

**Comparison of mutual fund filing fees for select US states**

Joint Fiscal Office

2/25/2016

	Initial			Renewal
	Minimum	Range	Maximum	
1 Washington	\$100	0.05% on aggregate value above \$100,000	no ceiling	same as initial except an additional flat \$50 applies to unsold value from prior year
2 Oregon	\$200	0.1% on aggregate value being offered	\$1,500	annual
3 California	\$200	0.2% on aggregate value offered	\$2,500	annual
4 Arizona	\$200	no range (2 flat fees based on whether applicant wishes to file annual sales report)	\$3,500	Annual. If \$200 paid the prior year then a sales report req'd for prior year with fee up to \$3,300 on cumulative value sold.
5 New Mexico	\$525	flat fee	\$525	annual
6 Colorado	\$125	flat fee	\$125	annual
7 Utah	\$300	flat fee	\$300	annual
8 Nevada	\$1,000	In addition to \$1,000 base fee a fee at end of year is owed of either \$4,000 or 0.2% of aggregate sales from (\$700 min. and \$5,000 max.)	\$5,000	annual
9 Idaho	\$300	flat fee	\$300	annual
10 Montana	\$200	In addition to \$200 base fee, a fee due on 0.1% of aggregate value being offered	\$1,000	annual. 0.1% on aggregate value offered (no less than \$200 and no more than \$1,000)
11 Wyoming	\$200	0.02% on aggregate value offered	\$600	annual
12 Minnesota	\$100	0.05% on aggregate value offered in addition to \$100 base	no ceiling	annual
13 North Dakota	\$150	0.1% on aggregate value being offered	\$2,500	\$150 annual
14 South Dakota	\$500	\$500 on \$50 million or less; \$1,000 for greater than \$50 million but equal to or less than \$250 million; \$2,000 on over \$250 million	\$2,000	annual
15 Nebraska	\$100	0.1% on aggregate value being offered	no ceiling	annual
16 Kansas	\$100	0.05% on aggregate value being offered	\$1,500	annual
17 Vermont (as proposed)	\$1,200	flat fee	\$1,200	annual
18 New Hampshire	\$1,000	flat fee	\$1,000	annual
19 Maine	\$1,000	flat fee	\$1,000	annual
20 Massachusetts	\$2,500	flat fee	\$2,500	\$1,250 annual
21 Connecticut	\$500	flat fee	\$500	annual
22 Rhode Island	\$1,000	flat fee	\$1,000	annual
23 New York	\$1,200	flat fee	\$1,200	every 4 years