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**State of Vermont**  
**Agency of Administration**  
**Office of the Secretary**  
Pavilion Office Building  
109 State Street  
Montpelier, VT 05609-0201  
[www.adm.state.vt.us](http://www.adm.state.vt.us)

[phone] 802-828-3322  
[fax] 802-828-3320

*Neale F. Lunderville, Secretary*

## MEMORANDUM

**TO:** Joint Fiscal Committee  
**FROM:** Neale F. Lunderville, Secretary of Administration  
**DATE:** March 4, 2010  
**RE:** Excess Receipts Report – 32 VSA Sec 511

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In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for the 1<sup>st</sup> and 2<sup>nd</sup> quarters of FY 2010 (7/1/09 – 12/31/09).

Attachment

EXCESS RECEIPTS FY2010 Cumulative thru 12-31-09	EXCESS RECEIPTS APPROVED FOR FY2010: July 1, 2009 - December 31, 2009										
	DATE	FEDERAL	SPECIAL	INTERNAL	INTERDEPT'L						
DEPARTMENT	APPROVED	FEDERAL	SPECIAL	INTERNAL	INTERDEPT'L	OTHER	TOTAL	DEPT			
	By Sec Admin	FUNDS	FUNDS	SVC FUNDS	TRANSFERS			TOTAL	SOURCE OF RECEIPTS (fund number)	PURPOSE	
<b>GENERAL GOVERNMENT:</b>											
Secretary's Office	9/24/09	8,500,000					8,500,000		#22040 ARRA	Allowed the Secretary to access the SFSF funds prior to the BAA so funds could be distributed as prescribed by the legislature. This excess receipt will be voided upon approval of the BAA.	
	11/16/09	38,575,036					38,575,036		#22040 ARRA	Transfer to education for payments to schools.	
Finance & Management								47,075,036			
	7/16/09		400,000				400,000		#21005 FMS System Development Fund	For computer equipment and training needed to complete system upgrade.	
	8/26/09		19,445				19,445		#21975 Armed Services Scholarship Fund	Tuition payments for eligible students who are relatives of deceased armed services members in accordance with 16 VSA Sec 2539 as amended by 2004 Act 127 Sec 56.	
Buildings & General Services								419,445			
	7/10/09		39,167				39,167		#21604 Recycling Efforts	Pays the cost of operating the recycling program.	
	7/10/09		18,513				18,513		#21603 Motorist Aid Refreshment Program	Offsets the general fund cost of operating information centers.	
	7/10/09		190,303				190,303		#21822 Tourism & Marketing Brochures	Pays the cost of operating the brochure program.	
	7/10/09		121,730				121,730		#21911 Sarcoidosis Benefit Trust Fund	Paying sarcoidosis claims.	
	8/7/09		4,432				4,432		#21600 Duxbury/Moretown	For marketing expenses and general repairs to the property in anticipation of the sale of property.	
	8/5/09		55,869				55,869		#21605 Newport Office Bldg	To complete construction of Newport State Office Building.	
	8/3/09	875,120					875,120		#22005 Federal Revenue Fund	To continue construction of Geothermal HVAC renovation at the VT Veterans' Home.	
	8/4/09		600,000				600,000		#21525 Conference Fees and Donations	To help construct new visitor center at Calvin Coolidge Historic Site.	
	8/21/09		20,162				20,162		#21603 Motorist Aid Refreshment Program	Offsets the general fund cost of operating information centers.	
	8/21/09		10,000				10,000		#21613 Sale of State Land	For marketing expenses, advertising, and appraisals for property sales.	
	10/21/09		10,000				10,000		#21599 BTS Marketing Costs	Continued marketing expenses for the sale of Brandon	
	10/16/09		28,420				28,420		#21603 Motorist Aid Refreshment Program	Staff and operating expenses /motorist aid refreshment	
	11/23/09		250,000				250,000		#21911 Sarcoidosis Benefit Trust Fund	Paying claims	
	12/20/09				75,000		75,000		#21500 Inter-unit Transfers	For a purchase option on property in Colchester	
								2,298,716			
Tax											
	8/25/09		168,108		38,676		206,784		#21500 Inter-unit Transfers, #21590 Miscellaneous Fees, #21591 Local Option Process Fees, #21584 Surplus Property	Special funds used by the department to administer programs and offset its general fund needs.	
	8/25/09		3,952,835				3,952,835		#21909 Tax Computer System Modernization	For computer equipment, software and maintenance from FY08 appropriated funds.	
								4,159,619			
Joint Fiscal Office											
	8/7/09				681,856		681,856		#21500 Inter-unit Transfers	Payments to a primary consultant - Synapse Energy for continued Vermont Yankee study.	
State Treasurer								681,856			
	7/22/09		5,000				5,000		#21001 Financial Literacy Trust Fund	To support Financial Literacy Programs of the State Treasurer's Office.	
	8/4/09		25,000				25,000		#21001 Financial Literacy Trust Fund	To support the "Reading is an Investment" Project under the Treasurer's Financial Literacy Program.	
	10/6/09		100,000				100,000		#21884 Emergency Personnel Survivors Benefit Trust Fund	Two \$50K Emergency Personnel Benefit Payments	
Sergeant At Arms								130,000			
	11/23/09		3,310				3,310		#21627 Use of Statehouse	For hand sanitizers and dispensers for the Legislature	
								3,310			
<b>PROTECTION:</b>											
Attorney General											
	12/29/09		30,000				30,000		#21870 Miscellaneous Special Revenue	For final payment to University of North Carolina for contracted services relative to the Neurontin settlement	
								30,000			
Judiciary											
	8/7/09		20,428				20,428		#21908 Miscellaneous Grants Fund	To strengthen foreign language interpreter services in Vermont's judiciary.	
	8/7/09		51,600				51,600		#21908 Miscellaneous Grants Fund	Two grants to support the work of the Commission on Court Operations.	
	8/7/09		5,084				5,084		#21908 Miscellaneous Grants Fund	A reimbursable grant to support the education of court managers and supervisors.	
	8/7/09		58,192				58,192		#21908 Miscellaneous Grants Fund	A reimbursable grant to start a pro se service center.	
	12/9/09				20,407		20,407		#21502 ARRA	To be used in conjunction with funds from STOP-VAWA (Violence Against Women Act) grant to help increase women's safety and offender accountability	
	10/5/09		1,450				1,450		#21885 Judicial Project Support	Current expenses for Guardian Ad Litem Program	
State's Attorneys								157,161			
	11/4/09				190,659		190,659		#21502 ARRA	To cover costs of Victim Advocates and VAWA Prosecutors	
								190,659			

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DEPARTMENT	By Sec Admin	FUNDS	FUNDS	SVC FUNDS	TRANSFERS	OTHER	TOTAL	TOTAL	SOURCE OF RECEIPTS (fund number)	PURPOSE	
Military	7/20/09	3,593,000					3,593,000		#22005 ARRA	ARRA grant for sustainment, restoration and modernization of federal Army programs administered by the State of Vt Military Dept.	
								3,593,000			
VT Cntr. For Crime Victim Sevices	9/4/09		182,058				182,058		#21145 Victims Compensation Fund	For legal services for victims of domestic violence.	
								182,058			
Criminal Justice Training Council	8/26/09	13,516					13,516		Federal Byrne grant	Specialized training funded by Byrne grant.	
	8/26/09				38,632		38,632		Next Generation grant	Sub-grant from Dept of Labor/Next Generation (2008 Act 46) for specialized training of trainers.	
	12/20/09					82,489	82,489		#21500 Inter-unit Transfers	Vt. DOL WETF sub-grant to support basic training of 26 new law enforcement positions funded by federal COPS program, but not budgeted for at the state level. Funding will support both personnel and operating expenses.	
								134,637			
Human Rights Commission	8/7/09		35,735				35,735		#21692 Human Rights Commission Spec Fund	Legal recovery to be used for salaries, training & technical assistance to schools to prevent harassment and to develop a database for the HRC.	
								35,735			
Liquor Control	9/22/09				13,502		13,502		#21500 Inter-unit Transfers	Matching grant to be used for upgrade to radio equipment for Liquor Enforcement Vehicles.	
	9/24/09				35,000		35,000		#21500 Inter-unit Transfers	For START Program and alcohol compliance.	
	10/20/09		2,200				2,200		#21525 Conference Fees and Donations	For costs of conferences and other outreach activities.	
								50,702			
Public Safety	7/16/09		4,500				4,500		#21584 Surplus Property	From surplus sales to be used to replace equipment.	
	7/13/09		19,967				19,967		#21908 Miscellaneous Grants Fund	Balance of \$27K FY 2009 NADDI grant to support drug diversion cases in FY 2010.	
	9/18/09				6,550,000		6,550,000		#21502 ARRA	FY 2010 ARRA SFSF funding to pay personal service costs for DPS State Police.	
	10/5/09		800				800		#21925 Restitution Special Fund	To purchase child safety seats	
	10/13/09				168,550		168,550		#21500 Inter-unit Transfers	For a 3rd party contractor and for Local Regional Planning Commissions to do forums on H1N1	
	11/16/09		8,500				8,500		#21970 Registration Fees Fund	To pay for Forensic Lab Conference expenses	
								6,752,317			
Agriculture, Food & Markets	8/13/09				87,000		87,000		#21500 Inter-unit Transfers	To purchase software that will facilitate the efficient tracking and containment of disease outbreaks among animals.	
	8/29/09		5,016				5,016		#21889 Risk Manage Ag Producers	These funds will be used to increase safety awareness for skid steer loader operations on dairy farms.	
	8/29/09		19,122				19,122		#21889 Risk Manage Ag Producers	To conduct educational and other Dairy Task Force activities.	
	8/29/09		430,971				430,971		#21668 Feeds, Seeds & Fertilizer, #21669 Pesticide Monitoring	To pay for software program contract.	
	10/13/09		20,000				20,000		#21666 Agricultural Events	For expenses associated with the Cheesemakers' Festival.	
	11/16/09		9,000				9,000		#21889 Risk Management Ag Producers	To support the UVM project in planning, sample collection and data interpretation	
								571,109			
BISHCA	10/5/09		1,566				1,566		#21690 BISHCA Docket	Restitution payments to certain individual consumers	
								1,566			
Dept of Public Service	9/22/09		50,000				50,000		#21020 Low-level Radioactive Waste Compact	To pay for the Compact Commission's operating expenses.	
	12/8/09		2,500,000				2,500,000		#21020 Low Level Radioactive Waste Compact	To pay the Texas host county a Texas Low Level Radioactive Waste Disposal Compact site fee required under 10 VSA Ch 162.	
								2,550,000			
<b>HUMAN SERVICES:</b>											
AHS Secretary's Office	7/28/09				246,660		246,660		#21500 Inter-unit Transfers	Funds are for grants for RSVP, Foster Grandparent and Senior Companion Program.	
	11/5/09				148,814		148,814		#21500 Inter-unit Transfers	Memorandum of Understanding with the Dept Health re: Vermont Emergency Response Volunteers: ads in newspapers, radio, TV and staff time.	
								395,474			
National and Community Service Program	7/23/09	273,695					273,695		Corporation for National and Community Service	For Northeast Kingdom Initiative.	
								273,695			
Health	10/5/09				262,000		262,000		#21500 Inter-unit Transfers	ADAP treatment for released offenders per Memorandum of Understanding with Dept Corrections.	
	11/18/09		46,680				46,680		#21470 Medical Practice	To fund costs of administering the Medical Practice Bd.	

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DEPARTMENT	By Sec Admin	FUNDS	FUNDS	SVC FUNDS	TRANSFERS	OTHER	TOTAL	TOTAL	DEPT	SOURCE OF RECEIPTS (fund number)	PURPOSE							
								308,680										
Dept for Children and Families	10/20/09	17,800,000					17,800,000			#22005 Federal Fund	Home heating fuel assistance / LIHEAP							
								17,800,000										
Disabilities, Aging & Independent Living	7/28/09	126,720					126,720			#22040 ARRA	Stimulus funds for employer outreach to educate employers about VR and its services.							
	7/28/09	81,000					81,000			#22040 ARRA	For expenditures in the ARRA Senior Nutrition Program Process memo.							
	7/28/09	172,800					172,800			#22040 ARRA	For employment services for people with disabilities / basic support.							
	7/28/09	131,670					131,670			#22040 ARRA	Stimulus funds for the Senior Community Service Employment Program (SCSEP).							
	7/23/09		50,000				50,000			#21213 Civil Monetary Fund	Contracting to improve quality of care in nursing homes for residents with behavioral health needs.							
	7/23/09		112,164				112,164			#21994 Traumatic Brain Injury Fund	For AHS contracts and programs related to the services and treatment of individuals with traumatic brain injuries.							
	8/29/09	60,728					60,728			#22040 ARRA	For expenditures as outlined in the DBVI IL ARRA Process memo.							
	8/31/09	3,170					3,170			#22040 ARRA	For expenditures as outlined in the DBVI Older Blind ARRA Process memo.							
	10/9/09	182,185					182,185			#22040 ARRA	Will enhance performance of programs for people with disabilities (independent living services)							
								920,437										
Corrections	9/23/09				408,333		408,333			#21500 Inter-unit Transfers	Grant from Dept. of Health to help support Tapestry II residential treatment program for women.							
								408,333										
VT Veterans' Home	12/29/09		580,000				580,000			#21782 Vermont Medicaid	Capital projects to meet codes and regulations							
	12/29/09		450,000				450,000			#21782 Vermont Medicaid	To install electronic medical records system at VVH.							
								1,030,000										
Commission on Women	8/11/09				2,430		2,430				To fund half the salary for the Director of the Vermont Women's History Project.							
								2,430										
<b>LABOR</b>																		
	7/10/09		311,675				311,675			#21992 Next Generation Initiative Fund	Funds were obligated to sub-grantees in the previous two fiscal years, but all funds were not spent. To reimburse subgrantees for expenses still to be incurred.							
	7/16/09		1,415,500				1,415,500			#21913 Workforce Ed & Training Fund	Funds will be obligated to sub-grantees who have been awarded grants as part of the workforce education and training program.							
								1,727,175										
<b>EDUCATION</b>																		
Education Dept	8/4/09	180,765					180,765			#22040 ARRA	Grants to school districts. ARRA School Lunch Equipment funding.							
	8/6/09	12,882,703					12,882,703			#22040 ARRA	Grants to school districts. ARRA Title 1 funding.							
	8/6/09	458,150					458,150			#22040 ARRA	Grants to school districts. ARRA Preschool IDEA funding.							
	8/4/09	12,800,811					12,800,811			#22040 ARRA	Grants to school districts. ARRA IDEA funding.							
	8/4/09	87,983					87,983			#22040 ARRA	Grants to school districts. ARRA Homeless Education funding.							
	11/12/09				38,575,036		38,575,036			#21502 ARRA	Grants to school districts							
								64,985,448										
<b>NATURAL RESOURCES:</b>																		
Central Office	11/10/09		1,339				1,339			#21769 Stratton Corporation; # 21584 Surplus Property	For IT Equipment and a final environmental project on the Dalewood Brook in Jamaica .							
								1,339										
Environmental Conservation	6/24/09	159,754					159,754			#22040 ARRA	ARRA for an existing DEC - federal program - Water Quality Management Planning. This funds pass through grants, infrastructure projects and watershed planning activities.							
	6/24/09	15,070,000					15,070,000			#22040 ARRA	ARRA funding for Drinking Water State Revolving Fund, an existing DEC - federal program.							
	6/24/09	1,664,000					1,664,000			#22040 ARRA	ARRA funding for an existing DEC - federal program - Diesel Emissions Reduction Act. Replacement of 32 diesel school buses, repowering 12 stationary diesel sawmill engines.							
	6/24/09	9,711,336					9,711,336			#22040 ARRA	ARRA funding for existing DEC -federal program - Clean Water State Revolving Fund.							
	8/7/09				500,110		500,110			#21500 Inter-unit Transfers	For interdepartmental transfers from DEC divisions towards the cost of operating the DEC lab.							
	10/5/09	935,000					935,000			#22040 ARRA	Formula ARRA award for clean-up of contaminated LUST (Leaking Underground Storage Tanks) sites under the existing DEC program.							
								28,040,200										
Forests, Parks & Recreation	6/24/09					194,153	194,153			#21502 ARRA	Available from a grant from Vermont Dept of Labor to fund seasonal employees and to supervise youth crews, Parks Conservation Corp Program. VDOL funds are from ARRA.							

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DEPARTMENT	By Sec Admin	FUNDS	FUNDS	SVC FUNDS	TRANSFERS			TOTAL	SOURCE OF RECEIPTS (fund number)	PURPOSE	
	7/14/09		73,000		5,000			78,000	#21550 Land & Facilities Trust Fund, #21525 Conference Fees and Donations, #21500 Inter-unit Transfers	To improve and maintain FPR's lands and facilities from prior years unexpended project balances in Lands and Facilities; receipts in Donation Fund for Project Learning Tree materials and a grant from NASF approved by JFC May 2009. IDT is to complete a transportation enhancement grant at Moss Glen Falls.	
	8/21/09	4,700,000	110,000					4,810,000	#22005 Federal Revenue Fund, #21779 Youth Conservation Corps	\$4,700,000 to purchase land or easements on a variety of land parcels; \$110,000 for Vt Youth Conservation Corps reimbursement.	
	12/18/09	400,000						400,000	#22005 Federal Fund	To cover payments to subrecipients and internal projects related to the National Recreation Trails and Land & Water Conservation Fund programs	
								5,482,153			
<b>COMMERCE:</b>											
Administration-CMO											
Housing & Community Affairs	7/10/09				434,000			434,000	#21500 Inter-unit Transfers	Payment of advertising invoices for 8 large media campaigns.	
								434,000			
	9/4/09		1,289					1,289	#21397 State Register Publications Fund	To cover costs for the production of the National Register CD's for use by consultants, municipalities and the general public; also misc publication costs.	
	9/4/09		12,402					12,402	#21330 Municipal & Regional Planning	To re-grant to municipalities for developing a municipal plan as required under 24 VSA Sec 4306.	
	9/4/09		120,037					120,037	#21575 Downtown Transportation & Capital Improvements Fund	To be used for grant payments for projects and administrative costs related to Downtown program.	
								133,728			
Economic Development											
	9/22/09				200,000			200,000	#21502 ARRA	VT Training Program grants.	
								200,000			
Tourism and Marketing											
	9/22/09				500,000			500,000	#21502 ARRA	To purchase outdoor advertising as part of Vermont's winter and summer advertising campaign.	
								500,000			
Vt Housing & Consv Board											
	12/29/09					3,300,000		3,300,000	#90630 Federal Fund VHCB	The Board will make awards to for-profit and non-profit applicants for any of the Neighborhood Stabilization Program (NSP) eligible uses identified for the Project Specific Program in the State of Vermont NSP plan	
								3,300,000			
<b>TRANSPORTATION:</b>											
	7/16/09		387,617			1,129,903		1,517,520	#21555 Emergency Relief & Assistance Fund, #20150 Transportation FEMA Fund	Payments for Federal Emergency Management disasters, Federal and State shares.	
	7/16/09					325,455		325,455	#63115 Rutland Multi-modal Center	Structural repairs to the Rutland Multimodal Transit Facility from escrow fund for that purpose.	
	7/23/09					100,000		100,000	#20160 Transportation Local Fund	Utility relocation charges associated with Hartford Rest Area project. Reimbursed by local entities.	
	9/22/09					223,558		223,558	#20165 Transportation Other Federal Funds	Existing US-DOJ grant for National Motor Vehicle Titling - staff time and operating expenses.	
	9/22/09			186,743				186,743	#57100 Highway Garage Fund	For equipment purchases that could not be completed before the end of FY09.	
	9/22/09					27,000		27,000	#20105 Transportation Fund - nondedicated	TF revenue from highway property lease to VELCO as per 19 VSA Sec. 26.	
	10/26/09				70,212			70,212	#21500 Inter-unit Transfers	Grant agreement for motorcycle and motorcycle container purchase - Governor's Highway Safety Program	
	11/23/09					243,514		243,514	#20135 FHWA	Excess receipts will allow the agency to be reimbursed by the FHWA for FEMA disasters	
	11/23/09				23,500			23,500	#21500 Inter-unit Transfers	Staff time and operating expenses including materials for work performed by VTrans for DEC on an agricultural water quality project.	
	11/23/09		145,985					145,985	#21555 Emergency Relief and Assistance Fund	Payments to towns of the state share for FEMA disasters.	
	12/14/09				8,000			8,000	#21500 Inter-unit Transfers	Grant agreement for the enforcement of VT highway safety laws- Governor's Highway Safety Program	
	12/14/09				66,400			66,400	#21500 Inter-unit Transfers	Grant agreement for motorcycle purchase and training conferences - Governor's Highway Safety Program	
								2,937,887			
<b>TOTALS</b>		129,439,142	13,296,170	186,743	49,432,266	5,543,583		197,897,904			