Substance Abuse Treatment System of Care

Barbara Cimaglio, Deputy Commissioner, Alcohol and Drug Abuse Programs

April 3, 2014
More people need prevention and intervention services than treatment services

In Treatment

Addicted but not in Treatment
(Focus on Treatment)

“Harmful Use”
(Focus on Early Intervention)

Little or No Substance Use
(Focus on Prevention)

There are approx. 68 million people (US) who drink or use substances in a harmful manner. Yet we’ve chosen instead to focus on the 2.5 million who are at the extreme end of the spectrum. I want to show businesses and local governments that they can actually save money by addressing all 60 million people through prevention and early intervention. If we can do that successfully, the forces of the marketplace will take over.

A. Thomas McLellan, PhD, Treatment Research Institute, University of Pennsylvania, What’s Wrong with Addiction Treatment: What Could Help: January 2008 presentation before Connecticut legislators
Substance Abuse Continuum of Care

- Prevention Services
- Screening, Brief Intervention, Referral for Treatment (SBIRT)
- Outpatient Treatment (OP)
- Intensive Outpatient Treatment (IOP)
- Specialty (Res, Hubs)

Highest Level of Care

Lowest Level of Care

Fewest Number of People

Largest Number of People
In SFY2013, 230,000 Vermonters received prevention messaging

- Youth/Family Services
  - School Based Health services
  - Project Rocking Horse (for pregnant and parenting women)
- Community Education/Policy
- Media campaign – ParentUp

Estimated cost per person for prevention services: $9.28

Several studies have demonstrated that for every $1 spent on prevention programs, society saves $10 - $18 dollars in the long-term (e.g., health care, criminal justice, lost productivity, etc.)
Prevention Capacity

Partnerships for Success (PFS)

**PFS Goals:**
Reduce underage and binge drinking (ages 12-20) and prescription drug misuse and abuse (ages 12-25)

**Overview:**
- 3-year grant (9/12 to 10/15)
- Total funding: $3,565,584
- Environmental and individual-based strategies
- Partnerships with community partners
- Estimated exposure to PFS strategies: 359,205 (66% of Vermont population)

**Regions and Lead Agencies**
- **Burlington** – Washington County Youth Service Bureau
- **Burlington** – Chittenden County Regional Planning Commission
- **Morrisville** – Lamoille Family Center
- **Rutland** – Rutland Community Programs
- **Windham** – Brattleboro Youth Service Bureau
- **Windsor** – Mt. Ascutney Hospital & Health Center

**Substance Abuse Prevention Consultants**
- Information and Referral
- Training and Consultation on Substance Abuse and Prevention Best Practices
- Community Organizing

Vermont Agency of Human Services

Vermont Department of Health
We know the percent of the Vermont population that used and were dependent on substances in the past year:

- Non-Medical Use of Pain Reliever
- Alcohol or Illicit Drug Dependence or Abuse

Source: National Survey on Drug Use and Health

We also know the percent of the Vermont population that needed but didn’t receive treatment:

- Alcohol
- Illicit Drugs

Source: National Survey on Drug Use and Health

Vermont Agency of Human Services
We know that 95% of people in need of treatment feel they don’t need treatment.

But it’s difficult to predict with confidence how many people both need and will demand treatment at any given time, especially by categories such as:

1) Substance of Abuse
2) Level of care needed
3) County of residence

Source: National Survey on Drug Use and Health

Vermont Agency of Human Services
Variables in estimating demand for treatment

- Law Enforcement activities – example: major heroin bust
- Treatment capacity – people access what is available
- Substance of abuse – people access treatment sooner for opioids than for alcohol in their use “career”
- Variable patterns of identification and referral – ex. SBIRT
- Focus on substance abuse issues in the media
- Recovery outside the formal treatment system – AA, NA, etc.
- Some people “age out” of disordered substance use
Disorders in the Past Year among Persons Aged 12+ by Region

There is no statistically significant difference in rate of alcohol disorders in any region of Vermont.

There is no statistically significant difference in rate of illicit drug disorders in any region of Vermont.

Annual Averages Based on 2008 to 2010 NSDUHs
Co-occurring disorders: substance abuse and mental health

Among those with a past year substance use disorder, 42.8 percent had an identified co-occurring mental illness. (NSDUH)

Of mental health patients treated in Vermont’s Designated Agencies, 19% also had an identified substance use diagnosis.

Most people with co-occurring disorders receive no treatment

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Substance Abuse Only</td>
<td>4%</td>
</tr>
<tr>
<td>Both Mental Health and Substance</td>
<td>7%</td>
</tr>
<tr>
<td>Abuse Care</td>
<td></td>
</tr>
<tr>
<td>Mental Health Care Only</td>
<td>32%</td>
</tr>
<tr>
<td>No Treatment</td>
<td>57%</td>
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</tbody>
</table>
Total Number of People Treated in the Month of January

Data Source: SATIS and Medicaid Data (spoke data)  Note: People may access more than one level of care in a month
Process for accessing treatment services in Vermont

Client is screened by a clinician or professional (i.e. physician, drug court case manager, AHS employee, etc.)

Client or provider contacts a treatment substance provider

Provider assesses client with evidence based tools to determine level of care needed using ASAP placement criteria

Provider refers client in the appropriate level of care

Outpatient

Intensive Outpatient

Residential

Medication Assisted Treatment

Recovery Center Referral for support
### Outpatient/Intensive Outpatient Facilities

<table>
<thead>
<tr>
<th>County</th>
<th>OP</th>
<th>IOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Addison</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Bennington</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Chittenden</td>
<td>7</td>
<td>3</td>
</tr>
<tr>
<td>Franklin</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Lamoille</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>NE Kingdom</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Orange</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Rutland</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Washington</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>Windham</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Windsor</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>

### Hub and Residential Facilities

- **Number of Programs**
- **Hub**
- **Residential**

**Vermont Agency of Human Services**
Treatment Capacity Maps

Adolescent Treatment

Recovery Centers

Vermont Agency of Human Services
Hub and Spoke Locations: December 2013

Vermont Agency of Human Services
## Who pays for services - Outpatient

<table>
<thead>
<tr>
<th>Service</th>
<th>Medicaid</th>
<th>Medicare</th>
<th>Uninsured / SAPTBG</th>
<th>Third Party</th>
<th>Corrections</th>
<th>Other State/ Federal</th>
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</thead>
<tbody>
<tr>
<td>Prevention</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Screening, Brief Intervention, Referral to Treatment</td>
<td>Yes</td>
<td>Yes</td>
<td>At preferred providers</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
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<tr>
<td>OP SA Counseling – Preferred Providers</td>
<td>Yes</td>
<td>If MD, PhD, LICSW present</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td></td>
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<tr>
<td>OP SA Counseling – LADCs</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>OP SA Counseling – Physician</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>Recovery Services</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
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<tr>
<td>Service</td>
<td>Medicaid</td>
<td>Medicare</td>
<td>Uninsured/ SAPTBG</td>
<td>Third Party</td>
<td>Corrections</td>
<td>Other State/ Federal</td>
</tr>
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</tr>
<tr>
<td>Residential – Preferred Providers</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>Limited</td>
<td></td>
</tr>
<tr>
<td>Residential – Hospital</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td></td>
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<tr>
<td>Hub Case Rate</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>Some, Some FFS</td>
<td>No</td>
<td></td>
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<tr>
<td>Hub Suboxone</td>
<td>Yes</td>
<td>No</td>
<td>Limited</td>
<td>In progress</td>
<td>No</td>
<td></td>
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<tr>
<td>Spoke Physician</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Spoke Care Team</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Suboxone Pharmacy</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>Yes, if incarcerated</td>
<td></td>
</tr>
</tbody>
</table>
“Hub & Spoke” Linking Care

Care Alliance for Opioid Addiction

How It Works

- Regional Opioid Treatment Centers
- Support Services
- Medical Care
- Prescribing Physicians
- Community Health Teams
- Mental Health Care
- Substance Abuse Treatment
- Pain Management
- Recovery Supports
- Primary Care
- Family Supports

Vermont Agency of Human Services
Hub and Spoke Staffing Model and Costs

Hub Staffing (21.7 FTE) for 400 patients: $1,610,550

- 0.5 FTE MD
- 1 FTE Program Director
- 2 FTE RN Supervisors
- 3 FTE LPN Dispensing
- 8 FTE LADC/MA Counselors
- 2 FTE Case Managers
- 3 FTE Lab Technicians
- 2 FTE Office Administration
- 0.2 FTE Consulting Psychiatry
Hub and Spoke Staffing Model and Costs

- **Spoke Staffing for 100 patients: $196,500**
  - 1 FTE Nurse
  - 1 FTE SA/MH Counselor
DVHA will evaluate impact of Hub/Spoke initiative on client - results expected April 2015

- Total cost of health care
- Incarceration
- Employment
- Out of home placement

Data sharing agreements are in process with DOC, DOL, and DCF
- Quality and HEDIS measures of Hub/Spoke patient health – sample measures
  - Age and gender appropriate health screenings
  - Follow up after hospital admission for mental health
  - All cause hospital readmission
  - BMI
  - Preventable ED visits
  - Treatment initiation and engagement
  - Tobacco cessation
National Research

$1 invested in prevention saves $10-$18 in health care, criminal justice, lost productivity, etc.

$1 dollar invested in addiction treatment saves between $4 and $7 in reduced drug-related crime, criminal justice costs, and theft

Relapse rates for addiction resemble those of other chronic diseases such as diabetes, hypertension, and asthma
How do we know it makes a difference?

- **State Data**
  - **SPF-SIG Prevention Grant Evaluation Showed:**
    - Reduced teen binge drinking
    - Reduced teen marijuana use
  - **Treatment Outcomes at Discharge:**
    - 96% have housing
    - 95% have no arrests in previous 30 days
    - 73% of clients are alcohol abstinent
    - 66% of clients are illicit drug free
    - 46% are employed
Addressing co-occurring disorders: substance abuse and mental health

- Blueprint, ADAP, and MH plan to expand health home services beyond hub and spoke to better serve co-occurring patients
- VISI – provider training and technical assistance (ended 2011)
- Bi-directional care delivery pilot – MH/SA in primary care and vice versa
- Integrated Family Services – child and family services
- SBIRT – screening for both substance abuse and mental health
- VDH Maternal Child Health and ADAP collaboration for pediatric screening
Addressing co-occurring disorders: substance abuse and mental health

- Reach up Pilot (4 locations)
  - Integrated substance abuse and mental health treatment
  - Specialized support services
  - Focus on family wellness and stability
  - Transition to employment

- Treatment Courts (3 counties)
  - Substance abuse and mental health treatment
  - Links to health services
  - Wrap around case management
  - Regular hearings
  - Job skills training and employment
Diversion Prevention Plan

- Regulatory:
  - Unified Pain Management Rule Will Require:
    - Screening and Evaluation
    - Risk assessments for substance abuse and diversion
    - Prescribers to document the consideration of non-opioids alternatives and trial use of opioids
    - Informed Consent and Chronic Controlled Substance Treatment Agreement
    - Referrals and Consultations where appropriate
    - Follow Ups, Treatment Assessments, Consultations
  - VPMS Rule will be modified to include additional queries requirements
    - Situation specific VPMS queries such as for patients in emergency room settings. Others situations TBD
Diversion Prevention Plan

- Regulatory:
  - Vermont MAT rules - Applies to:
    - Opioid Hubs
    - Physicians with 30+ patients
  - Vermont Buprenorphine Guidelines
    - Applies to all physicians prescribing buprenorphine
    - Recommends that physicians follow Vermont MAT rules and have a diversion plan
  - DATA 2000 physician waiver qualifications
  - Federal Medicaid Requirement
    - Team Care/Lock In Program – limits patients over utilizing narcotics to a specific prescribing physician and pharmacy for a minimum of 2 years

Vermont Agency of Human Services
Hubs and Spokes:

Hubs
- Hub patients must earn take home doses of suboxone and methadone
- Pill counts and urinalysis testing are required

Spokes
- Limited to 14 days supply of buprenorphine
- Pharmacy home – patient limited to one pharmacy for all prescriptions
- Prior authorizations required for all patients receiving more than 16 mg/day buprenorphine
Balancing the system

- Increase prevention efforts to change norms
- **Intervene earlier with school based and SBIRT services**
- Use outpatient system as the backbone — SA outpatient plays similar role to primary care physicians for medical services
- Use specialty services - residential, hub, and spoke — based on clinical evaluation
- Continue to strengthen recovery services
System Needs and Gaps

- System Capacity
  - Issues
    - Not all levels of care are available in all geographic areas
    - Transportation to services is limited
    - Not all providers screen for substance abuse
  - Recommendations
    - Develop “hublets”
    - Increase number of “spoke” physicians
    - Screening in medical settings (SBIRT) and AHS programs (SATC)
Workforce Development

Issues
- Too few substance abuse professionals, prevention through treatment and medical – aging work force
- No internal workforce development capacity
- Addictions programming not well integrated in medical and graduate level training

Recommendations
- With stakeholders, assess needs by region and statewide
- Develop workforce plan, including funding mechanism
System Needs and Gaps

- Linkages between levels of care
  - Challenges
    - Relationships between providers
    - Federal confidentiality and consent regulations
    - Capacity/wait lists
  - Recommendations
    - Incentives for improving care coordination – payment reform
    - Regional planning approach/all stakeholders
    - Coordinated data systems that address consent and allow data sharing
Sober housing / transitional housing with recovery supports

Challenges
- Lack of housing at treatment discharge is associated with high relapse rates
- Greater demand for housing than available units
- Competing populations need housing – homeless, corrections, family, mental health, substance abuse

Recommendations
- Continue work of AHS housing task force
- Strengthen connection between recovery centers and housing
- Assess need for more sober housing
System Needs and Gaps

- Payment structure
  - Challenges
    - Most treatment provided fee for service
    - Health reform/ACA/single payer planning
  - Recommendations
    - Investigate payment reform in conjunction with VHCIP
    - Continue IET pilot project (expansion and pay for performance)
    - Explore case rate models
    - Capacity funding to support non-billable services that improve outcomes
System Needs and Gaps

- Policy changes
  - Challenges
    - Policies and procedures vary by department
    - Services are not always well coordinated
  - Recommendations
    - Standardized screening tools and referral processes
    - Standardized definition of case management
    - Staff substance abuse “101 training sessions” to promote evidence-based practices
    - Allow minor children to accompany patient for Medicaid transportation
Additional Recommendations – Submitted to Senator Leahy

- Expand provider types eligible for Medicare reimbursements to include specialty substance abuse treatment providers
- Simplify regulatory requirements for running satellite MAT dosing “hublet” sites to allow dosing in more locations in the state
- Extension of the ACA 90/10 match beyond 8 quarters for opioid treatment hubs