town
Lewis of Berlin
Martel of Waterford
McFaun of Barre Town

Morrissey of Bennington Purvis of Colchester Quimby of Concord Strong of Albany Terenzini of Rutland Town Turner of Milton Van Wyck of Ferrisburgh Viens of Newport City Those members absent with leave of the House and not voting are:

Batchelor of DerbyGonzalez of WinooskiScheuermann of StoweBerry of ManchesterMcCormack of BurlingtonShaw of DerbyChristie of HartfordPoirier of Barre CityTate of MendonClarkson of WoodstockRachelson of BurlingtonWoodward of Johnson

# **Rep. Dame of Essex** explained his vote as follows:

# "Mr. Speaker:

The current Federal regulations on wildlife habitat have already caused hurdles to an important circumferential highway in my district that millions of dollars and years of work were unable to overcome. If the federal regulations are already working, and preventing Vermont from building needed infrastructure in the only part of the state seeing economic growth, I fear that an additional layer of bureaucracy, regulations and decision makers will only further damage the economic habitat that Vermont's endangered private sector needs to thrive."

# Bill Amended; Third Reading Ordered H. 562

**Rep. Evans of Essex**, for the committee on Government Operations, to which had been referred House bill, entitled

An act relating to professions and occupations regulated by the Office of Professional Regulation and to the review of professional regulation

Reported in favor of its passage when amended by striking all after the enacting clause and inserting in lieu thereof the following:

\* \* \* Professional Regulation Review \* \* \*

Sec. 1. 26 V.S.A. chapter 57 is amended to read:

# CHAPTER 57. REVIEW OF <del>LICENSING STATUTES, BOARDS, AND COMMISSIONS</del> REGULATORY LAWS

# § 3101. POLICY AND PURPOSE

(a) It is the policy of the state State of Vermont that regulation be imposed upon a profession or occupation solely for the purpose of protecting the public. The legislature General Assembly believes that all individuals should be permitted to enter into a profession or occupation unless there is a demonstrated need for the state State to protect the interests of the public by restricting entry into the profession or occupation.

(b) If such a need is identified, the form of regulation adopted by the state State shall be the least restrictive form of regulation necessary to protect the public interest. If regulation is imposed, the profession or occupation may be subject to periodic review by the legislature Office of Professional Regulation and the General Assembly to insure ensure the continuing need for and appropriateness of such regulation.

### § 3101a. DEFINITIONS

The definitions contained in this section shall apply throughout As used in this chapter, unless the context clearly requires otherwise:

- (1) "Certification" means a voluntary process by which a statutory regulatory entity grants to an individual, a person who has met certain prerequisite qualifications, the right to assume or to use the title of the profession or occupation, or the right to assume or use the term "certified" in conjunction with the title. Use of the title or the term "certified," as the case may be, by a person who is not certified is unlawful.
- (2) "Licensing" and "licensure" mean a process by which a statutory regulatory entity grants to an individual, a person who has met certain prerequisite qualifications, the right to perform prescribed professional and or occupational tasks and to use the title of the profession or occupation. Practice without a license is unlawful.
- (3) "License" means an individual, nontransferable authorization to carry on an activity based on qualifications such as:
- (A) satisfactory completion of or graduation from an accredited or approved educational or training program; and or
- (B) acceptable performance on a qualifying examination or series of examinations.
  - (4) "Office" means the Office of Professional Regulation.
- (5) "Practitioner" means an individual <u>a person</u> who is actively engaged in a specified profession or occupation.
- (5)(6) "Public member" means an individual who has no material financial interest in the profession or occupation being regulated other than as a consumer.
- (6)(7) "Registration" means a process which requires requiring that, prior to rendering services, all practitioners a practitioner formally notify a regulatory entity of their his, her, or its intent to engage in the profession or occupation. Notification may include the name and address of the practitioner,

the location of the activity to be performed, and a description of the service to be provided.

- (8) "Regulatory entity" means the statutory entity responsible for regulating a profession or occupation, such as a board or an agency of the State.
- (7)(9) "Regulatory law" as used in section 3104 of this title, means any law in this State that requires a person engaged in a profession or occupation to be registered, certified, or licensed under this title or 4 V.S.A. chapter 23 or that otherwise regulates the operation of that profession or occupation.

# § 3102. PERIODIC REVIEW REQUIREMENT

- (a) Each licensing law enumerated below in subsection (b) of this section, each board related thereto, and the activities resulting shall be subject to review, at least once, in the manner provided in section 3104 of this title and on the basis of the criteria in section 3105 of this title.
  - (b) The following laws are subject to review:
    - (1) Chapter 15 of this title on electricians;
    - (2) Chapter 39 of this title on plumbers and plumbing;
    - (3) Chapter 28 of this title on nursing;
    - (4) Chapter 10 of this title on chiropractic;
    - (5) Chapter 6 of this title on barbers;
    - (6) Chapter 6 of this title on cosmeticians and hairdressers;
    - (7) Chapter 23 of this title on medicine and surgery;
    - (8) Chapter 33 of this title on osteopathic physicians and surgeons;
    - (9) Chapter 13 of this title on dentists and dental hygienists;
    - (10) 18 V.S.A. chapter 46 on nursing home administrators;
    - (11) Chapter 17 of this title on embalmers;
    - (12) Chapter 21 of this title on funeral directors;
    - (13) Chapter 44 of this title on veterinary science;
    - (14) Chapter 1 of this title on accountants;
    - (15) Chapter 59 of this title on private detectives;
    - (16) Chapter 55 of this title on psychologists;
    - (17) Chapter 36 of this title on pharmacy;

- (18) Chapter 51 of this title on radiological technologists;
- (19) Chapter 41 of this title on real estate brokers and salesmen;
- (20) Chapter 20 of this title on engineering;
- (21) Chapter 3 of this title on architects;
- (22) Chapter 45 of this title on land surveyors;
- (23) Chapter 31 of this title on physicians' assistants;
- (24) Chapter 7 of this title on podiatry;
- (25) 4 V.S.A. chapter 23 on attorneys;
- (26) Chapter 47 of this title on opticians;
- (27) Chapter 65 of this title on clinical mental health counselors;
- (28) Chapter 67 of this title on hearing aid dispensers;
- (29) Chapter 79 of this title on tattooists;
- (30) Chapter 81 of this title on naturopathic physicians;
- (31) Chapter 83 of this title on athletic trainers;
- (32) Chapter 87 of this title on audiologists and speech language pathologists.
- (c) Any new law to regulate another profession or occupation shall be based on the relevant criteria and standards in section 3105 of this title. [Repealed.]

### § 3104. PROCESS FOR REVIEW OF REGULATORY LAWS

- (a) Either house of the general assembly may designate, by resolution, The Office may review a regulatory law or an issue that affects professions and occupations generally to be reviewed by the legislative council staff that is within its jurisdiction, and shall review any regulatory law within or outside its jurisdiction upon the request of the House or Senate Committee on Government Operations. The staff Office shall base its review on the criteria and standards set forth in section 3105 of this title chapter.
- (b) The review may shall also include the following inquiries in the discretion of the Office or in response to a Committee request:
- (1) the extent to which the board's a regulatory entity's actions have been in the public interest and consistent with legislative intent;
- (2) the extent to which the <del>board's rules are complete, concise, and easy to understand</del> profession's historical performance, including the actual history

- of complaints and disciplinary actions in Vermont, indicates that the costs of regulation are justified by the realized benefits to the public;
- (3) the extent to which the board's standards and procedures are fair and reasonable and accurately measure an applicant's qualifications scope of the existing regulatory scheme for the profession is commensurate to the risk of harm to the public;
- (4) the extent to which the profession's education, training, and examination requirements for a license or certification are consistent with the public interest;
- (5) the way in which the board receives, investigates, and resolves complaints from the public the extent to which a regulatory entity's resolutions of complaints and disciplinary actions have been effective to protect the public;
- (5)(6) the extent to which the board a regulatory entity has sought ideas from the public and from those it regulates, concerning reasonable ways to improve the service of the board entity and the profession or occupation regulated;
- (6)(7) the extent to which the board a regulatory entity gives adequate public notice of its hearings and meetings and encourages public participation;
- (7)(8) whether the board a regulatory entity makes efficient and effective use of its funds, and meets its responsibilities; and
- (8)(9) whether the board <u>a regulatory entity</u> has sufficient funding to carry out its mandate.
- (c)(1) The legislative council staff Office shall give adequate notice to the public, the board applicable regulatory entity, and the appropriate professional societies that it is reviewing a particular regulatory law and board, as applicable, that regulatory entity. Notice to the board regulatory entity and the professional societies shall be in writing.
- (2) All The regulatory entity shall provide to the Office the information required under described in section 3107 of this title chapter and available data reasonably requested the Office requests for purposes of the review shall be provided by the boards.
- (3) The staff Office shall seek comments and information from the public and from members of the profession or occupation. It also shall give the board regulatory entity a chance to present its position and to respond to any matters raised in the review.

- (4) The staff Office, upon its request, shall have assistance from the department of finance and management Department of Finance and Management, the auditor of accounts Auditor of Accounts, the attorney general, the director of the office of professional regulation Attorney General, the joint fiscal committee Joint Fiscal Committee, or any other state State agency.
- (d) The legislative council staff Office shall file a separate written report for each review with the speaker of the house and president of the senate and with the chairman of the appropriate house or senate committee as provided in subsection (f) of this section House and Senate Committees on Government Operations and the applicable regulatory entity. The reports shall contain:
  - (1) findings, alternative courses of action, and recommendations;
  - (2) a copy of the board's regulatory entity's administrative rules; and
  - (3) appropriate legislative proposals.
- (e) The legislative council staff shall send a copy of the report to the board affected, and shall make copies available for public inspection. [Repealed.]
- (f) The house and senate committees on government operations shall be responsible for overseeing the preparation of reports by the legislative council staff under this chapter. [Repealed.]
- (g) After considering a report each committee shall send its findings and recommendations, including proposals for legislation, if any, to the house or to the senate, as appropriate. Any proposed licensing law shall be drafted according to a uniform format recommended in the comprehensive plan. [Repealed.]

### § 3105. CRITERIA AND STANDARDS

- (a) A profession or occupation shall be regulated by the State only when:
- (1) it can be demonstrated that the unregulated practice of the profession or occupation can clearly harm or endanger the health, safety, or welfare of the public, and the potential for the harm is recognizable and not remote or speculative;
- (2) the public can reasonably be expected to benefit from an assurance of initial and continuing professional ability; and
  - (3) the public cannot be effectively protected by other means.
- (b) After evaluating the criteria in subsection (a) of this section and considering governmental and societal costs and benefits, if the Legislature General Assembly finds that it is necessary to regulate a profession or

occupation, the least restrictive method of regulation shall be imposed, consistent with the public interest and this section:

- (1) if existing common law and statutory civil remedies and criminal sanctions are insufficient to reduce or eliminate existing harm, regulation should occur through enactment of stronger civil remedies and criminal sanctions;
- (2) if a professional or occupational service involves a threat to the public and the service is performed primarily through business entities or facilities that are not regulated, the business entity or the facility should be regulated rather than its employee practitioners;
- (3) if the threat to the public health, safety, or welfare, including economic welfare, is relatively small, regulation should be through a system of registration;
- (4) if the consumer may have a substantial interest in relying on the qualifications of the practitioner, regulation should be through a system of certification; or
- (5) if it is apparent that the public cannot be adequately protected by any other means, a system of licensure should be imposed.
- (c) Any of the issues set forth in subsections (a) and (b) of this section and section 3107 of this <u>title chapter</u> may be considered in terms of their application to professions or occupations generally.
- (d) Prior to review under this chapter and consideration by the General Assembly of any bill to regulate a profession or occupation and upon the request of the House or Senate Committee on Government Operations, the Office of Professional Regulation shall make, in writing, a preliminary assessment of whether any particular request for regulation meets the criteria set forth in subsection (a) of this section. The Office shall report its preliminary assessment to the appropriate House or Senate Committee on Government Operations. The provisions of 2 V.S.A. § 20(d) (expiration of required reports) shall not apply to the report to be made under this subsection.
- (e) After the review of a proposal to regulate a profession, the Office of Professional Regulation may decline to conduct an analysis and evaluation of the proposed regulation if it finds that:
- (1) the proposed regulatory scheme appears to regulate fewer than 250 individuals; and
- (2) the Office previously conducted an analysis and evaluation of the proposed regulation of the same profession or occupation, and no new

information has been submitted that would cause the Office to alter or modify the recommendations made in its earlier report on the that proposed regulation of the profession.

# § 3106. DIRECTOR OF THE OFFICE OF PROFESSIONAL REGULATION; ANNUAL REPORT

- (a) Annually, prior to the commencement of each legislative session, the Director of the Office of Professional Regulation shall prepare a concise report on the activities of all boards and advisor professions under his or her jurisdiction. Prior to the commencement of each legislative session, the Director shall prepare a report for publication on the Office's website containing The report shall include his or her assessments, conclusions, and recommendations with proposals for legislation, if any, to the Speaker of the House and to the Chairpersons of the House and Senate Committees on Government Operations and the chairpersons of the boards regarding those boards and advisor professions.
- (b) The Office Director shall publish the report on the Office's website and shall also provide written copies of the report to the House and Senate Committees on Government Operations.
- (c) The provisions of 2 V.S.A. § 20(d) (expiration of required reports) shall not apply to the report to be made under this section.

## § 3107. INFORMATION REQUIRED

Prior to review under this chapter and prior to consideration by the legislature General Assembly of any bill which that proposes to regulate a profession or occupation, the profession or occupation being reviewed or seeking regulation shall explain each of the following factors, in writing, to the extent requested by the appropriate house or senate committees on government operations House or Senate Committee on Government Operations:

- (1) Why regulation is necessary, including:
- (A) the nature of the potential harm or threat to the public if the profession or occupation is not regulated;
- (B) specific examples of the harm or threat identified in subdivision (1)(A) of this section;
- (C) the extent to which consumers will benefit from a method of regulation which that permits identification of competent practitioners, indicating typical employers, if any, of practitioners;
  - (2) The extent to which practitioners are autonomous, as indicated by:

- (A) the degree to which the profession or occupation requires the use of independent judgment, and the skill or experience required in making such judgment;
  - (B) the degree to which practitioners are supervised:
- (3) The efforts that have been made to address the concerns that give rise to the need for regulation, including:
- (A) voluntary efforts, if any, by members of the profession or occupation to:
  - (i) establish a code of ethics;
  - (ii) help resolve disputes between practitioners and consumers;
  - (iii) establish requirements for continuing education.
  - (B) recourse to and the extent of use of existing law;
- (4) Why the alternatives to licensure specified in this subdivision would not be adequate to protect the public interest:
  - (A) stronger civil remedies or criminal sanctions;
- (B) regulation of the business entity or facility providing the service rather than the employee practitioners;
- (C) regulation of the program or service rather than the individual practitioners;
  - (D) registration of all practitioners;
  - (E) certification of practitioners;
  - (F) other alternatives;
  - (5) The benefit to the public if regulation is granted, including:
- (A) how regulation will result in reduction or elimination of the harms or threats identified under subdivision (1) of this section;
- (B) the extent to which the public can be confident that a practitioner is competent:
- (i) whether the registration, certification, or licensure will carry an expiration date;
- (ii) whether renewal will be based only upon payment of a fee, or whether renewal will involve reexamination, peer review, or other enforcement:

- (iii) the standards for registration, certification, or licensure as compared with the standards of other jurisdictions;
- (iv) the nature and duration of the educational requirement, if any, including, but not limited to, whether such the educational program requirement includes a substantial amount of supervised field experience; whether educational programs exist in this state State; whether there will be an experience requirement; whether the experience must be acquired under a registered, certified, or licensed practitioner; whether there are alternative routes of entry or methods of satisfying the eligibility requirements and qualifications; whether all applicants will be required to pass an examination; and, if an examination is required, by whom it will be developed and how the costs of development will be met;
  - (6) The form and powers of the regulatory entity, including:
- (A) whether the regulatory entity is or would be a board composed of members of the profession or occupation and public members, or a <u>state State</u> agency, or both, and, if appropriate, their respective responsibilities in administering the system of registration, certification, or licensure;
- (B) the composition of the board, if any, and the number of public members, if any;
- (C) the powers and duties of the <del>board or state agency</del> <u>regulatory</u> <u>entity</u> regarding examinations;
- (D) the system for receiving complaints and taking disciplinary action against practitioners;
  - (7) The extent to which regulation might harm the public, including:
- (A) whether regulation will restrict entry into the profession or occupation, including:
- (i) whether the standards are the least restrictive necessary to insure ensure safe and effective performance; and
- (ii) whether persons who are registered, certified, or licensed in a <u>another</u> jurisdiction <u>which</u> that the <u>board or agency regulatory entity</u> believes has requirements that are substantially equivalent to those of this <u>state</u> <u>State</u> will be eligible for endorsement or some form of reciprocity;
- (B) whether there are similar professions or occupations which that should be included, or portions of the profession or occupation which that should be excluded from regulation;

- (8) How the standards of the profession or occupation will be maintained, including:
- (A) whether effective quality assurance standards exist in the profession or occupation, such as legal requirements associated with specific programs that define or enforce standards, or a code of ethics; and
- (B) how the proposed form of regulation will assure quality, including:
- (i) the extent to which a code of ethics, if any, will be adopted; <u>and</u>
- (ii) the grounds for suspension, revocation, or refusal to renew registration, certification, or licensure;
- (9) A profile of the practitioners in this state <u>State</u>, including a list of associations, organizations, and other groups representing the practitioners <u>and</u> including an estimate of the number of practitioners in each group.
- (10) The effect that registration, certification, or licensure will have on the costs of the services to the public.
  - \* \* \* Alcohol and Drug Abuse Counselors \* \* \*
- Sec. 2. 3 V.S.A. § 122 is amended to read:

## § 122. OFFICE OF PROFESSIONAL REGULATION

An Office of Professional Regulation is created within the Office of the Secretary of State. The Office shall have a Director who shall be appointed by the Secretary of State and shall be an exempt employee. The following boards or professions are attached to the Office of Professional Regulation:

\* \* \*

- (45) Alcohol and drug abuse counselors.
- Sec. 3. 18 V.S.A. § 4806 is amended to read:

### § 4806. DIVISION OF ALCOHOL AND DRUG ABUSE PROGRAMS

- (a) The Division of Alcohol and Drug Abuse Programs shall plan, operate, and evaluate a consistent, effective program of substance abuse programs. All duties, responsibilities, and authority of the Division shall be carried out and exercised by and within the Department of Health.
  - (b) The Division shall be responsible for the following services:
    - (1) prevention and intervention;

- (2) licensure of alcohol and drug counselors; [Repealed.]
- (3) project CRASH schools; and
- (4) alcohol and drug treatment.

\* \* \*

- (e) Under subdivision (b)(4) of this section, the Commissioner of Health may contract with the Secretary of State for provision of adjudicative services of one or more administrative law officers and other investigative, legal, and administrative services related to licensure and discipline of alcohol and drug counselors. [Repealed.]
- Sec. 4. 26 V.S.A. chapter 62 is amended to read:

### CHAPTER 62. ALCOHOL AND DRUG ABUSE COUNSELORS

## § 3231. DEFINITIONS

As used in this chapter:

- (1) "Alcohol and drug abuse counselor" means a person who engages in the practice of alcohol and drug abuse counseling for compensation.
- (2) "Commissioner" means the Commissioner of Health "Director" means the Director of the Office of Professional Regulation.
- (3) "Deputy Commissioner" means the Deputy Commissioner of the Division of Alcohol and Drug Abuse Programs "Office" means the Office of Professional Regulation.
- (4) "Disciplinary action" means any action taken by the administrative law officer appointed pursuant to 3 V.S.A. § 129(j) against a licensee or applicant based on a finding of unprofessional conduct by the licensee or applicant. "Disciplinary action" includes issuance of warnings and all sanctions, including denial, suspension, revocation, limitation, or restriction of licenses and other similar limitations. [Repealed.]
- (5) "Practice of alcohol and drug abuse counseling" means the application of methods, including psychotherapy, which that assist an individual or group to develop an understanding of alcohol and drug abuse dependency problems and to define goals and plan actions reflecting the individual's or group's interests, abilities, and needs as affected by alcohol and drug abuse dependency problems and comorbid conditions.
- (6) "Supervision" means the oversight of a person for the purposes of teaching, training, or clinical review by a professional in the same area of

specialized practice licensed alcohol and drug abuse counselor or a qualified supervisor as determined by the Director by rule.

### § 3232. PROHIBITION; PENALTIES

- (a) No  $\underline{A}$  person shall <u>not</u> perform either of the following acts:
- (1) practice or attempt to practice alcohol and drug abuse counseling without a valid license issued in accordance with this chapter, except as otherwise provided in section 3233 of this title chapter; or
- (2) use in connection with the person's name any letters, words, or insignia indicating or implying that the person is an alcohol and drug abuse counselor, unless the person is licensed <u>or certified</u> in accordance with this chapter.
- (b) A person who violates any of the provisions of this section shall be subject to the penalties provided in 3 V.S.A. § 127(c).

# § 3233. EXEMPTIONS

The provisions of subdivision 3232(a)(1) of this chapter, relating to the practice of alcohol and drug abuse counseling, shall not apply to:

- (1) the activities and services of a rabbi, priest, minister, Christian Science practitioner, or clergy of any religious denomination or sect when engaging in activities that are within the scope of the performance of the person's regular or specialized ministerial duties and for which no separate charge is made, or when these activities are performed, with or without charge, for or under the auspices or sponsorship, individually or in conjunction with others, of an established and legally recognizable church, denomination, or sect and when the person rendering services remains accountable to the established authority of that church, denomination, or sect;
- (2) the activities and services of a person licensed, certified, or registered under other laws of this State while acting within the scope of his or her profession or occupation, provided the person does not hold himself or herself out to the public as possessing a license issued pursuant to this chapter;
- (3) the activities and services of a student intern or trainee in alcohol and drug abuse counseling who is pursuing a course of study in an accredited institution of higher education or a training course approved by the Director, provided these activities are performed under supervision of and constitute a part of an approved course of study;
- (4) the activities and services of approved alcohol and drug abuse counselors an individual certified under this chapter who are is working in a

<u>preferred provider program</u> under the supervision of a licensed alcohol and drug abuse counselor; or

(5) a person acting as a member of a voluntary group of individuals who offer peer support to each other in recovering from an addiction.

# § 3234. COORDINATION OF PRACTICE ACTS

Notwithstanding any provision of law to the contrary, a person may practice psychotherapy when acting within the scope of a license <u>or certification</u> granted under this chapter, provided he or she does not hold himself or herself out as a practitioner of a profession for which he or she is not licensed or certified.

# § 3235. DEPUTY COMMISSIONER DIRECTOR; DUTIES

- (a) The Deputy Commissioner In addition to the authority granted under 3 V.S.A. chapter 5, the Director shall:
- (1) provide general information to applicants for licensure as alcohol and drug abuse counselors or certification under this chapter;
  - (2) administer fees collected under this chapter;
- (3) administer examinations refer complaints and disciplinary matters to an administrative law officer established under 3 V.S.A. § 129(j);
- (4) explain appeal procedures to licensees, <u>certified individuals</u>, and applicants for licensure or certification under this chapter; and
- (5) receive applications for licensure <u>or certification</u> under this chapter; issue and renew licenses <u>or certifications</u>; and revoke, suspend, reinstate, or condition licenses <u>or certifications</u> as ordered by an administrative law officer; and
- (6) contract with the Office of Professional Regulation to adopt and explain complaint procedures to the public, manage case processing, investigate complaints, and refer adjudicatory proceedings to an administrative law officer.
- (b) The Commissioner of Health, with the advice of the Deputy Commissioner, Director may adopt rules necessary to perform the Deputy Commissioner's Director's duties under this section, including rules:
  - (1) Specifying acceptable master's degree requirements.
- (2) Setting standards for certifying apprentice addiction professionals and alcohol and drug abuse counselors.

- (3) Requiring completion and documentation of not more than 40 hours of acceptable continuing education every two years as a condition for license or certification renewal.
- (4) Requiring licensed alcohol and drug abuse counselors to disclose to each client the licensee's professional qualifications and experience, those actions that constitute unprofessional conduct, the method for filing a complaint or making a consumer inquiry, and provisions relating to the manner in which the information shall be displayed and signed by both the licensee and the client. The rules may include provisions for applying or modifying these requirements in cases involving clients of preferred providers, institutionalized clients, minors, and adults under the supervision of a guardian.
- (5) Regarding ethical standards for individuals licensed or certified under this chapter.
  - (6) Regarding display of license or certification.
- (7) Regarding reinstatement of a license or certification which has lapsed for more than five years.
  - (8) Regarding supervised practice toward licensure or certification.

# § 3235a. ADVISOR APPOINTEES

- (a) The Secretary of State shall appoint three individuals licensed under this chapter to serve as advisors in matters relating to alcohol and drug abuse counselors. Advisors shall be appointed as set forth in 3 V.S.A. § 129b. Two of the initial appointments may be for less than a full term.
- (b) Appointees shall not have less than three years' licensed experience as an alcohol and drug abuse counselor in Vermont.
- (c) The Director shall seek the advice of the advisors appointed under this section in carrying out the provisions of this chapter.

# § 3236. <u>LICENSED ALCOHOL AND DRUG ABUSE COUNSELOR</u> ELIGIBILITY

- (a) To be eligible for licensure as an alcohol and drug abuse counselor, an applicant shall:
- (1) have received a master's degree or doctorate in a human services field from an accredited educational institution, including a degree in counseling, social work, psychology, or in an allied mental health field, or a master's degree or higher in a health care profession regulated under this title or Title 33, after having successfully completed a course of study with course work, including theories of human development, diagnostic and counseling

techniques, and professional ethics, and which includes a supervised clinical practicum; and

- (2)(A) have been awarded an approved counselor credential from the Division of Alcohol and Drug Abuse Programs in accordance with rules adopted by the Commissioner hold or be qualified to hold a current alcohol and drug counselor certification from the Office; or
- (B) hold an International Certification and Reciprocity Consortium certification from another U.S. or Canadian jurisdiction or a U.S. or Canadian national certification organization approved by the Director;
  - (3) successfully pass the examination approved by the Director; and
  - (4) complete 2,000 hours of supervised practice as set forth in rule.
- (b) A person who is engaged in supervised practice toward licensure who is not within the preferred provider network shall be registered on the roster of nonlicensed and noncertified psychotherapists.
- § 3236a. CERTIFICATION OF APPRENTICE ADDICTION PROFESSIONALS AND ALCOHOL AND DRUG ABUSE COUNSELORS
- (a) The Director may certify an individual who has met requirements set by the Director by rule as:
  - (1) an apprentice addiction professional; or
  - (2) an alcohol and drug abuse counselor.
- (b) The Director may seek cooperation with the International Certification and Reciprocity Consortium or other recognized alcohol and drug abuse provider credentialing organizations as a resource for examinations and rulemaking.

### § 3236b. LICENSURE OR CERTIFICATION BY ENDORSEMENT

The Director may issue a license or certification to an individual under this chapter if the individual holds a license or certification from a U.S. or Canadian jurisdiction that the Director finds has requirements for licensure or certification that are substantially equivalent to those required under this chapter.

# § 3237. APPLICATION

An individual may apply for a license under this chapter by filing, with the Deputy Commissioner, an application provided by the Deputy Commissioner.

The application shall be accompanied by the required fees and evidence of eligibility. [Repealed.]

## § 3238. BIENNIAL RENEWALS

- (a) Licenses <u>and certifications</u> shall be renewed every two years <u>on a schedule set by the Office upon:</u>
- (1) payment of the required fee, provided the person applying for renewal completes; and
- (2) documentation that the applicant has completed at least 40 hours of continuing education, approved by the Deputy Commissioner, during the preceding two year period. The Deputy Commissioner shall establish, by rule, guidelines and criteria for continuing education credit Director.
- (b) Biennially, the Deputy Commissioner shall forward a renewal form to each license holder. Upon receipt of the completed form and the renewal fee, the Deputy Commissioner shall issue a new license. [Repealed.]
- (c) Any application for renewal reinstatement of a license which or certification that has expired shall be accompanied by the renewal fee and a reinstatement fee appropriate fees. A person shall not be required to pay renewal fees for years during which the license or certifications was lapsed.
- (d) The Commissioner of Health may, after notice and opportunity for hearing, revoke a person's right to renew a license if the license has lapsed for five or more years. [Repealed.]

#### § 3239. UNPROFESSIONAL CONDUCT

The following conduct and the conduct set forth in 3 V.S.A. § 129a, by a person authorized to provide alcohol and drug abuse services under this chapter or an applicant for licensure <u>or certification</u>, constitutes unprofessional conduct:

- (1) violation of any provision of this chapter or rule adopted under this chapter;
  - (2) failing to use a complete title in professional activity;
- (3) conduct which evidences moral unfitness to practice alcohol and drug abuse counseling;
- (4) negligent, incompetent, or wrongful conduct in the practice of alcohol and drug abuse counseling; or
  - (5) harassing, intimidating, or abusing a client; or

(6) agreeing with any other person or organization or subscribing to any code of ethics or organizational bylaws when the intent or primary effect of that agreement, code, or bylaw is to restrict or limit the flow of information concerning alleged or suspected unprofessional conduct to the Director.

#### § 3240. REGULATORY FEE FUND

- (a) An Alcohol and Drug Counselor Regulatory Fee Fund is created. All counselor licensing and examination fees received by the Division shall be deposited into the Fund and used to offset the costs incurred by the Division for these purposes and for the costs of investigations and disciplinary proceedings.
- (b) To ensure that revenues derived by the Division are adequate to offset the cost of regulation, the Commissioner of Health and the Deputy Commissioner shall review fees from time to time and present proposed fee changes to the General Assembly. [Repealed.]

#### § 3241. FEES

In addition to the fees otherwise authorized by law, the Deputy Commissioner Director may charge the following fees:

- (1) Late renewal penalty, \$25.00 for a renewal submitted less than 30 days late. Thereafter, the Deputy Commissioner may increase the late renewal penalty by \$5.00 for every additional month or fraction of a month, provided that the total penalty for a late renewal shall not exceed \$100.00.
  - (2) Reinstatement of revoked or suspended license, \$20.00.
  - (3) Replacement of license, \$20.00.
  - (4) Verification of license, \$20.00.
- (5) An examination fee established by the Deputy Commissioner, which shall be no greater than the costs associated with examinations.
- (6) Licenses granted under rules adopted pursuant to 3 V.S.A. § 129(a)(10), \$20.00.
  - (7) Application for registration, \$75.00.
  - (8) Application for licensure or certification, \$100.00.
  - (9) Biennial renewal, \$135.00.
- (10) Limited temporary license or work permit, \$50.00 for professions regulated by the Director as set forth in 3 V.S.A. § 125.

# Sec. 5. TRANSITIONAL PROVISION; CURRENT CERTIFICATION

Notwithstanding the provisions of 26 V.S.A. § 3236a(a) set forth in Sec. 4 of this act, an individual currently certified by the Vermont Alcohol and Drug Abuse Certification Board as an apprentice addiction professional or an alcohol and drug abuse counselor may renew his or her certification as if previously granted to him or her by the Director of the Office of Professional Regulation pursuant to rules adopted by the Director.

# Sec. 6. DIRECTOR OF THE OFFICE OF PROFESSIONAL REGULATION; REQUIRED RULEMAKING

The Director of the Office of Professional Regulation may adopt any rules necessary to implement the provisions of Secs. 4 and 5 of this act, prior to the effective date of those sections.

- \* \* \* Naturopathic Physicians \* \* \*
- Sec. 7. 2012 Acts and Resolves No. 116, Sec. 64(e), as amended by 2015 Acts and Resolves No. 38, Sec. 42, is amended to read:
- Sec. 42. 2012 Acts and Resolves No. 116, Sec. 64(e) (transitional provisions) is amended to read:
  - (e) Formulary sunset; transition to examination.
- (1) Subsection (c) of this section (formulary authorization) shall be repealed on July 1,  $\frac{2016}{2017}$ .
- (2) Any naturopathic physician who is authorized to prescribe, dispense, and administer any prescription medicines under subsection (c) of this section shall have until July 1, 2016 2017 to successfully complete the naturopathic pharmacology examination set forth in 26 V.S.A. § 4125(d) in order to be able to continue to prescribe, dispense, and administer any prescription medicines.
- \* \* \* Potable Water Supply and Wastewater System Designers and Pollution Abatement Facility Operators \* \* \*
- Sec. 8. 10 V.S.A. § 1263 is amended to read:
- § 1263. DISCHARGE PERMITS

\* \* \*

- (d) A discharge permit shall:
- (1) specify Specify the manner, nature, volume, and frequency of the discharge permitted and contain terms and conditions consistent with subsection (c) of this section;

- (2) require Require proper operation and maintenance of any pollution abatement facility necessary in the treatment or processing of the waste by qualified personnel in accordance with standards established by the secretary Secretary and the Director of the Office of Professional Regulation. The secretary Exerctary may require operators to be certified under a program established by the secretary that a pollution abatement facility be operated by persons licensed under 26 V.S.A. chapter 97 and may prescribe the class of license required. The secretary Secretary may require a laboratory quality assurance sample program to insure ensure qualifications of laboratory analysts;
- (3) <u>contain Contain</u> an operation, management, and emergency response plan when required under section 1278 of this title and additional conditions, requirements, and restrictions as the <u>secretary Secretary</u> deems necessary to preserve and protect the quality of the receiving waters, including <u>but not limited to</u> requirements concerning recording, reporting, monitoring, and inspection of the operation and maintenance of waste treatment facilities and waste collection systems; and.
- (4) be  $\underline{Be}$  valid for the period of time specified therein, not to exceed five years.

\* \* \*

## Sec. 9. 10 V.S.A. § 1975 is amended to read:

## § 1975. DESIGNER LICENSES

- (a) The secretary Director of the Office of Professional Regulation, after due consultation with the Secretary, shall establish and implement a process to license and periodically renew the licenses of designers of potable water supplies or wastewater systems, establish different classes of licensing for different potable water supplies and wastewater systems, and allow individuals to be licensed in various categories.
- (b) No A person shall <u>not</u> design a potable water supply or wastewater system that requires a permit under this chapter without first obtaining a designer license from the <u>secretary Director of the Office of Professional Regulation</u>, except a professional engineer who is licensed in Vermont shall be deemed to have a valid designer license under this chapter, provided that:
- (1) the engineer is practicing within the scope of his or her engineering specialty; and
  - (2) the engineer:

- (A) to design a soil-based wastewater system, has satisfactorily completed a college-level soils identification course with specific instruction in the areas of soils morphology, genesis, texture, permeability, color, and redoximorphic features; or
- (B) has passed a soils identification test administered by the secretary Secretary; or
- (C) retains one or more licensed designers who have taken the course specified in this subdivision or passed the soils identification test, whenever performing work regulated under this chapter.
- (c) No person shall review or act on permit applications for a potable water supply or wastewater system that he or she designed or installed. [Repealed.]
- (d) The secretary Secretary or the Director of the Office of Professional Regulation may review, on a random basis, or in response to a complaint, or on his or her own motion, the testing procedures employed by a licensed designer, the systems designed by a licensed designer, the designs approved or recommended for approval by a licensed designer, and any work associated with the performance of these tasks.
- (e) After a hearing conducted under chapter 25 of Title 3, the secretary may suspend, revoke, or impose conditions on a designer license, except for one held by a professional engineer. This proceeding may be initiated on the secretary's own motion or upon a written request which contains facts or reasons supporting the request for imposing conditions, for suspension, or for revocation. Cause for imposing conditions, suspension, or revocation shall be conduct specified under 3 V.S.A. § 129a as constituting unprofessional conduct by a licensee. [Repealed.]
- (f) If a person who signs a design or installation certification submitted under this chapter certifies a design, installation, or related design or installation information and, as a result of the person's failure to exercise reasonable professional judgment, submits design or installation information that is untrue or incorrect, or submits a design or installs a wastewater system or potable water supply that does not comply with the rules adopted under this chapter, the person who signed the certification may be subject to penalties disciplined by the Director of the Office of Professional Regulation and be required to take all actions to remediate the affected project in accordance with the provisions of chapters 201 and 211 of this title.

\* \* \*

Sec. 10. 3 V.S.A. § 122 is amended to read:

## § 122. OFFICE OF PROFESSIONAL REGULATION

An Office of Professional Regulation is created within the Office of the Secretary of State. The Office shall have a Director who shall be appointed by the Secretary of State and shall be an exempt employee. The following boards or professions are attached to the Office of Professional Regulation:

\* \* \*

- (45) Potable water supply and wastewater system designers
- (46) Pollution abatement facility operators

Sec. 11. 26 V.S.A. chapter 97 is added to read:

# <u>CHAPTER 97. POTABLE WATER SUPPLY AND WASTEWATER</u> SYSTEM DESIGNERS

Subchapter 1. General Provisions

### § 5001. PURPOSE AND EFFECT

In order to safeguard the life and health of the people of this State, a person, other than a professional engineer exempted under this chapter, shall not design a potable water supply or wastewater system that requires a

permit or designer's certification or license under the laws of this State unless currently licensed under this chapter.

## § 5002. DEFINITIONS

As used in this chapter:

- (1) "Director" means the Director of the Office of Professional Regulation.
- (2) "License" means a current authorization granted by the Director permitting the practice of potable water supply or wastewater system design.
- (3) "Potable water supply or wastewater system designer" or "designer" means a person who is licensed under this chapter to engage in the practice of potable water supply or wastewater system design.
- (4) "Practice of potable water supply or wastewater system design" or "design" means planning the physical and operational characteristics of a potable water supply or wastewater system that requires a permit or designer's certification or license under the laws of this State:

## § 5003. PROHIBITIONS; OFFENSES

- (a) It shall be a violation of this chapter for any person, including any corporation, association, or individual, to:
- (1) sell or fraudulently obtain or furnish any design degree, diploma, certificate of registration, license, or any other related document or record or to aid or abet therein;
- (2) practice design under cover of any degree, diploma, registration, license, or related document or record illegally or fraudulently obtained or signed or issued unlawfully or under fraudulent representation;
- (3) practice design unless duly registered and currently licensed or otherwise authorized to do so under the provisions of this chapter;
- (4) represent himself or herself as being licensed or otherwise authorized by this State to practice design or use in connection with a name any words, letters, signs, or figures that imply that a person is a licensed designer when not licensed or otherwise authorized under this chapter;
- (5) practice design during the time a license or authorization issued under this chapter is suspended or revoked;
- (6) employ an unlicensed or unauthorized person to practice as a licensed designer; or
- (7) practice or employ a licensed designer to practice beyond the scope of his or her practice prescribed by rule.
- (b) Any person violating this section shall be subject to the penalties provided in 3 V.S.A. § 127.

## § 5004. EXCEPTIONS

This chapter does not prohibit:

- (1) the furnishing of assistance in the case of an emergency or disaster;
- (2) the practice of design by a person employed by the U.S. government or any bureau, division, or agency thereof while in the discharge of his or her official federal duties; or
- (3) the practice of any other occupation or profession by a person duly licensed or otherwise authorized under the laws of this State.

## § 5005. OUALIFIED PROFESSIONAL ENGINEERS EXEMPT

A licensed professional engineer may practice design without a license under this chapter if he or she satisfies the criteria set forth in 10 V.S.A. § 1975(b).

# Subchapter 2. Administration

## § 5011. DUTIES OF THE DIRECTOR

- (a) The Director shall:
  - (1) provide general information to applicants for licensure as designers;
- (2) receive applications for licensure, administer or approve examinations, and provide licenses to applicants qualified under this chapter;
  - (3) administer fees as established by law;
  - (4) refer all disciplinary matters to an administrative law officer;
- (5) renew, revoke, and reinstate licenses as ordered by an administrative law officer; and
- (6) explain appeal procedures to licensed designers and to applicants, and complaint procedures to the public.
- (b) The Director shall adopt rules necessary to perform his or her duties under this section after due consultation with the Secretary of Natural Resources and Commissioner of Environmental Conservation. These rules may establish grades, types, classes, or subcategories of licenses corresponding to prescribed scopes of practice.

#### § 5012. ADVISOR APPOINTEES

- (a)(1) The Secretary of State shall appoint three persons to be advisors to the Secretary, two of which shall be designers licensed under this chapter and one of which shall be a representative of the Agency of Natural Resources. Advisors shall be appointed for five-year staggered terms to serve at the Secretary's pleasure as advisors in matters relating to design. Two of the initial appointments may be for a term of fewer than five years.
- (2) A designer appointee shall have not fewer than five years' experience as a licensed designer immediately preceding appointment; shall be licensed as a designer in Vermont; and shall be actively engaged in the practice of design in this State during incumbency.
- (3) The Agency of Natural Resources appointee shall be involved in the permitting program established under 10 V.S.A. chapter 64.
- (b) The Director shall seek the advice of the advisor appointees in carrying out the provisions of this chapter.

# Subchapter 3. Licenses

# § 5021. ELIGIBILITY FOR LICENSURE

- (a) To be eligible for licensure as a designer, an applicant shall be at least 18 years of age; able to read and write the English language; hold a high school diploma, General Equivalency Diploma (GED), or equivalent; and demonstrate such specific education, training, experience, and examination performance as the Director may by rule require to hold the class of license sought.
- (b) The Director may waive examination for an applicant licensed or certified in good standing by a foreign jurisdiction found by the Director to enforce equivalent standards to obtain the class of license sought in this State. The applicant's previous job description and experience in the design field may be considered.

## § 5022. LICENSE RENEWAL

- (a)(1) A license shall be renewed every two years upon application, payment of the required fee, and proof of compliance with such continuing education or periodic reexamination requirements as the Director may by rule prescribe. Failure to comply with the provisions of this section shall result in suspension of all privileges granted to the licensee, beginning on the expiration date of the license.
- (2) A license that has lapsed shall be renewed upon payment of the biennial renewal fee and the late renewal penalty.
- (b) The Director may adopt rules necessary for the protection of the public to assure the Director that an applicant whose license has lapsed or who has not worked for more than three years as a licensed designer is professionally qualified for license renewal. Conditions imposed under this subsection shall be in addition to the requirements of subsection (a) of this section.

## § 5023. APPLICATIONS

Applications for licensure and license renewal shall be on forms provided by the Director. Each application shall contain a statement under oath showing the applicant's education, experience, and other pertinent information and shall be accompanied by the required fee.

## § 5024. LICENSURE GENERALLY

The Director shall issue a license or renew a license, upon payment of the fees required under this chapter, to an applicant or licensee who has satisfactorily met all the requirements of this chapter.

## § 5025. FEES

Applicants and persons regulated under this chapter shall pay those fees set forth in 3 V.S.A. § 125(b).

#### § 5026. UNPROFESSIONAL CONDUCT

- (a) Unprofessional conduct means the following conduct and the conduct set forth in 3 V.S.A. § 129a committed by a licensee, an applicant, or a person who later becomes an applicant:
- (1) has made or caused to be made a false, fraudulent, or forged statement or representation in procuring or attempting to procure registration or renew a license to practice as a licensed designer;
- (2) whether or not committed in this State, has been convicted of a crime related to water system design or installation or a felony which evinces an unfitness to practice design;
  - (3) is unable to practice design competently by reason of any cause;
- (4) has willfully or repeatedly violated or caused the violation of any of the provisions of this chapter, the terms of a permit, the Vermont On-Site Wastewater and Potable Water Supply Regulations, or the Vermont Water Quality Standards;
- (5) is habitually intemperate or is addicted to the use of habit-forming drugs;
- (6) has a mental, emotional, or physical disability, the nature of which interferes with the ability to practice design competently;
- (7) engages in conduct of a character likely to deceive, defraud, or harm the public;
- (8) has reviewed or acted on permit applications for a potable water supply or wastewater system that he or she designed or installed.
- (b) A person shall not be liable in a civil action for damages resulting from the good faith reporting of information to the Director or the Office of Professional Regulation about alleged incompetent, unprofessional, or unlawful conduct of a licensed designer.

#### Sec. 12. TRANSITIONAL PROVISIONS

(a) The five years' experience required by 26 V.S.A. § 5012(a)(2) (advisor appointees; qualifications of appointees) set forth in Sec. 11 of this act may include experience while licensed pursuant to subchapter 7 of the Agency of Natural Resources Wastewater System and Potable Water Supply Rules, and

an initial advisor appointee may be in the process of applying for licensure from the Office of Professional Regulation if he or she otherwise meets the requirements for licensure as an licensed designer and the other requirements of 26 V.S.A. § 5012(a)(2).

- (b) Pending adoption by the Director of administrative rules governing licensed designers, the Director may license designers consistent with subchapter 7 of the Agency of Natural Resources Wastewater System and Potable Water Supply Rules.
- (c) A person holding a design license from the Agency of Natural Resources may obtain an equivalent license from the Office of Professional Regulation at no charge, valid through the expiration date assigned by the Agency, and thereafter renewable on a biennial schedule established by the Office.

Sec. 13. 26 V.S.A. chapter 99 is added to read:

## CHAPTER 99. POLLUTION ABATEMENT FACILITY OPERATORS

Subchapter 1. General Provisions

### § 5101. PURPOSE AND EFFECT

In order to safeguard the life and health of the people of this State, a person shall not practice or offer to practice pollution abatement facility operation unless currently licensed under this chapter.

## § 5102. DEFINITIONS

As used in this chapter:

- (1) "Director" means the Director of the Office of Professional Regulation.
- (2) "License" means a current authorization granted by the Director permitting the practice of pollution abatement facility operation.
- (3) "Permit," when used as a noun, means an authorization by the Agency of Natural Resources to operate a facility regulated under 10 V.S.A. § 1263.
- (4) "Practice of pollution abatement facility operation" means the operation and maintenance of a facility regulated under 10 V.S.A. § 1263 by a person required by the terms of a permit to hold particular credentials, including those of an "operator," "assistant chief operator," or "chief operator."
- (5) "Pollution abatement facility operator" means a person who is licensed under this chapter, or pursuant to rules developed pursuant to this

chapter, to engage in the practice of pollution abatement facility operation consistent with a permit.

## § 5103. PROHIBITIONS; OFFENSES

- (a) It shall be a violation of this chapter for any person, including any corporation, association, or individual, to:
- (1) sell or fraudulently obtain or furnish any pollution abatement facility operation degree, diploma, certificate of registration, license, or any other related document or record or to aid or abet therein;
- (2) practice or knowingly permit the practice of pollution abatement facility operation under cover of any degree, diploma, registration, license, or related document or record illegally or fraudulently obtained or signed or issued unlawfully or under fraudulent representation;
- (3) practice or permit the practice of pollution abatement facility operation other than by a person duly registered and currently licensed or otherwise authorized to do so under the provisions of this chapter;
- (4) represent himself or herself as being licensed or otherwise authorized by this State to practice pollution abatement facility operation or use in connection with a name any words, letters, signs, or figures that imply that a person is a pollution abatement facility operator when not licensed or otherwise authorized under this chapter;
- (5) practice pollution abatement facility operation during the time a license or authorization issued under this chapter is suspended or revoked; or
- (6) employ an unlicensed or unauthorized person to practice as a pollution abatement facility operator.
- (b) Any person violating this section shall be subject to the penalties provided in 3 V.S.A. § 127(c).

## § 5104. EXCEPTIONS

This chapter does not prohibit:

- (1) the furnishing of assistance in the case of an emergency or disaster; or
- (2) a person not licensed under this chapter from working under the direct or indirect supervision of a pollution abatement facility operator, where such employment is consistent with the terms, conditions, and intent of a facility's permit.

## Subchapter 2. Administration

# § 5111. DUTIES OF THE DIRECTOR

- (a) The Director shall:
- (1) provide general information to applicants for licensure as pollution abatement facility operators;
- (2) receive applications for licensure, administer or approve examinations and training programs, and provide licenses to applicants qualified under this chapter;
  - (3) administer fees as established by law;
  - (4) refer all disciplinary matters to an administrative law officer;
- (5) renew, revoke, and reinstate licenses as ordered by an administrative law officer; and
- (6) explain appeal procedures to licensed pollution abatement facility operators and to applicants, and complaint procedures to the public.
- (b) The Director shall adopt rules necessary to perform his or her duties under this section after due consultation with the Secretary of Natural Resources. These rules may establish grades, types, classes, or subcategories of licenses corresponding to facilities of distinct types and complexity.

# § 5112. ADVISOR APPOINTEES

- (a)(1) The Secretary of State shall appoint three persons to be advisors to the Secretary, two of which shall be pollution abatement facility operators and one of which shall be a representative of the Agency of Natural Resources. Advisors shall be appointed for five-year staggered terms to serve at the Secretary's pleasure as advisors in matters relating to operation. Two of the initial appointments may be for a term of fewer than five years.
- (2) A pollution abatement facility operator appointee shall have not fewer than five years' experience as a pollution abatement facility operator immediately preceding appointment, shall be licensed as a pollution abatement facility operator in Vermont, and shall be actively engaged in the practice of pollution abatement facility operation in this State during incumbency.
- (3) An appointee representing the Agency of Natural Resources shall be involved in the administration of the permitting program established under 10 V.S.A. § 1263.
- (b) The Director shall seek the advice of the advisor appointees in carrying out the provisions of this chapter.

## Subchapter 3. Licenses

## § 5121. ELIGIBILITY FOR LICENSURE

- (a) To be eligible for licensure as a pollution abatement facility operator, an applicant shall be at least 18 years of age; be able to read and write the English language; hold a high school diploma, General Equivalency Diploma (GED), or equivalent; and demonstrate such specific education, training, experience, and examination performance as the Director may by rule require to hold the class of license sought.
- (b) The Director may waive examination for an applicant licensed or certified in good standing by a foreign jurisdiction found by the Director to enforce equivalent standards to obtain the class of license sought in this State. The applicant's previous job description and experience in the pollution abatement field may be considered.

## § 5122. LICENSE RENEWAL

- (a)(1) A license shall be renewed every two years upon application, payment of the required fee, and proof of compliance with such continuing education or periodic reexamination requirements as the Director may by rule prescribe. Failure to comply with the provisions of this section shall result in suspension of all privileges granted to the licensee, beginning on the expiration date of the license.
- (2) A license that has lapsed shall be renewed upon payment of the biennial renewal fee and the late renewal penalty.
- (b) The Director may adopt rules necessary for the protection of the public to assure the Director that an applicant whose license has lapsed or who has not worked for more than three years as a pollution abatement facility operator is professionally qualified for license renewal. Conditions imposed under this subsection shall be in addition to the requirements of subsection (a) of this section.

#### § 5123. APPLICATIONS

Applications for licensure and license renewal shall be on forms provided by the Director. Each application shall contain a statement under oath showing the applicant's education, experience, and other pertinent information and shall be accompanied by the required fee.

# § 5124. LICENSURE GENERALLY

The Director shall issue a license or renew a license upon payment of the fees required under this chapter to an applicant or licensee who has satisfactorily met all the requirements of this chapter.

## § 5125. FEES

Applicants and persons regulated under this chapter shall pay those fees set forth in 3 V.S.A. § 125(b).

#### § 5126. UNPROFESSIONAL CONDUCT

- (a) Unprofessional conduct means the following conduct and the conduct set forth in 3 V.S.A. § 129a committed by a licensee, an applicant, or a person who later becomes an applicant:
- (1) has made or caused to be made a false, fraudulent, or forged statement or representation in procuring or attempting to procure registration or renew a license to practice as a water treatment facility operator;
- (2) whether or not committed in this State, has been convicted of a crime related to pollution abatement or environmental compliance or a felony which evinces an unfitness to practice water treatment facility operation;
- (3) is unable to practice pollution abatement facility operation competently by reason of any cause;
- (4) has willfully or repeatedly violated or caused the violation of any of the provisions of this chapter, the terms of a permit, the Vermont Water Pollution Control Permit Regulations, or the Vermont Water Quality Standards;
- (5) is habitually intemperate or is addicted to the use of habit-forming drugs;
- (6) has a mental, emotional, or physical disability, the nature of which interferes with the ability to practice pollution abatement facility operation competently;
- (7) engages in conduct of a character likely to deceive, defraud, or harm the public;
- (8) fails to display prominently his or her pollution abatement facility operator license in the office of a facility at which he or she performs licensed activities; or
  - (9) unreasonably fails to ensure proper operations of the facility.
- (b) A person shall not be liable in a civil action for damages resulting from the good faith reporting of information to the Director or the Office of Professional Regulation about alleged incompetent, unprofessional, or unlawful conduct of a pollution abatement facility operator or facility, corporation, or municipal corporation employing such person.

## Sec. 14. TRANSITIONAL PROVISIONS

- (a) Notwithstanding the provision of 26 V.S.A. § 5112(a)(2) (advisor appointees; qualifications of appointees) that requires an appointee to be licensed as a pollution abatement facility operator in Vermont, an initial advisor appointee may be in the process of applying for licensure if he or she otherwise meets the requirements for licensure as a wastewater treatment facility operator and the other requirements of 26 V.S.A. § 5112(a)(2).
- (b) Pending adoption by the Director of administrative rules governing pollution abatement facility operators, the Director may license individuals to operate pollution abatement facilities consistent with the Agency of Natural Resources Wastewater Treatment Facility Operator Certification Rule.
- (c) A person holding an active certificate from the Agency of Natural Resources as an operator, assistant chief operator, or chief operator may obtain an equivalent license from the Office of Professional Regulation at no charge, valid through the expiration date assigned by the Agency, and thereafter renewable on a biennial schedule established by the Office.
- Sec. 15. CREATION OF NEW POSITION WITHIN THE OFFICE OF PROFESSIONAL REGULATION
- (a) To support the administration of new professional regulation licensees created in Secs. 11 and 13 of this act, there is created within the Secretary of State's Office of Professional Regulation one (1) Licensing Board Specialist.
- (b) Any funding necessary to support the positions created under subsection (a) of this section shall be derived from the Office's Professional Regulatory Fee Fund, with no General Fund dollars.
  - \* \* \* Board of Dental Examiners \* \* \*

Sec. 16. 26 V.S.A. § 581 is amended to read:

§ 581. CREATION; QUALIFICATIONS

\* \* \*

(c) No A member of the board may Board shall not be an officer or serve on a committee of his or her respective state or local professional dental, dental hygiene, or dental assisting organization, nor shall any member of the board be on the faculty of a school of dentistry, dental hygiene, or dental assisting.

\* \* \* Social Workers \* \* \*

Sec. 17. 26 V.S.A. § 3202 is amended to read:

§ 3202. PROHIBITION; OFFENSES

\* \* \*

(c) A State agency or a subdivision or contractor thereof shall not use or permit the use of the title "social worker" other than in relation to an employee holding a bachelor's, master's, or doctoral degree from an accredited school or program of social work.

\* \* \* Effective Dates \* \* \*

#### Sec. 18. EFFECTIVE DATES

This act shall take effect on July 1, 2016, except Sec. 17 which shall take effect on July 1, 2017.

- **Rep. Canfield of Fair Haven**, for the committee on Ways and Means, recommended that the bill ought to pass when amended, as recommended by the committee on Government Operations.
- **Rep. Keenan of St. Albans City**, for the committee on Appropriations recommended that the bill ought to pass when amended, as recommended by the committee on Government Operations.

The bill, having appeared on the Calendar one day for notice, was taken up, read the second time, report of the committees on Government Operations, Ways and Means and Appropriations agreed to and third reading ordered.

# **Joint Resolution Adopted**

#### J.R.H. 24

Joint resolution, entitled

Joint resolution authorizing the Green Mountain Girls State educational program to use the State House;

Was taken up and adopted on the part of the House.

## Adjournment

At eleven o'clock and twenty-eight minutes in the forenoon, on motion of **Rep. Savage of Swanton**, the House adjourned until tomorrow at one o'clock in the afternoon.